



**REGULAR MEETING
OF THE CITY COUNCIL**

February 22, 2022

ADDISON TREEHOUSE

**14681 MIDWAY RD. SUITE 200, ADDISON, TX 75001
6:00 PM EXECUTIVE SESSION & WORK SESSION
7:30 PM REGULAR MEETING**

Notice is hereby given that the Addison City Council will conduct a Regular Meeting on Tuesday, February 22, 2022 at the Addison TreeHouse. A quorum of the governing body will be physically present at the foregoing location. Seating for the public will be available using CDC recommended social distancing measures. Telephonic or videoconferencing capabilities will be utilized to allow individuals to address the Council. Email comments may be submitted to: iparker@addisontx.gov by 3:00 pm the day of the meeting. Members of the public are entitled to participate remotely via Toll-Free Dial-in Number: 877.853.5247; Meeting ID: 409.327.0683; Participant ID: #. For more detailed instructions on how to participate in this meeting visit our Agenda Page. The meeting will be live streamed on Addison's website at: www.addisontexas.net.

Call Meeting to Order

Pledge of Allegiance

EXECUTIVE SESSION

Closed (Executive) Session of the Addison City Council pursuant to:

Section 551.087, Texas Government Code, to discuss or deliberate regarding commercial or financial information that the City Council has received from a business prospect or business prospects that the City Council seeks to have locate, stay, or expand in or near the territory of the Town of Addison and with which the City Council is conducting economic development negotiations, and/or to deliberate the offer of a financial or other incentive to such business prospect or business prospects:

- Discuss master developer proposals for the Town's Transit Oriented Development Project

Reconvene in to Regular Session: In accordance with Texas Government Code, Chapter 551, the City Council will reconvene into Regular Session to consider action, if any, on matters discussed in Executive Session.

WORK SESSION

1. Present and Discuss an **Update on the Town's Citizen Engagement Platform, the Addison FixIT App.**
2. Present and Discuss the **Airport Quarterly Report for the Fiscal Year 2022 First Quarter Ended December 31, 2021.**

REGULAR MEETING

Announcements and Acknowledgments Regarding Town and Council Events and Activities

- **Life Saving Award**

Discussion of Meetings / Events

Public Comment

The City Council invites citizens to address the City Council on any matter, including items on the agenda, except public hearings that are included on the agenda. Comments related to public hearings will be heard when the specific hearing starts. Citizen comments are limited to three (3) minutes, unless

otherwise required by law. To address the Council, please fill out a City Council Appearance Card and submit it to a staff member prior to the Public Comment item on the agenda. The Council is not permitted to take any action or discuss any item not listed on the agenda. The Council may choose to place the item on a future agenda.

Consent Agenda

All items listed under the Consent Agenda are considered routine by the City Council and will be enacted by one motion with no individual consideration. If individual consideration of an item is requested, it will be pulled from the Consent Agenda and discussed separately.

3. Consider Action on the **Minutes from the February 8, 2022 Regular City Council Meeting.**

 4. Consider Action on an **Ordinance Repealing Ordinance No. O22-001 and Approving a Special Warranty Deed for the Dedication of Public Right-of-Way for the Extension of Bella Lane.**
-

Regular Items

5. Present and Discuss the **Addison Police Department's 2021 Annual Report.**

 6. Present, Discuss, and Consider Action to **Accept the Addison Police Department's 2021 Racial Profiling Report and Motor Vehicle Contact Search Analysis.**

 7. Present and Discuss the **Finance Department Quarterly Financial Report of the Town of Addison for the Fiscal Year 2022 First Quarter Ended December 31, 2021.**
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Adjourn Meeting

NOTE: The City Council reserves the right to meet in Executive Session closed to the public at any time in the course of this meeting to discuss matters listed on the agenda, as authorized by the Texas Open Meetings Act, Texas Government Code, Chapter 551, including §551.071 (private consultation with the attorney for the City); §551.072 (purchase, exchange, lease or value of real

property); §551.074 (personnel or to hear complaints against personnel); §551.076 (deployment, or specific occasions for implementation of security personnel or devices); and §551.087 (economic development negotiations). Any decision held on such matters will be taken or conducted in Open Session following the conclusion of the Executive Session.

THE TOWN OF ADDISON IS ACCESSIBLE TO PERSONS WITH DISABILITIES. PLEASE CALL (972) 450-7017 AT LEAST 48 HOURS IN ADVANCE IF YOU NEED ASSISTANCE.

POSTED BY: _____
Irma G. Parker, City Secretary

DATE POSTED: February 17, 2022

TIME POSTED: 5:30pm

DATE REMOVED FROM BULLETIN BOARD: _____

REMOVED BY: _____

Council Meeting

1.

Meeting Date: 02/22/2022

Department: Information Technology

Pillars: Gold Standard in Customer Service

Milestones: Promote and protect the Addison Way

AGENDA CAPTION:

Present and Discuss an **Update on the Town’s Citizen Engagement Platform, the Addison FixIT App.**

BACKGROUND:

Council Member Marlin Willesen and Deputy Mayor Pro Tempore Paul Walden requested that this item be placed on the agenda. Town Staff will provide an update regarding the Addison FixIT App, the Town's citizen engagement platform. Staff's presentation will include a review of the app's history, utilization, functionality, features, and more. A live demonstration of how to use the app will also be provided.

RECOMMENDATION:

Information only, no action required.

Attachments

Presentation - Addison FixIT App

**Citizen Engagement Platform
“Addison FixIT App”
Presentation**



ADDISON

To provide Addison residents and business community with a user-friendly, all-in-one **Citizen Engagement** solution that encourages engagement and gives the Town leaders a consolidated view of valuable data to use for providing more meaningful experiences for residents and build a stronger community.

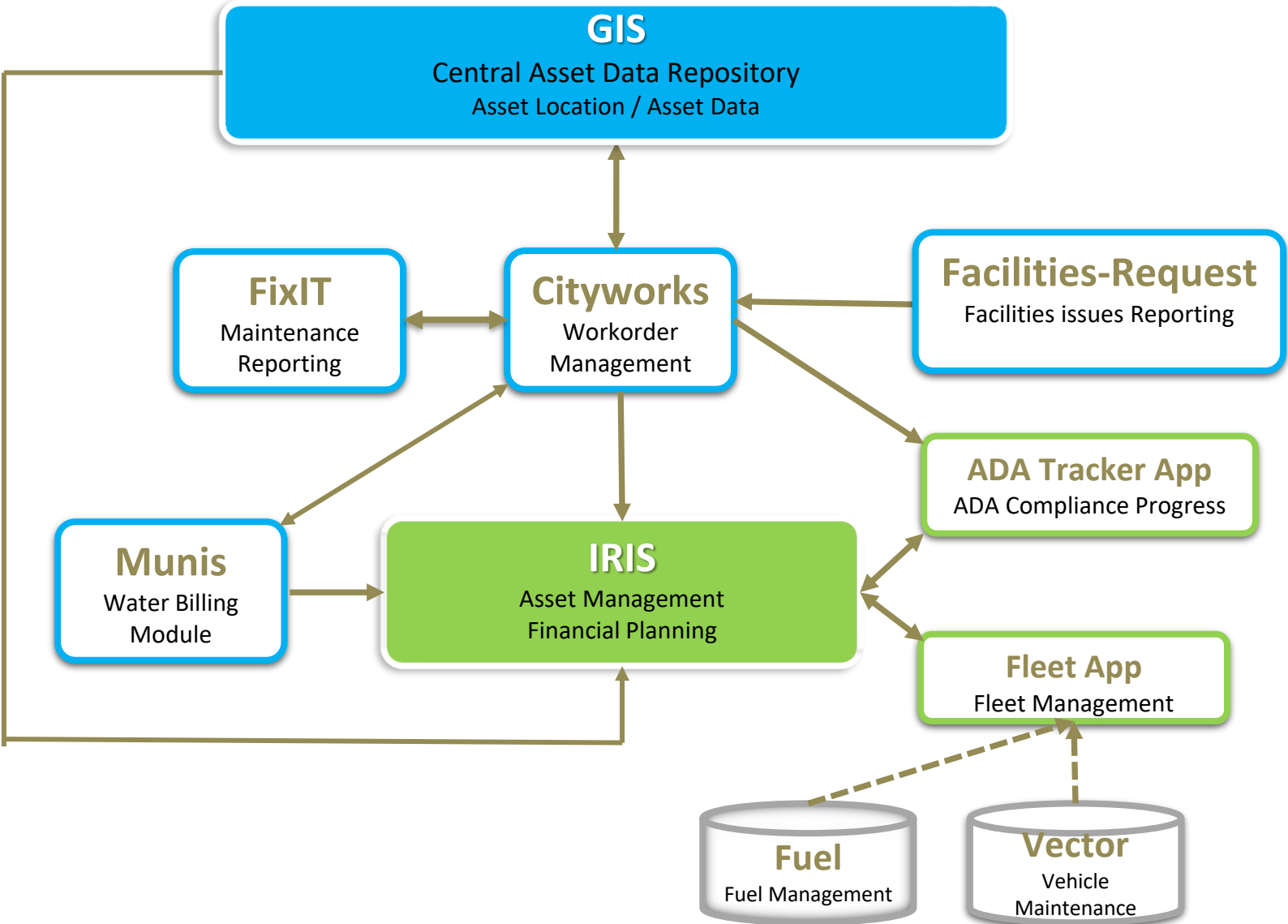
- 200 Customers around the country
- 35 in Texas

Soft Launch Date (Internal Testing): October 2019
Launch Date: December 22, 2019

	2020 Subscribers	2021 Subscribers	Total Number of Subscribers
iOS Downloads	309	215	524
Android Downloads	77	52	129
Total Registered Users	205	201	406

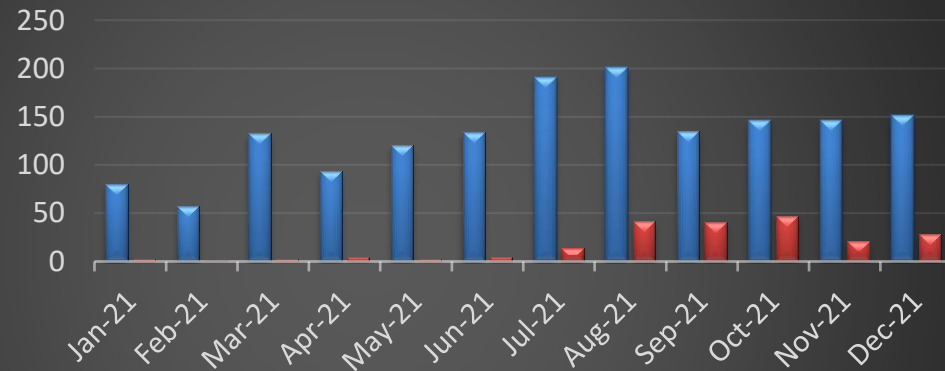
Subscribers are able to use the FixIT App on their devices without registering as a user. These requests are submitted anonymously.

Asset Management and FixIT App Integration



FixIT App Total Service Requests for 2020 and 2021

Total Service Requests in 2021 1,795



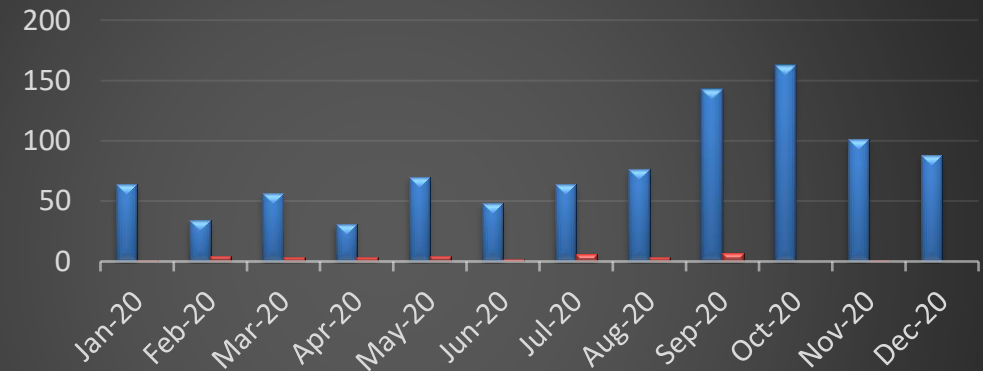
	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21	Oct-21	Nov-21	Dec-21
Requests Completed	80	57	133	93	120	134	191	201	135	146	146	151
Requests Pending	2	1	2	4	2	4	14	42	41	47	21	28

■ Requests Completed
 ■ Requests Pending

2021 Top Service Requests

- 28.8% - Parks Related
- 31.9% - Code Enforcement
- 9.2% - Streets Related

Total Service Requests in 2020 972



	Jan-20	Feb-20	Mar-20	Apr-20	May-20	Jun-20	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20
Requests Completed	64	34	56	31	70	48	64	76	143	163	101	88
Requests Pending	1	4	3	3	4	2	6	3	7	0	1	0

■ Requests Completed
 ■ Requests Pending

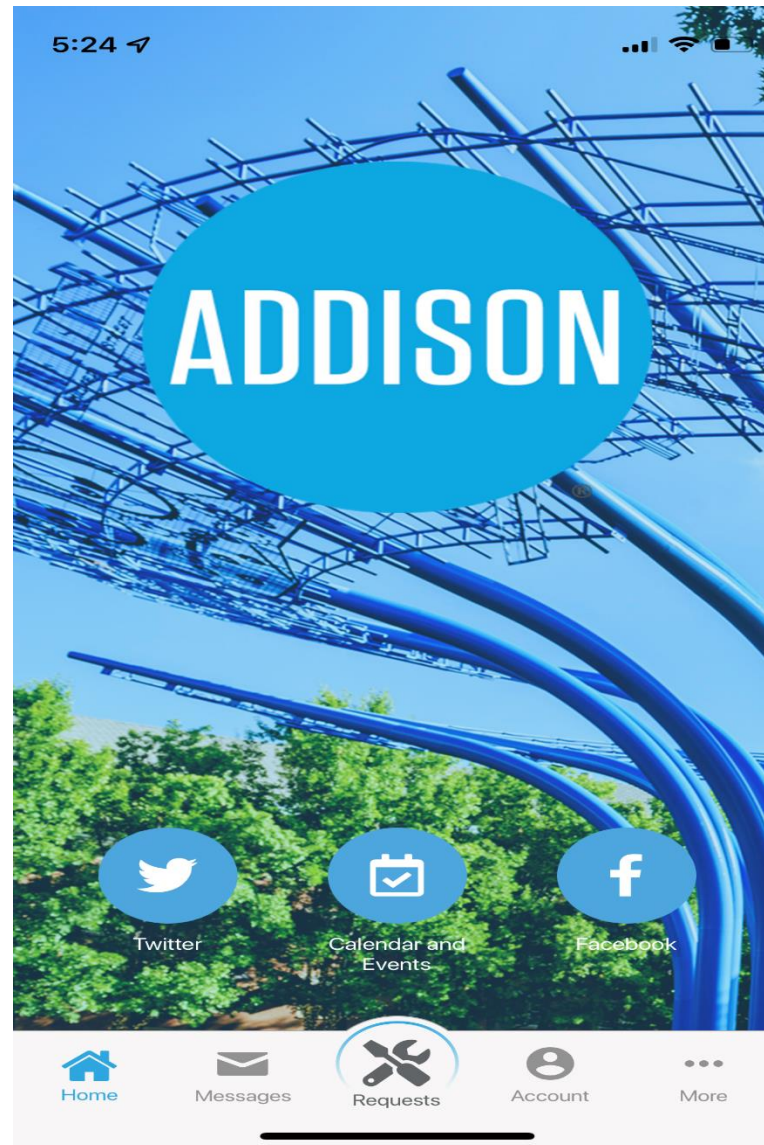
2020 Top Service Requests

- 48.4% - Parks Related
- 21.3% - Code Enforcement
- 10.3% - Streets Related

- 224 requests submitted anonymously
- Top 5 Submitters
 - Marlin Willesen 538
 - Paul Walden 269
 - Roger Meadors 94
 - Kent Domingue 34
 - Jay Ihrig 33
- 58 requests submitted online via website

Live Demonstration

ADDISON



Questions?

Council Meeting

2.

Meeting Date: 02/22/2022

Department: Airport

Pillars: Excellence in Transportation Systems

Milestones: Leverage the new Customs facility to promote international travel use of the Airport
Leverage the use of the Airport to maximize business growth and expansion

AGENDA CAPTION:

Present and Discuss the **Airport Quarterly Report for the Fiscal Year 2022 First Quarter Ended December 31, 2021.**

BACKGROUND:

The purpose of this item is to provide City Council with an overview of key Airport performance measures for the first quarter of Fiscal Year 2022, which ended on December 31, 2021. The report presents data on revenue, fuel flowage, and aircraft operations (take-offs and landings) including international operations. The report also includes a snapshot of the Airport's real estate portfolio.

Highlights from the first quarter include:

- Aircraft operations continue to show a strong trend of recovery from the pandemic.
- Instrument Flight Rules (IFR) operations, which are a good marker for jet and turbo-prop operations, continued to show unusual strength.
- Fuel flowage posted the highest quarterly volume on record. Jet-A accounted for 93.6% of the total fuel volume for the quarter.
- Addison is benefiting from the closure of Runway 13R/31L at Dallas Love Field, which began April 27, 2021 and is expected to continue at least until the end of April 2022. Congestion resulting from Love Field being reduced to a single-runway operation has encouraged a significant volume of business aviation traffic to select Addison as an alternative. This development has positively impacted Addison's IFR operations, Jet-A fuel flowage volume, and international traffic.
- Real estate revenues remained relatively flat, while fuel flowage fee revenue increased in proportion to fuel flowage volume, driving up total revenues.
- With respect to the real estate portfolio, the airport remains effectively at 100% occupancy.
- The Galaxy FBO project has been beset with additional delays and is now expected to open near the end of April 2022. When Galaxy FBO opens, it

will add more than 100,000 square feet of much-needed hangar capacity at Addison.

- U.S. Customs and Border Protection (CBP) occupied the first floor of the new facility the week of February 1, 2022.

RECOMMENDATION:

Information only, no action required.

Attachments

Presentation - Addison Airport Quarterly Fiscal Year 2022-Q1

Addison Airport

1st Quarter Update – Fiscal Year 2022

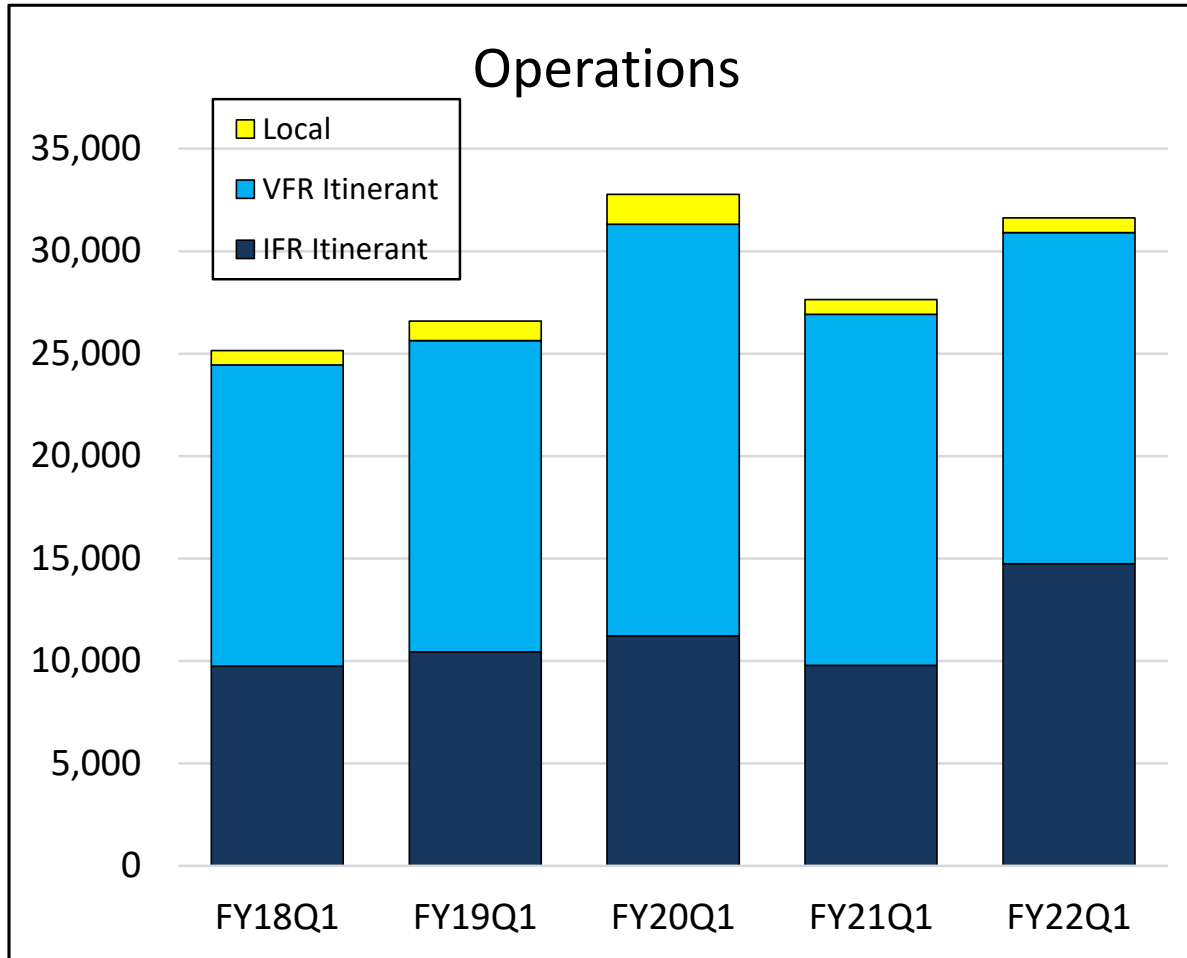
February 22, 2022

The logo for Addison Airport, featuring the word "ADDISON" in blue capital letters inside a white circle, which is set against a blue background with a white diagonal line.

ADDISON

- **Statistics: FY22 Q1**
 - Aircraft Operations
 - International Operations
 - Fuel Flowage
 - Revenue
 - Real Estate Portfolio
- **Projects and Progress**





Definitions

- An **Aircraft Operation** is either a takeoff or a landing
- **Local Operations** are typically aircraft remaining in the local traffic pattern
- **Itinerant Operations** are aircraft that arrive from outside the airport area or depart and leave the airport area
- VFR is “**Visual Flight Rules**” (looking out the windows)
- IFR is “**Instrument Flight Rules**” (relying on the flight instruments)

FY22 Q1 Statistics – Aircraft Operations (con't.)

Instrument (IFR) Operations

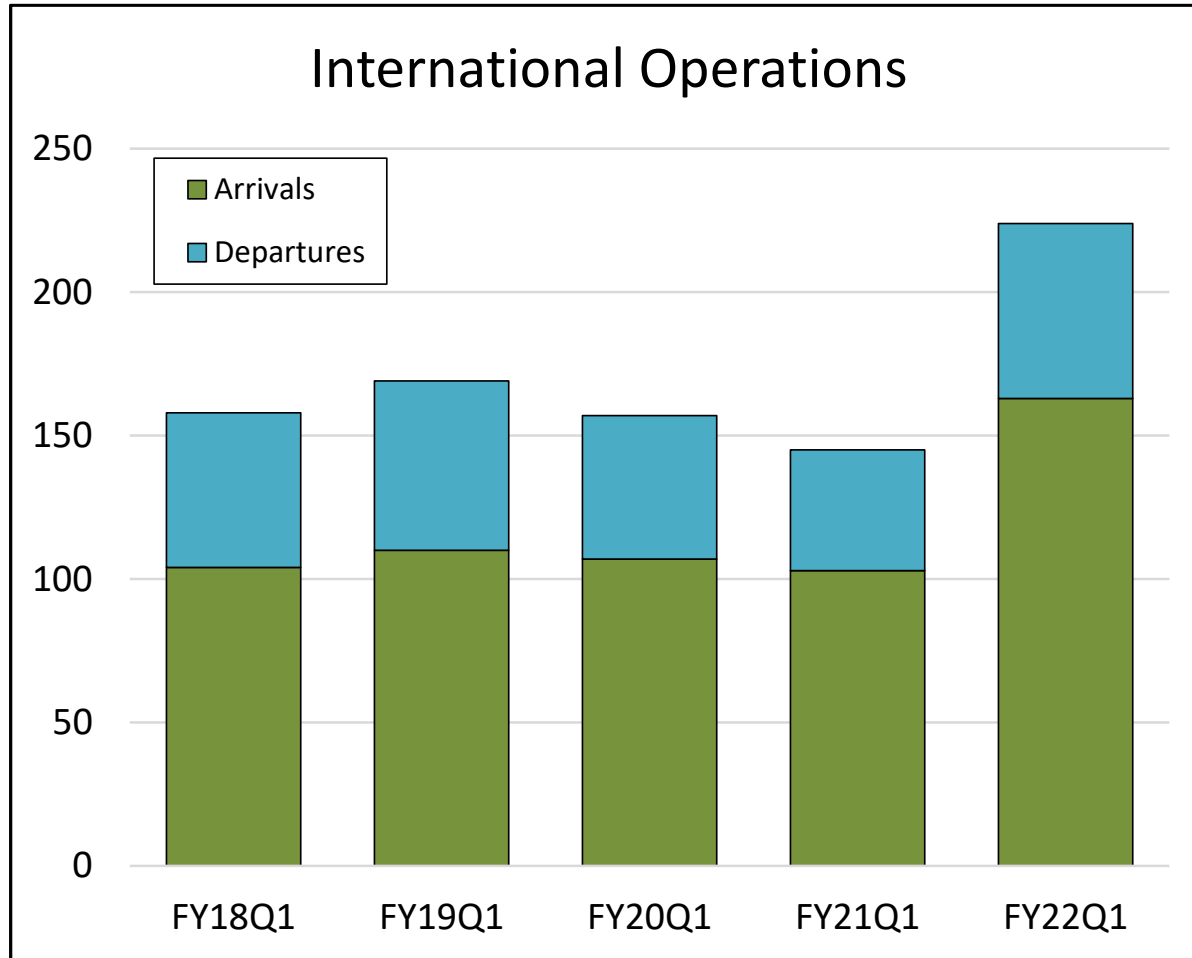
- Up 50.7% over Q1 of FY21
- Up 31.3% over Q1 of FY20

Total Operations

- Up 14.4% over Q1 of FY21
- Down 3.5% from Q1 of FY20

		OPERATIONS			
		IFR Itin	VFR Itin	Local	TOTAL
FY18 Q1	Oct-17	3,224	5,496	175	8,895
	Nov-17	3,663	4,766	317	8,746
	Dec-17	2,853	4,450	209	7,512
TOTAL		9,740	14,712	701	25,153
FY19 Q1	Oct-18	3,935	4,573	469	8,977
	Nov-18	3,432	5,616	280	9,328
	Dec-18	3,080	4,989	220	8,289
TOTAL		10,447	15,178	969	26,594
FY20 Q1	Oct-19	3,966	6,841	598	11,405
	Nov-19	3,617	6,592	358	10,567
	Dec-19	3,636	6,670	493	10,799
TOTAL		11,219	20,103	1,449	32,771
FY21 Q1	Oct-20	3,580	6,055	283	9,918
	Nov-20	3,228	5,746	187	9,161
	Dec-20	2,968	5,338	261	8,567
TOTAL		9,776	17,139	731	27,646
FY22 Q1	Oct-21	5,038	5,771	219	11,028
	Nov-21	5,050	5,993	308	11,351
	Dec-21	4,645	4,406	188	9,239
TOTAL		14,733	16,170	715	31,618

FY22 Q1 Statistics – International Operations



International operations are reported monthly to the airport by the CBP Officer assigned to Addison.

Not all aircraft are required to file notice with the CBP Officer at the departure airport for international departures, so the international departure counts provided to Addison by CBP are undercounts.

International Arrivals

- Up 58.3% over Q1 FY21
- Up 52.3% over Q1 FY20

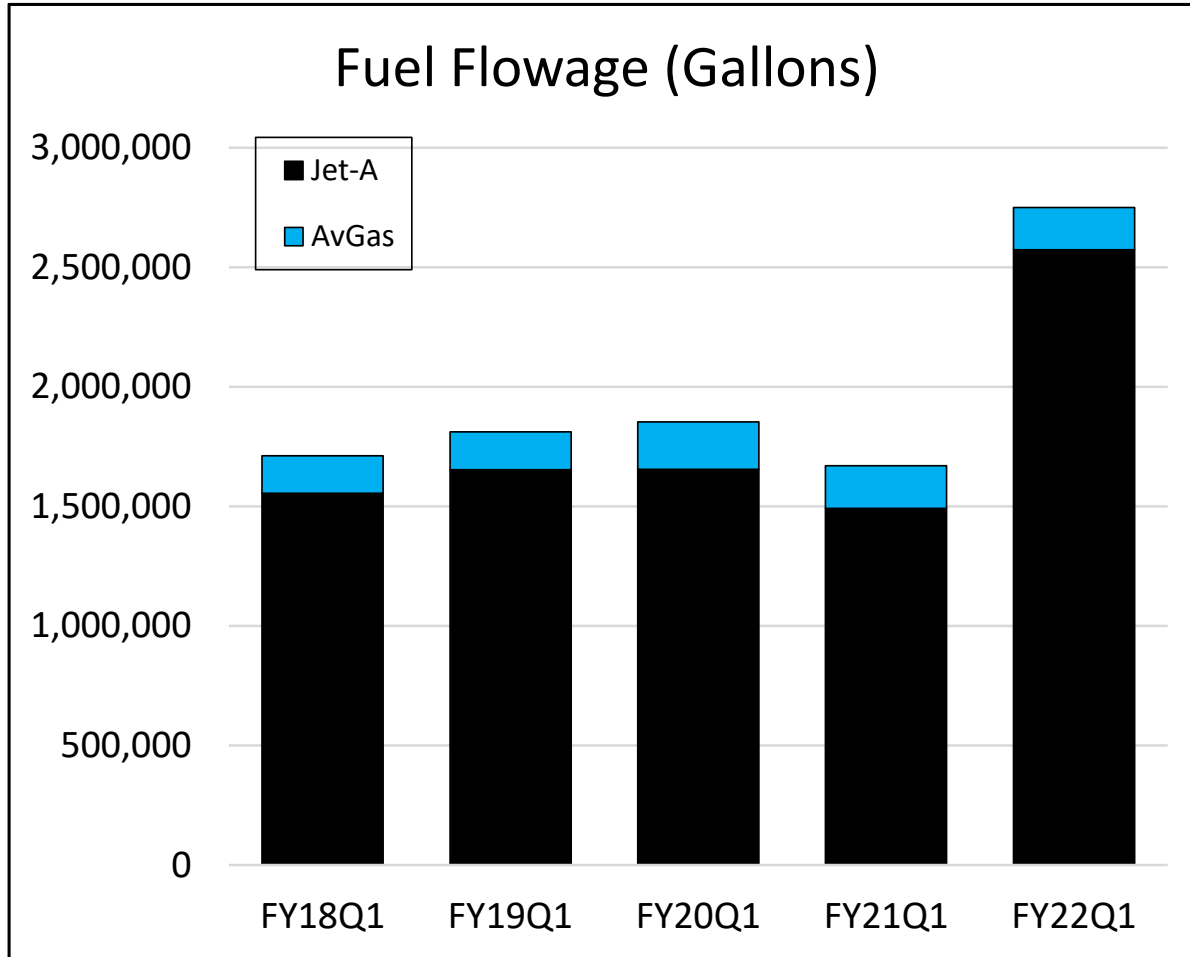
International Departures*

- Up 45.2% over Q1 of FY21
- Up 22.0% from Q1 of FY20

* As noted on the previous slide, international departures are undercounted because certain types of operations are not required to file with CBP at the departure airport (although they are still required to file with CBP).

		International Operations		
		arrivals	departures	TOTAL
FY18 Q1	Oct-17	32	15	47
	Nov-17	33	14	47
	Dec-17	39	25	64
TOTAL		104	54	158
FY19 Q1	Oct-18	42	11	53
	Nov-18	39	22	61
	Dec-18	29	26	55
TOTAL		110	59	169
FY20 Q1	Oct-19	26	7	33
	Nov-19	38	21	59
	Dec-19	43	22	65
TOTAL		107	50	157
FY21 Q1	Oct-20	27	5	32
	Nov-20	43	16	59
	Dec-20	33	21	54
TOTAL		103	42	145
FY22 Q1	Oct-21	38	9	47
	Nov-21	61	23	84
	Dec-21	64	29	93
TOTAL		163	61	224

FY22 Q1 Statistics – Fuel Flowage



Jet-A is the aviation fuel commonly used in the United States for most jet and turbo-prop aircraft.

AvGas – 100 octane Low Lead Aviation Gasoline – is the most widely used aviation fuel for piston-engine aircraft.

The airport collects flowage fees on aviation fuels delivered into the airport's bulk fuel storage facility:

- **FBOs** pay a fuel flowage fee of **\$0.14/gallon** received (for both Jet-A and AvGas).
- **'Non-public'** fuelers pay a fuel flowage fee of **\$0.22/gallon** received.

FY22 Q1 Statistics – Fuel Flowage (con't.)

Jet-A

- Up 72.6% over Q1 FY21
- Up 55.4% over Q1 FY20

AvGas

- Down 1.0% from Q1 of FY21
- Down 11.2% from Q1 of FY20

Total Fuel Flowage

- Up 64.7% over Q1 of FY21
- Up 48.3% over Q1 of FY20

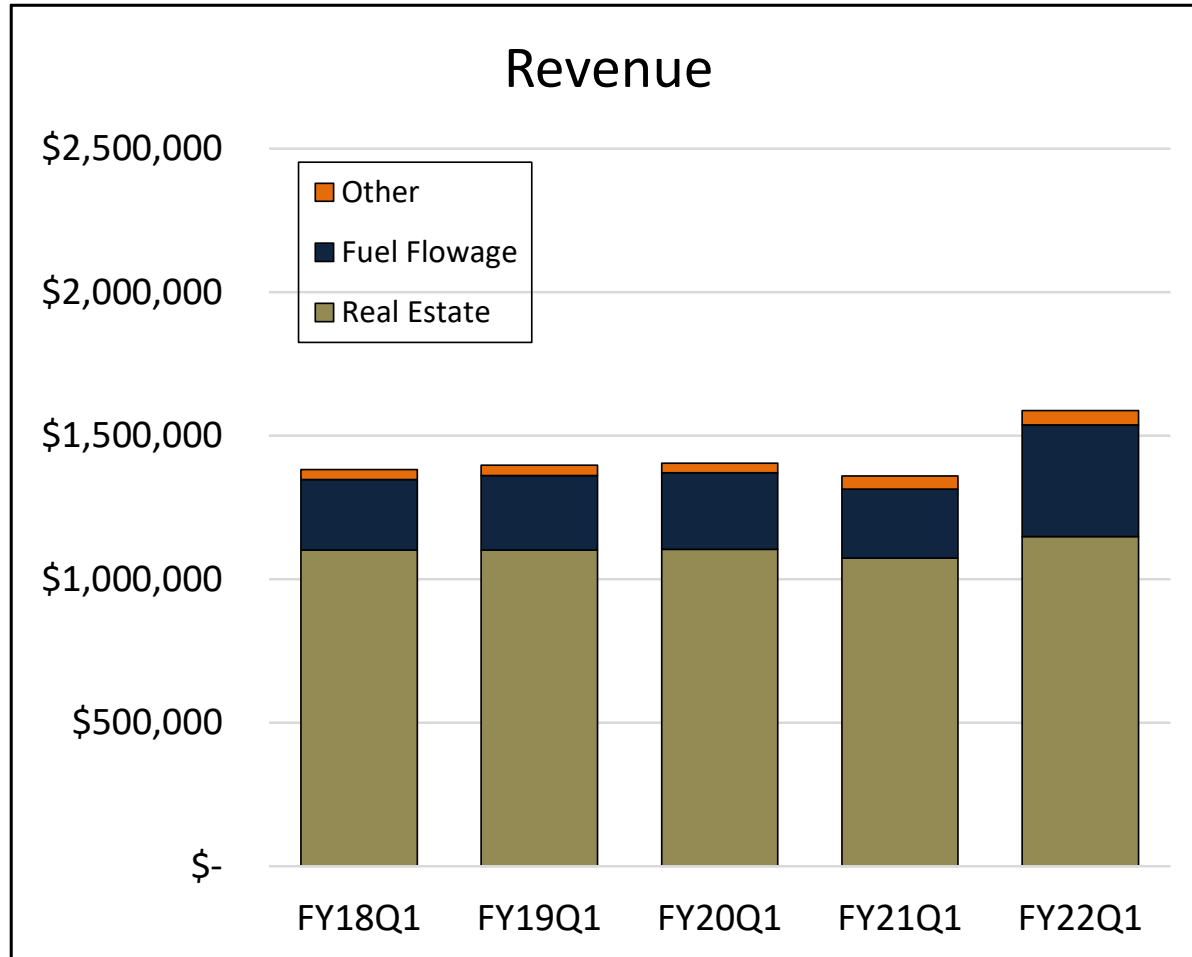
		FUEL FLOWAGE (gallons)		
		Jet-A	AvGas	TOTAL
FY18 Q1	Oct-17	529,149	55,595	584,744
	Nov-17	552,450	51,038	603,488
	Dec-17	474,317	49,006	523,323
TOTAL		1,555,916	155,639	1,711,555
FY19 Q1	Oct-18	555,796	47,404	603,200
	Nov-18	560,580	60,950	621,530
	Dec-18	537,520	49,668	587,188
TOTAL		1,653,896	158,022	1,811,918
FY20 Q1	Oct-19	587,394	68,894	656,288
	Nov-19	497,326	65,126	562,452
	Dec-19	571,207	64,426	635,633
TOTAL		1,655,927	198,446	1,854,373
FY21 Q1	Oct-20	530,193	64,444	594,637
	Nov-20	447,146	56,798	503,944
	Dec-20	514,125	56,903	571,028
TOTAL		1,491,464	178,145	1,669,609
FY22 Q1	Oct-21	827,016	59,895	886,911
	Nov-21	889,557	55,886	945,443
	Dec-21	857,034	60,528	917,562
TOTAL		2,573,607	176,309	2,749,916

Note: Runway 13L/31R at DAL closed beginning 4/27/2021; the resulting congestion at DAL has greatly benefitted ADS

Top Ten Highest Monthly Fuel Flowage Volumes Recorded at Addison Airport:

10.	APR 2002	821,438 gallons
9.	MAR 2005	821,611 gallons
8.	JUN 2000	836,281 gallons
7.	MAR 2002	842,902 gallons
6.	JUN 2002	849,441 gallons
5.	OCT 2021	886,911 gallons
4.	SEP 2005	898,550 gallons
3.	OCT 2005	906,075 gallons
2.	DEC 2021	917,472 gallons
1.	NOV 2021	945,443 gallons

FY22 Q1 Statistics – Revenue



Real Estate revenue includes:

- ground leases
- leases of airport-owned commercial hangars
- leases of airport-owned commercial office space and signs
- T-hangar and patio hangar leases
- aircraft tie-down / ramp space
- bulk fuel storage tank leases
- through-the-fence (“TTF”) access permit fees

Fuel Flowage Fee revenue

- \$0.14/gallon for FBOs
- \$0.22/gallon for non-public fuelers
- based on gallons received at the bulk fuel storage facility (the “fuel farm”)

‘Other’ revenue includes:

- U.S. Customs and Regulated Garbage fees
- Utility billing pass-through
- Miscellaneous income

FY22 Q1 Statistics – Revenue (con't.)

Real Estate Revenue

- Up 6.9% over Q1 FY21
- Up 4.0% over Q1 FY20

Fuel Flowage Fees

- Up 62.7% over Q1 of FY21
- Up 46.1% over Q1 of FY20

Total Revenue

- Up 16.9% over Q1 of FY21
- Up 13.1% over Q1 of FY21

		REVENUE			
		Real Estate	FFF	Other	TOTAL
FY18 Q1	Oct-17	\$ 367,559	\$ 83,762	\$ 10,574	\$ 461,894
	Nov-17	\$ 367,157	\$ 85,961	\$ 10,950	\$ 464,068
	Dec-17	\$ 367,364	\$ 75,183	\$ 13,278	\$ 455,824
TOTAL		\$1,102,079	\$244,906	\$ 34,802	\$1,381,787
FY19 Q1	Oct-18	\$ 368,424	\$ 86,679	\$ 9,312	\$ 464,414
	Nov-18	\$ 364,526	\$ 88,931	\$ 11,661	\$ 465,118
	Dec-18	\$ 368,933	\$ 84,187	\$ 15,299	\$ 468,419
TOTAL		\$1,101,882	\$259,797	\$ 36,272	\$1,397,951
FY20 Q1	Oct-19	\$ 376,390	\$ 94,813	\$ 8,254	\$ 479,457
	Nov-19	\$ 371,814	\$ 80,343	\$ 14,462	\$ 466,619
	Dec-19	\$ 355,679	\$ 91,632	\$ 11,052	\$ 458,363
TOTAL		\$1,103,882	\$266,788	\$ 33,769	\$1,404,439
FY21 Q1	Oct-20	\$ 357,018	\$ 85,818	\$ 15,367	\$ 458,202
	Nov-20	\$ 356,194	\$ 71,252	\$ 16,597	\$ 444,043
	Dec-20	\$ 360,755	\$ 82,548	\$ 13,621	\$ 456,924
TOTAL		\$1,073,967	\$239,617	\$ 45,585	\$1,359,169
FY22 Q1	Oct-21	\$ 405,661	\$126,083	\$ 18,093	\$ 549,837
	Nov-21	\$ 364,559	\$134,318	\$ 10,780	\$ 509,657
	Dec-21	\$ 377,826	\$129,442	\$ 21,518	\$ 528,786
TOTAL		\$1,148,045	\$389,843	\$ 50,391	\$1,588,280

FY22 Q1 Statistics – Real Estate Portfolio

Addison Airport Q1 FY To Date 2022

Property Type / Description	Number of Properties	Number of Units	Vacancies	Leased %	Q1 FY To Date 2022 Revenue	% of Total Revenue
Ground Leased						
Ground Leased	34	34	0	100%	\$570,778	37%
Total Ground Leased	34	34	0	100%	\$570,778	37%
Town / Airport Owned						
Jet/Commercial/Sign	16	62	4	94%	\$270,508	18%
T-Hangar	9	99	0	100%	\$181,645	12%
Patio Hangar	3	47	0	100%	\$42,417	3%
Tie-Downs	1	2	0	100%	\$7,875	1%
Vacant / Unimproved Land	10	10	-	-	\$0	0%
Fuel Farm	1	16	0	100%	\$38,863	3%
Total Town / Airport Owned	40	236	4	98%	\$541,308	35%
Airport Real Estate Totals	74	270	4	99%	\$1,112,086	72%
Access Permits	2	16	-	-	\$0	0%
Portfolio Total	76	286	4	-	\$1,112,086	72%
Non-Real Estate Revenue					\$432,613	28%
Q1 FY To Date 2022 Revenue Totals					\$1,544,699	100%
Annualized Revenue					\$6,178,797	99%
Annualized Budgeted Revenue					\$6,255,362	

FY22 Q1 Statistics – Real Estate Portfolio

Addison Airport Q1 FY To Date 2022 Economic Occupancy

Property Type / Description	Q1 FY To Date 2022 Budget	Q1 FY To Date 2022 Revenue	Economic Occ. %
Ground Leased			
Ground Leased	\$691,930	\$570,778	82%
Total Ground Leased	\$691,930	\$570,778	82%
Town / Airport Owned			
Jet/Commercial/Sign	\$253,652	\$270,508	107%
T-Hangar	\$185,003	\$181,645	98%
Patio Hangar	\$41,525	\$42,417	102%
Tie-Downs	\$0	\$7,875	0%
Vacant / Unimproved Land	\$0	\$0	0%
Fuel Farm	\$49,211	\$38,863	79%
Total Town / Airport Owned	\$529,391	\$541,308	102%
Airport Real Estate Totals	\$1,221,321	\$1,112,086	91%
Access Permits	\$0	\$0	0%
Portfolio Total	\$1,221,321	\$1,112,086	91%
Non-Real Estate Revenue	\$342,519	\$432,613	126%
Q1 FY To Date 2022 Revenue Totals	\$1,563,840	\$1,544,699	99%

- Three ground leases reverted to airport control in the first quarter of FY2022, reducing the ground lease count from 37 to 34.
- Two of the expiring ground leases converted to commercial hangar leases; the third was for a through-the-fence access ramp.
- Ground Lease and Fuel Farm revenues were below budget for the first quarter because Galaxy FBO was projected to begin operations (along with ground lease and fuel tank lease payments) beginning in October 2021.
- While Galaxy FBO is now projected to begin operations in April 2022, per terms of their ground lease “Additional Rent” payments were invoiced (in arrears, at the start of January) beginning December 1, 2021.

Addison Airport – Projects and Progress

ADDISON



Addison Airport – Projects and Progress

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Customs & Border Protection (CBP) and Airport Administration Offices

- Airport staff occupied the 2nd floor at the end of April 2021 (with 2nd floor substantially complete).
- First floor substantially completed on October 15; security and access controls for CBP to be completed by January 31, 2022.
- CBP occupied 1st floor the week of February 1, 2022.
- Monument sign to be installed in March 2022.



Addison Airport – Projects and Progress

ADDISON

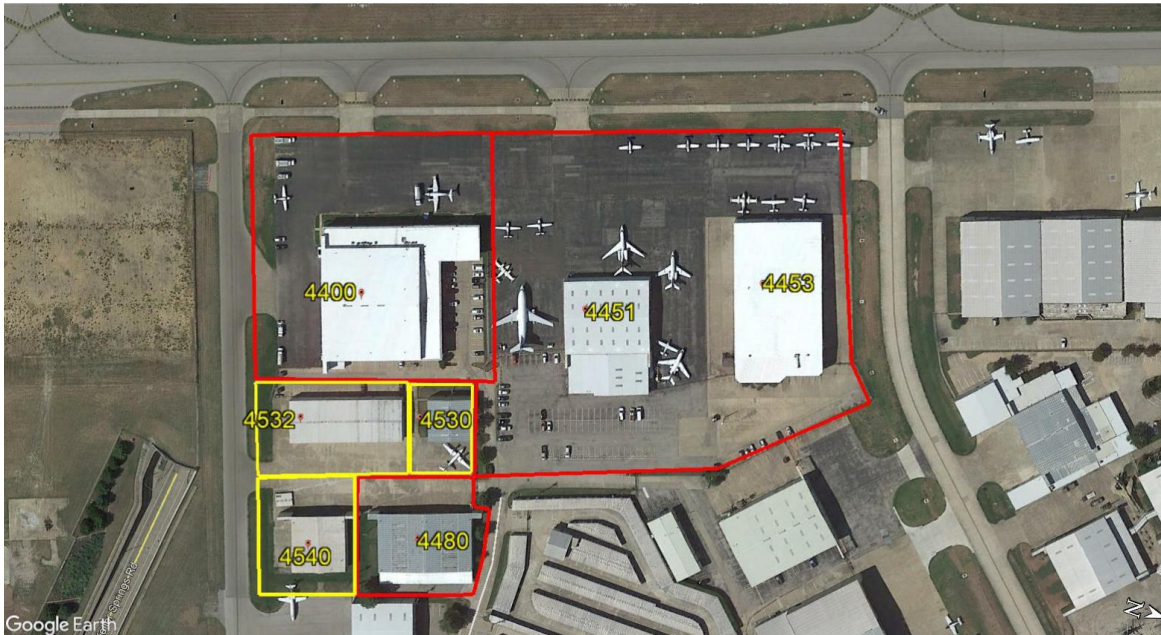
Galaxy FBO

- \$1 million lease pre-payment received July 2019
- Construction commenced December 2019
- Substantial completion with initial operations is expected to occur in April 2022
- “Additional Rent” payments began December 1, 2021, with billings in arrears (rent for December billed in January)



Atlantic Aviation FBO

- New ground lease approved by Council on August 11, 2020; three extensions of lease term tied to capital investments totaling a minimum of \$14 Million.
- Atlantic Aviation acquired by Kohlberg Kravis Roberts (KKR) from Macquarie Infrastructure Corporation for \$4.475 Billion; sale closed on September 24, 2021.



- Ameristar has moved out of Hangar 2 (4451 Glenn Curtiss Drive).
- Demolition of Hangar 2 and construction of a new hangar to be completed by four years after lease commencement date (minimum \$6 Million capital investment).
- Atlantic is still in the plan development phase for the new hangar.

Pending Projects – Airport Improvement Program (AIP) Grants

- Runway and taxiway pavement preservation and runway re-designation
 - FY21 AIP grant; original amount was \$1,475,000; reduced to \$1,031,020 based on bids received.
 - Project includes crack sealing on runway, Taxiway Alpha, and connecting taxiways.
 - Project also includes runway designation change, from 15-33 to 16-34, with replacement of airfield guidance sign panels and addition of (thermoplastic) surface-painted holding position signs.
 - The project has been postponed until spring, as the products cannot be applied in cold weather.
 - Runway designation will officially change to 16-34 with the May 19, 2022, FAA chart publication.
- Design for Taxiway Bravo extensions
 - FY21 AIP grant in the amount of \$805,000 (90% FAA, 10% local match)
 - Engineering consultant selection RFQ advertised January 6, 2022; responses due February 2, 2022.
 - Five responses to RFQ received; review in progress, TX-DOT requested ranking by February 25, 2022
- Construction of Taxiway Bravo extensions and west side service road (first phase)
 - FY22 AIP grant, estimated amount of \$7.5 Million (90% FAA funds, with 10% local match).
 - TX-DOT will request local matching funds after bids are received (estimated match: \$1,440,400).

Addison Airport Quarterly Update – Questions?

ADDISON



Council Meeting

3.

Meeting Date: 02/22/2022

Department: City Secretary

AGENDA CAPTION:

Consider Action on the Minutes from the February 8, 2022 Regular City Council Meeting.

BACKGROUND:

The minutes for the February 8, 2022 City Council meeting have been prepared for consideration.

RECOMMENDATION:

Administration recommends approval.

Attachments

Minutes - February 8, 2022

DRAFT

OFFICIAL ACTIONS OF THE ADDISON CITY COUNCIL

February 8, 2022

**6:00 p.m. Executive Session & Work Session
7:30 p.m. Regular Meeting**

**Addison TreeHouse
14681 Midway Rd., Addison, TX 75001**

The Addison City Council conducted its Regular Council Meeting on Tuesday, February 8, 2022, at the Addison TreeHouse with a quorum of the City Council physically present. Limited seating for members of the public was available using CDC recommended social distancing measures. The Town utilized telephone and videoconferencing to facilitate participation in the meeting. Interested parties were able to make public comments and address the Council via emailed comments submitted to the City Secretary at iparker@addisontx.gov by 3:00 pm on the meeting day. Members of the public were also entitled to participate remotely via Toll-Free Dial-in Number: 877.853.5247; Meeting ID: 409.327.0683 Participant ID: #. Detailed instructions on how to participate in this meeting were available on the Town's website on the Agenda Page. The meeting was live streamed on Addison's website at www.addisontexas.net

Present: Mayor Joe Chow; Mayor Pro Tempore Guillermo Quintanilla; Deputy Mayor Pro Tempore Paul Walden; Council Member Tom Braun; Council Member Lori Ward; Council Member Kathryn Wheeler; Council Member Marlin Willesen.

Call Meeting to Order: Mayor Chow called the meeting to order.

Pledge of Allegiance: Mayor Chow led the Pledge of Allegiance

EXECUTIVE SESSION

Closed (Executive) Session of the Addison City Council pursuant to:

- **Section 551.087, Texas Government Code, to discuss or deliberate regarding commercial or financial information that the City Council has received from a business prospect or business prospects that the City Council seeks to have locate, stay, or expand in or near the territory of the Town of Addison and with which the City Council is conducting economic development negotiations, and/or to deliberate**

the offer of a financial or other incentive to such business prospect or business prospects:

- Project Jet
- Discuss master developer proposals for the Town’s Transit Oriented Development Project

Mayor Chow closed the Open Session to convene the City Council into Closed Executive Session at 6:05 p.m.

Reconvene into Regular Session: In accordance with Texas Government Code, Chapter 551, the City Council will reconvene into Regular Session to consider action, if any, on matters discussed in Executive Session.

Mayor Chow reconvened the City Council into Open Session at 6:41 p.m. No action was taken as a result of Executive Session.

WORK SESSION

1. Present and Discuss the Planning and Zoning Commission Annual Report for Calendar Year 2021.

Planning & Zoning Commission Chairman Tom Souers presented this item. He introduced Commissioners Bob Catalani and Denise Fansler who were in attendance.

Chairman Souers explained that each year the Commission is required to submit a progress report to the City Council that includes a summary of its activities and major accomplishments for the past year.

Chairman Sauers advised that in 2021 the Commission reviewed 25 cases. This case load was comprised of the following:

NUMBER	REQUEST TYPE
9	Rezoning and Development Plan
15	Special Use Permit (SUP)
1	Plat

Notable Projects and Development Trends Several key development trends for 2021 included the following:

- *Strong Restaurant Demand in the Belt Line Road Corridor* - Restaurant growth was the dominant trend of 2021, with substantial investment continuing at Belt Line Road and Montfort Drive, through new concepts such as Loro Asian Smokehouse, Jeni’s Splendid Ice Creams, Pressed, La La Land Kind Café, and TK’s Place. Additional investment occurred at the western end of the Belt Line corridor, through the introduction of Wing Boss and PokeWorks.
- *Additional Townhome Supply – Addison Grove and Vitruvian* - The next phase of the Addison Grove Townhomes will bring 33 additional “for sale” townhomes, with 17 of

these homes being “Live/Work”, allowing the homeowner to operate a small business on the ground floor. In the Vitruvian Park neighborhood, 85 “for rent” townhome units will be developed at the southeast corner of Vitruvian Way and Spring Valley Road. These new townhomes will contribute to the diversity of the Town’s housing inventory.

- Continued Evolution of the Greenhill School and Trinity Christian Academy Campuses - Greenhill School and Trinity Christian Academy continue to implement their campus master plans. Construction of a new middle school building on the TCA campus is ongoing and will be completed for the start of the 2023 school year. Construction of Greenhill School’s Math, Science, and Innovation (MSI) building will commence this winter, with a planned completion date of fall 2023.

The 2021 report also included a comparison of the type of cases reviewed by the Commission, pre-construction projects, completed projects, and projects under construction. Attendance in 2021 for the Commissioners was provided. A list of 2022 Goals for the Commission were presented.

2022 Goals

GOAL	DESCRIPTION
1	Execution of the 2022 Planning and Development Work Program. Major areas of focus will include implementation of the Unified Development Code and review of the Transit Oriented Development zoning entitlements.
2	Renewed focus on sustainability in the development review process, addressing issues such as recycling, sidewalk connectivity, preservation of tree canopy, and replacement of lost landscape.
3	Process improvements targeting pre-meeting staff communication, the agenda and packet process, and Commission meeting procedures.
4	Internal and external training and development opportunities for the Commission and staff

Mayor Chow expressed appreciation for the Commission’s work.

Discussion of meeting format and schedule for Commission meetings followed.

2. Present and Discuss Matters Related to the Les Lacs Park Outdoor Fitness Court.

Janna Tidwell, Director of Parks and Recreation, presented this item. She advised this item is presented at the request of Mayor Chow and Deputy Mayor Pro Tempore Walden.

Ms. Tidwell reviewed that on September 14, 2021, Council approved two resolutions:

- 1) A resolution confirming the Town's intent to participate in the 2021 National Fitness Campaign Grant Program for \$25,000 towards the purchase of fitness equipment to be installed at Les Lacs Park.
- 2) A resolution to approve the purchase of Outdoor Fitness Court equipment from National Fitness Campaign in an amount not to exceed \$124,265.

Ms. Tidwell advised that following approval by Council, staff facilitated the purchase of outdoor fitness equipment with 2019 bond funds, and that the equipment is in the possession of the Town awaiting installation. Staff is currently reviewing proposals from contractors to install the concrete pad for the equipment installation.

Ms. Tidwell stated that in November - December 2021, residents approached Council and staff with concerns about the installation of the equipment at the proposed location at Les Lacs Park. The concerns shared by the group of residents included:

- The location / use is not appropriate
- Greenscape will be lost
- Aesthetics
- Potential to cause crime or nuisance situations
- Materials will deteriorate and require maintenance
- Location by the playground may not be appropriate
- Allocation of funds for the court is a concern
- Will not be well used
- Appeals to a younger age group which is not represented in the area

Ms. Tidwell provided background on this project. She reviewed that the Town's Parks Recreation and Open Space Plan was adopted by the City Council in April 2019. The adopted plan recommended installation of outdoor fitness equipment in Les Lacs Park with information derived from an extensive public input process. In November 2019 voters approved Proposition C, a bond initiative that included the addition of an outdoor fitness station in Les Lacs Park. The location for the equipment within the park was determined in June 2021. In July 2021, the Town was offered a \$25,000 grant from Texas Recreation and Park Society (TRAPS) and the National Fitness Campaign to apply towards the purchase of outdoor fitness equipment. Public input was received, and surveys were conducted in August 2021 regarding features and graphics for the facility. Public communications on this project were shared with the community in the Town newsletter and in social media posts in August and September 2021. The equipment was purchased in October 2021.

Ms. Tidwell provided an overview of other municipalities that have outdoor fitness equipment. She requested feedback from the Council on how to proceed with this project.

Considerable discussion followed as to the petition received and the concerns cited, and the advantages and disadvantages of the proposed location, including possible alternative sites. Council also discussed the ballot language for Proposition C and the fact that it did not specifically state outdoor fitness equipment, however, information on the Town's website regarding the election propositions did specifically state outdoor fitness equipment in Les Lacs Park as is stated in the Town's Parks Master Plan. Council expressed experiences with other parks in the Town.

Deputy Mayor Pro Tempore Walden, who had requested this discussion item on the agenda, expressed that he feels the Council should proceed as planned because Addison residents voted to approve it. Council Member Braun stated that if placed where planned and after time it is desired to move the equipment to an alternate park, such as Vitruvian, the cost to do so would not be prohibitive. Mayor Pro Tempore Quintanilla also spoke in favor of proceeding with installation at Les Lacs Park. Council Member Ward spoke in favor of selecting another location due to the residents nearby Les Lacs Park who signed the petition opposing the fitness equipment there. Council Member Wheeler confirmed that there are security cameras at the Addison Athletic Club, which is adjacent to Les Lacs Park. Council Member Willesen expressed concern over the type

of equipment proposed for the playground. Ms. Tidwell responded that equipment such as climbing walls and/or zip lines may be considered for future playground upgrades.

Mayor Chow agreed with Council Member Braun that it would be possible to relocate the fitness equipment if warranted at some point. He offered his appreciation to Janna Tidwell and staff for all the work put into planning this project.

City Manager Wes Pierson advised that it is unknown whether a location in Vitruvian Park would be a viable alternative and staff will research that option. He advised that this matter will be placed on a future agenda as an action item so that Council may vote on how to proceed.

REGULAR MEETING

Announcements and Acknowledgements regarding Town and Council Events and Activities

Discussion of Events/Meetings

Public Comment: *The City Council invites citizens to address the City Council on any matter, including items on the agenda, except public hearings that are included on the agenda. Comments related to public hearings will be heard when the specific hearing starts. Citizen comments are limited to **three (3) minutes**, unless otherwise required by law. To address the Council, please fill out a **City Council Appearance Card** and submit it to a staff member prior to the Public Comment item on the agenda. The Council is not permitted to take any action or discuss any item not listed on the agenda. The Council may choose to place the item on a future agenda.*

City Secretary Parker advised that there were no residents present who requested to address the City Council.

Consent Agenda: *All items listed under the Consent Agenda are considered routine by the City Council and will be enacted by one motion with no individual consideration. If individual consideration of an item is requested, it will be pulled from the Consent Agenda and discussed separately.*

3. **Consider Action on the Minutes from the January 19, 2022 Special City Council Meeting.**
4. **Consider Action on the Minutes from the January 25, 2022 City Council Meeting.**
5. **Consider Action on an Ordinance Revising Sec. 78-162 Authority to Remove Illegally Parked Vehicles.**
6. **Consider Action on a Resolution Approving the Purchase of (120) Microsoft Windows 2019 Server Licenses, (4) Microsoft SQL 2019 Server Licenses, and (120) Microsoft Windows 2019 Server Client Access Licenses and Authorizing the City Manager to Execute the Purchase Order in an Amount Not to Exceed \$76,152.08.**

7. **Consider Action on a Resolution Approving an Entertainment Agreement Between the Town of Addison and Disturbing Tha Peace Touring, Inc. for the Personal Services of Musicians and Authorizing the City Manager to Execute the Contract in an Amount Not to Exceed \$115,000.**
8. **Consider Action on a Resolution Approving an Entertainment Agreement Between the Town of Addison and Loud Is Allowed, Inc. for the Personal Services of Musicians and Authorizing the City Manager to Execute the Contract in an Amount Not to Exceed \$145,000.**

Mayor Chow asked if there were any requests to remove an item from the Consent Agenda for separate discussion. There were none.

MOTION: Deputy Mayor Pro Tempore Walden moved to approve Consent Agenda Items 3, 4, 5, 6, 7, and 8 as submitted. Council Member Wheeler seconded the motion. Motion carried unanimously.

Ordinance No. O22-7: Amend Code of Ordinances Sec. 78-162, Illegally Parked Vehicles

Resolution No. R22-010: Purchase 2019 Microsoft Windows Server Licenses

Resolution No. R22-011: Entertainment Agreement with Disturbing Tha Peace Touring, Inc.

Resolution No. R21-012: Entertainment Agreement with Loud is Aloud, Inc.

Regular Items

9. **Present and Discuss the 2022 Special Events Preview.**

Jasmine Lee, Director of Special Events presented this item. She reviewed the planned special events for 2022, including the dates and times, ticketing information, entertainment, and food and beverages for the following:

- Addison After Dark (monthly April – November)
- Taste Addison (June 3-4)
- Kaboom Town (July 3)
- Addison Oktoberfest (September 15-18)

Council Member Ward suggested that the vendors for the Oktoberfest VIP sections bring ample amounts of food for the number of tickets sold. Mayor Pro Tempore Quintanilla inquired how the Town's electronic sign will be used for these events. Ms. Lee advised that the sign is used for Addison produced events, Addison sponsored events, and events permitted by Addison. City Manager Wes Pierson advised the sign is set up on Belt Line Road with events already displayed. Council Member Willesen suggested in the future Oktoberfest be moved to October.

10. **Consider Action on a Resolution Accepting the Board of Zoning Adjustment Place 2 Member's Resignation and Appointing a New Place 2 Member to Fill the Unexpired Term.**

City Secretary Irma Parker advised that on January 18, 2022, Place 2 Board of Zoning Adjustment Member Darren Gardner submitted a letter of resignation. On January 19, 2022, Mr. Gardner submitted an Application for a Place on the Ballot for the May 7, 2022 General Election for the City Council.

City Secretary Parker reviewed that Section 8.05(a) of the Town Charter states that, "... If a member of any board appointed by the Council or any officer appointed by the City Council shall become a candidate for election to any public office, he shall immediately forfeit his place or position with the Town."

City Secretary Parker advised that Mayor Pro Tempore Quintanilla appointed Mr. Gardner, and he was notified of the resignation. Mayor Pro Tempore Quintanilla nominated Daniel Liscio to fill the unexpired term of the Place 2 position.

Council Member Willesen noted some typographical errors on the proposed resolution.

MOTION: Council Member Willesen moved to accept the resignation of Darren Gardner from the Zoning Board of Adjustment when received on January 18th, approve the appointment of Daniel Liscio to Place 2 for a term ending in December 2022 position and correct those dates on the Resolution as discussed. Council Member Ward seconded the motion. Motion carried unanimously.

Resolution No. R22-013: Accept Resignation of Place 2 Board of Zoning Adjustment Member Darren Gardner and Appoint Daniel Liscio to Place 2 to fill the Unexpired Term.

Adjourn Meeting

There being no further business to come before the Council, Mayor Chow adjourned the meeting.

TOWN OF ADDISON, TEXAS

Joe Chow, Mayor

ATTEST:

Irma G. Parker, City Secretary

Council Meeting

4.

Meeting Date: 02/22/2022

Department: Development Services

Pillars: Excellence in Asset Management

AGENDA CAPTION:

Consider Action on an Ordinance Repealing Ordinance No. O22-001 and Approving a Special Warranty Deed for the Dedication of Public Right-of-Way for the Extension of Bella Lane.

BACKGROUND:

At the September 22, 2020 City Council meeting, the City Council approved an Interlocal Agreement (ILA) between the Town of Addison, Dallas County Community College (DCCCD), and the City of Farmers Branch to facilitate the construction of the Bella Lane extension from the Vitruvian development through Brookhaven College to Alpha Road.

In order to initiate this planned extension of Bella Lane, DCCCD and the Vitruvian development agreed to dedicate right-of-way to the City of Farmers Branch and the Town of Addison. This proposed 1.337 acre right-of-way dedication is needed for the portion of this project within Town limits.

This proposed right-of-way dedication was originally considered and approved by City Council at the January 11, 2022 City Council meeting. Since that meeting, the current property owner, UDR, has requested that the Town modify the dedication instrument to reflect a special warranty deed conveying the land for Town use in lieu of the previous instrument which defined this transaction as a dedication of right-of-way and a general utility easement. Procedurally, both documents achieve the same outcome; however, this form is preferred by UDR and will be used moving forward on any similar actions. With the approval of this resolution, City Council would also repeal the resolution that approved the original proposed dedication instrument, O22-001.

RECOMMENDATION:

Administration recommends approval.

Attachments

Ordinance - Bella Lane Right-of-Way Special Warranty Deed

Map - Bella Lane Extension

ORDINANCE NO. _____

AN ORDINANCE OF THE TOWN OF ADDISON, TEXAS, REPEALING ORDINANCE NO. O22-001 AND APPROVING A SPECIAL WARRANTY DEED FROM GRANTOR VPDEV 2, LLC TO THE TOWN TRANSFERRING A 1.337 ACRE TRACT OF LAND TO THE TOWN FOR USE AS A PUBLIC RIGHT OF WAY IN CONNECTION WITH THE EXTENSION OF BELLA LANE, AND AS MORE PARTICULARLY DESCRIBED IN EXHIBIT “A”; AUTHORIZING EXECUTION AND RECORDING OF THE SAME IN THE OFFICIAL PUBLIC RECORDS OF DALLAS COUNTY, TEXAS; AND PROVIDING AN EFFECTIVE DATE.

WHEREAS, the City Council for the Town of Addison, Texas, deems it necessary and in the best interests of the public to approve the Special Warranty Deed in the form attached hereto as Exhibit “A”, and authorizing the same to be executed for use in connection with the extension of the public street and utilities along Bella Lane.

NOW THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE TOWN OF ADDISON, TEXAS:

SECTION 1. The Town of Addison, Texas hereby approves the Special Warranty Deed attached hereto and incorporated herein as Exhibit “A”. The City Manager is authorized to accept the foregoing Special Warranty Deed, which shall be recorded in the official public records of Dallas County, Texas.

SECTION 2. Ordinance No. O22-001, approving the acceptance of a public street right-of-way and general utility easement, is hereby repealed in its entirety.

SECTION 3. This Ordinance shall become effective from and after its passage.

PASSED AND APPROVED by the City Council of the Town of Addison, Texas, on this the 22nd day of FEBRUARY 2022.

TOWN OF ADDISON, TEXAS

Joe Chow, Mayor

ATTEST:

APPROVED AS TO FORM:

Irma Parker, City Secretary

Whitt Wyatt, City Attorney

EXHIBIT A

NOTICE OF CONFIDENTIALITY RIGHTS: IF YOU ARE A NATURAL PERSON, YOU MAY REMOVE OR STRIKE ANY OR ALL OF THE FOLLOWING INFORMATION FROM ANY INSTRUMENT THAT TRANSFERS AN INTEREST IN REAL PROPERTY BEFORE IT IS FILED FOR RECORD IN THE PUBLIC RECORDS: YOUR SOCIAL SECURITY NUMBER OR YOUR DRIVER'S LICENSE NUMBER.

STATE OF TEXAS §
COUNTY OF DALLAS §

SPECIAL WARRANTY DEED

DATE: _____, 2022

GRANTOR: VPDEV 2 LLC
1745 Shea Center Drive, Suite 200
Highlands Ranch, Colorado 80129

GRANTEE: Town of Addison, Texas
5300 Belt Line Road
Addison, Texas 75254

CONSIDERATION:

Ten Dollars (\$10.00) in hand paid by Grantee, the receipt and sufficient of which is acknowledged by Grantor, the benefits to be derived by Grantor and its remaining property as a result of public improvements to the Property, and other good and valuable consideration (the receipt and sufficient of which is hereby acknowledged).

PROPERTY (INCLUDING ANY IMPROVEMENTS):

See Exhibit A attached herein and incorporated herein by reference.

This grant, conveyance and assignment is made and accepted subject to: (i) all zoning, building and developmental laws, codes and ordinances of the Town of Addison and other governmental entities having jurisdiction over the Property; and (ii) all other easements, restrictions, covenants and conditions affecting the Property, or any part thereof, or record in Dallas County, Texas, to the extent the same are validly existing and applicable to the Property.

Grantor, for the Consideration described above, GRANTS, SELLS, AND CONVEYS to Grantee the Property, together with all and singular the rights and appurtenances thereto in any way belonging, to have and to hold it to Grantee and Grantee's heirs, successors, and assigns forever. Grantor binds Grantor and Grantor's heirs, executors, administrators, successors, and assigns to warrant and forever defend all and singular the Property to Grantee and Grantee's heirs, successors, and assigns against every person whomsoever lawfully claiming or to claim the same or any part thereof, by, through and under Grantor, but not otherwise.

SPECIAL WARRANTY DEED – PAGE 1

EXHIBIT A

MISCELLANEOUS:

- (a) The Property hereby conveyed may be used as a right-of-way for the passage of vehicular and pedestrian traffic and for street and road purposes together with all and singular related rights and appurtenances, including (without limitation) the construction, installation, improvement, inspections, reconstruction, replacement, repair, use, operation, maintenance, opening, closing, and removal of streets, roads, sidewalks, utilities (including, without limitation, water and sanitary sewer facilities and related appurtenances), drainage facilities, landscaping, trails, signs, traffic control devices, and other uses of a public right-of-way as Grantee may find necessary or appropriate or which are customary or incidental thereto, and may be used for any and all other public uses and related rights and appurtenance thereto as Grantee may find necessary or appropriate. Improvements may be such grade and according to such plans and specifications as will, in the opinion of Grantee, best serve the public purpose.
- (b) The consideration described above shall be deemed full compensation for the conveyance of the Property, and for any diminution in value that may result to the remaining property of Grantor by virtue of Grantee’s use of the Property.
- (c) When the context requires it, singular nouns and pronouns include the plural.

EXECUTED effective as of the day first written above.

FOR GRANTOR:

VPDEV 2 LLC,
a Delaware limited liability company

By: DCO Realty, Inc.,
a Delaware corporation
Its: Sole Member

By: _____
Name: _____
Title: _____

STATE OF COLORADO §
COUNTY OF DOUGLAS §

Acknowledged before me, the undersigned authority, this ____ day of _____, 2022, by _____, as authorized agent for and on behalf of said Grantor.

Notary Public, State of Colorado

EXHIBIT A

**EXHIBIT "A"
Description of Property**

**LEGAL DESCRIPTION
PROPOSED BELLA LANE RIGHT-OF-WAY DEDICATION – 1.337 ACRES
BEING PART OF LOT 3, BLOCK A VITRUVIAN PARK ADDITION
NOAH GOOD SURVEY, ABSTRACT NO. 520**

BEING a 1.337 acre tract of land situated in the Noah Good Survey, Abstract No. 520, City of Addison, Dallas County, Texas, and being a part of the 15.173 acre tract of land conveyed to VPDEV 2, LLC, by Special Warranty Deed of record in Instrument No. 201300203008 of the Official Public Records of Dallas County, Texas, and being a part of Lot 3, Block A, Vitruvian Park Addition, an addition to the City of Addison, Dallas County, Texas, according to the plat thereof recorded in Instrument No. 20130219333, of said Official Public Records; said 1.337 acre tract being more particularly described as follows:

BEGINNING at a found 5/8" iron rod with a yellow plastic cap stamped "KADLECK" for a corner in the west line of Lot 1, Block A, The Parish Episcopal School, an addition to the City of Addison, Dallas County, Texas, according to the plat thereof recorded in Instrument No. 200600450015, of said Official Public Records, at the northeast corner Brookhaven College Replat, an addition to the City of Addison, Dallas County, Texas, according to the plat thereof recorded in Volume 86105, Page 2676, of the Deed Records of Dallas County, Texas; said point being the southeast corner of said Lot 3, Block A, Vitruvian Park Addition;

THENCE North 89 degrees 50 minutes 23 seconds West, along a common line between said Lot 3, Block A, Vitruvian Park Addition and said Brookhaven College Replat, a distance of 164.00 feet to a set 1/2" iron rod with a yellow plastic cap stamped "WESTWOOD PS" for a corner; said point being South 89 degrees 50 minutes 23 seconds East, a distance of 960.71 feet from a 5/8" iron rod with a yellow plastic cap stamped "WESTWOOD PS" at the southeast corner of Lot 2, Block A, Vitruvian Park Addition, an addition to the City of Addison, Dallas County, Texas, according to the plat thereof recorded in Instrument No. 20090022087, of said Official Public Records and the southwest corner of said Lot 3, Block A, Vitruvian Park Addition;

THENCE departing the said common line between Brookhaven College Replat and Lot 3, Block A, Vitruvian Addition, over and across said Lot 3, Block A, Vitruvian Addition, the following courses and distances:

North 00 degrees 09 minutes 37 seconds East, a distance of 22.50 feet to a set 1/2" iron rod with a yellow plastic cap stamped "WESTWOOD PS" for a corner;

South 89 degrees 50 minutes 23 seconds East, a distance of 60.50 feet to a set 1/2" iron rod with a yellow plastic cap stamped "WESTWOOD PS" at an 'ell' corner;

North 00 degrees 09 minutes 37 seconds East, a distance of 40.50 feet to a set 1/2" iron rod with a yellow plastic cap stamped "WESTWOOD PS" for a corner;

South 89 degrees 50 minutes 23 seconds East, a distance of 30.50 feet to a set 1/2" iron rod with a yellow plastic cap stamped "WESTWOOD PS" at an 'ell' corner;

EXHIBIT A

North 00 degrees 09 minutes 37 seconds East, a distance of 709.79 feet to a found 5/8" iron rod with a yellow plastic cap stamped "KADLECK" for a southwest corner of the south terminus of Bella Lane, a variable width right-of-way, as dedicated in Vitruvian Park Addition and Bella Lane Right Of Way Dedication, an addition to the City of Addison, Dallas County, Texas, according to the plat thereof recorded in Instrument No. 201100123703, of said Official Public Records; said point being a northerly 'ell' corner of said Lot 3, Block A, Vitruvian Park Addition;

THENCE South 89 degrees 50 minutes 23 seconds East, along the said south terminus of Bella Lane and a north line of said Lot 3, Block A, Vitruvian Park Addition, a distance of 69.18 feet to a found 5/8" iron rod with a yellow plastic cap stamped "KADLECK" for corner in the said west line of Lot 1, Block A, The Parish Episcopal School; said point being at the southeast corner of the said south terminus of Bella Lane and the most easterly northeast corner of said Lot 3, Block A, Vitruvian Park Addition;

THENCE South 00 degrees 07 minutes 24 seconds East, departing the said south terminus of Bella Lane and the said north line of Lot 3, Block A, Vitruvian Park Addition, along the said west line of Lot 1, Block A, The Parish Episcopal School and the east line of said Lot 3, Block A, Vitruvian Park Addition, a distance of 772.80 feet to the POINT-OF-BEGINNING, containing 58,219 square feet or 1.337 acres of land.

The bearing basis for this survey is a bearing of North 89 Degrees 50 Minutes 23 Seconds West, for the south line of Lot 3, Block B of Vitruvian Park Addition, as recorded in Instrument Number 20130219333, Official Public Records of Dallas County, Texas.

An exhibit of even date accompanies this description.

Date: October 11, 2021

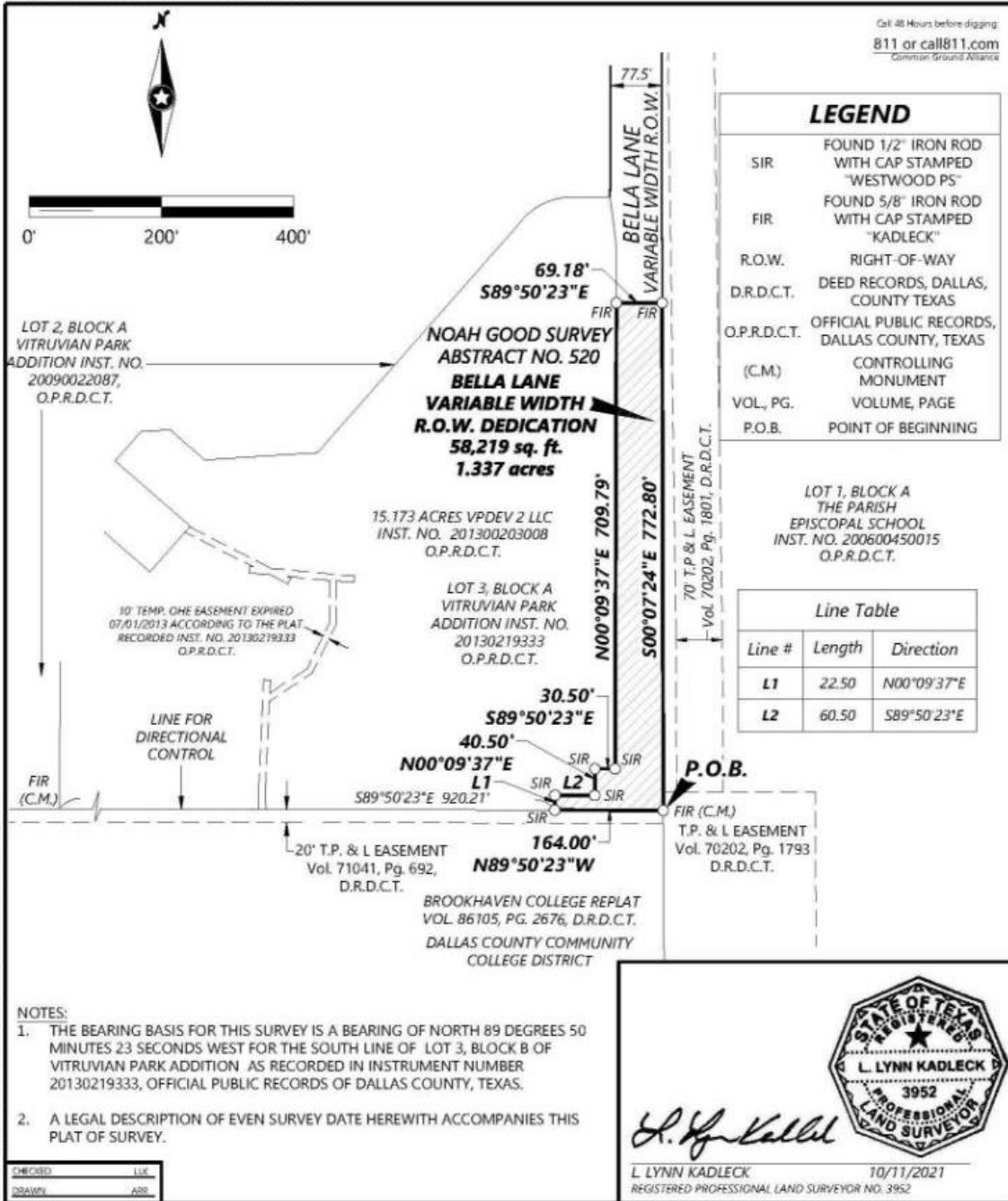


L. Lynn Kadleck
Registered Professional Land Surveyor
Texas Registration No. 3952



EXHIBIT A

© 2021 Westwood Professional Services, Inc.



Call 48 Hours before digging:
811 or call811.com
Common Ground Alliance

LEGEND	
SIR	FOUND 1/2" IRON ROD WITH CAP STAMPED "WESTWOOD PS"
FIR	FOUND 5/8" IRON ROD WITH CAP STAMPED "KADLECK"
R.O.W.	RIGHT-OF-WAY
D.R.D.C.T.	DEED RECORDS, DALLAS, COUNTY TEXAS
O.P.R.D.C.T.	OFFICIAL PUBLIC RECORDS, DALLAS COUNTY, TEXAS
(C.M.)	CONTROLLING MONUMENT
VOL., PG.	VOLUME, PAGE
P.O.B.	POINT OF BEGINNING

Line Table		
Line #	Length	Direction
L1	22.50	N00°09'37"E
L2	60.50	S89°50'23"E

- NOTES:**
1. THE BEARING BASIS FOR THIS SURVEY IS A BEARING OF NORTH 89 DEGREES 50 MINUTES 23 SECONDS WEST FOR THE SOUTH LINE OF LOT 3, BLOCK B OF VITRUVIAN PARK ADDITION AS RECORDED IN INSTRUMENT NUMBER 20130219333, OFFICIAL PUBLIC RECORDS OF DALLAS COUNTY, TEXAS.
 2. A LEGAL DESCRIPTION OF EVEN SURVEY DATE HERewith ACCOMPANIES THIS PLAT OF SURVEY.

CHECKED	DATE
DRAWN	APP.

L. Lynn Kadleck
L. LYNN KADLECK
REGISTERED PROFESSIONAL LAND SURVEYOR NO. 3952

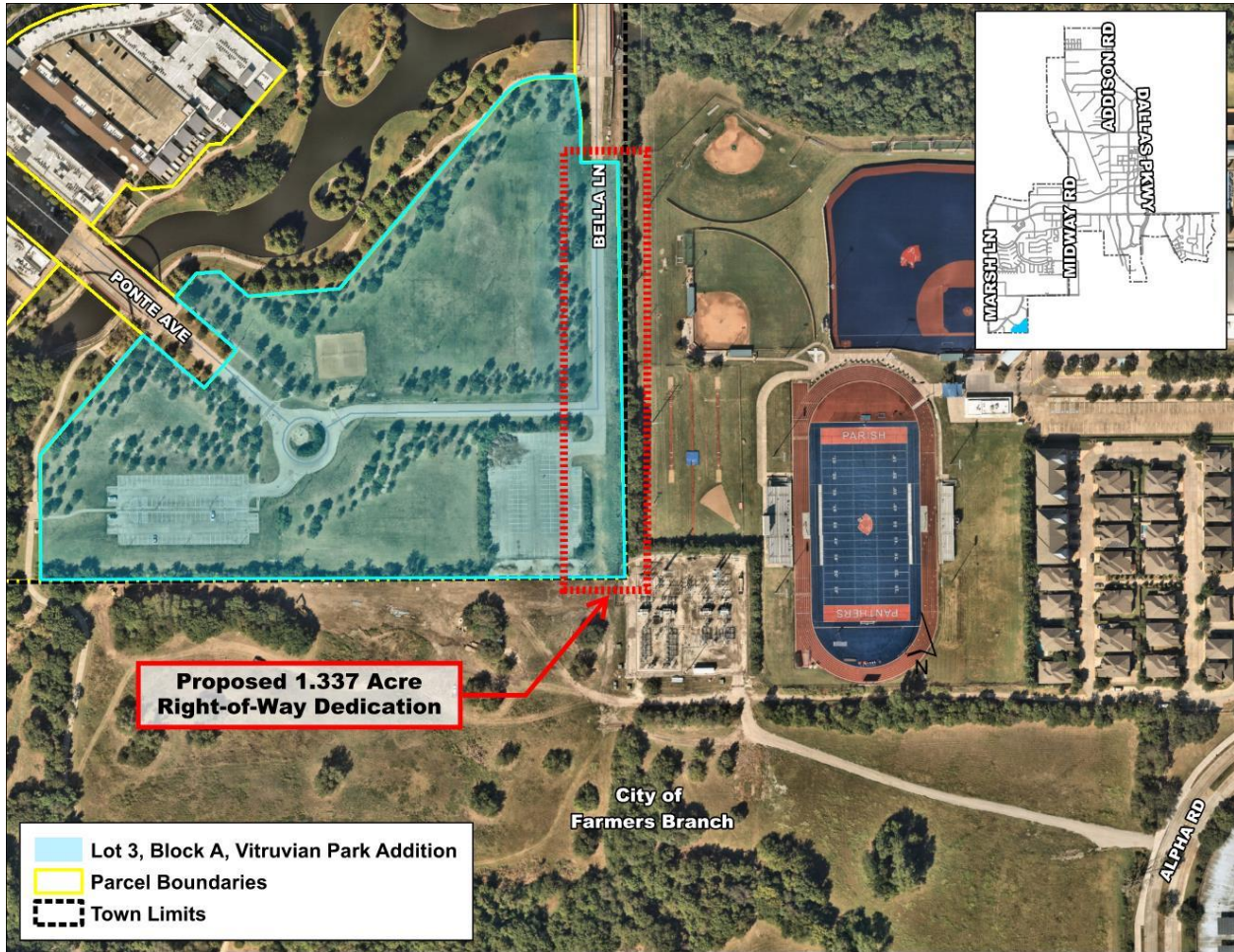
10/11/2021

VITRUVIAN PARK ADDITION CITY OF ADDISON, TEXAS	<p>Phone: (214) 473-8640 Toll Free: (888) 937-5150 2740 Dallas Parkway, Suite 280 Plano, TX 75093 westwoodps.com</p> <p>Westwood Professional Services, Inc. TSPLE 6804 REGISTRATION NO. 7-11754 TSPLE 6804 REGISTRATION NO. 10014201</p>	BELLA LANE VARIABLE WIDTH R.O.W. DEDICATION	SHEET NUMBER: 3 OF 3 DATE: 10/11/2021 PROJECT NUMBER: 0008445.00
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EXHIBIT A TO SPECIAL WARRANTY DEED

LOCATION MAP

RIGHT-OF-WAY DEDICATION FOR THE EXTENSION OF BELLA LANE



Council Meeting

5.

Meeting Date: 02/22/2022

Department: Police

Pillars: Gold Standard in Public Safety

Milestones: Promote and protect the Addison Way

AGENDA CAPTION:

Present and Discuss the **Addison Police Department's 2021 Annual Report.**

BACKGROUND:

The Addison Police Department has prepared an Annual Report for Calendar Year 2021. This report includes a statistical review of the year, notable events from the patrol and criminal investigation divisions, and goals and objectives for Fiscal Year 2022.

The Addison Police Department is also pleased to provide the results of an internal and external audit of its License Plate Recognition and Optical Camera system. This annual audit is assurance to the public, the department's License Plate Recognition and Optical Camera System has operated according to Council's policy direction, and that all internal activities surrounding its use were found to be in line with those adopted policies and procedures.

An important change in our reporting methodology took place in 2020. The Addison Police Department historically utilized the Uniform Crime Reporting (UCR) Program to track crime and clearance rates over time. Beginning in 2020, the Addison Police Department began using the National Incident Based Reporting System (NIBRS). Implemented to improve the overall quality of crime data collected by law enforcement, NIBRS captures details on each single crime incident as well as on separate offenses within the same incident. The additional data includes information on victims, known offenders, relationships between victims and offenders, arrestees, and property involved in crimes. NIBRS:

- Provides greater specificity in reporting offenses. Not only does NIBRS look at all the offenses within an incident, but it also looks at many more offenses than UCR. NIBRS collects data for 52 offenses, plus 10 additional offenses for which only arrests are reported. UCR counts limited data for 10 offenses and 20 additional crimes for which only arrests are reported.
- Collects more detailed information, including incident date and time, whether reported offenses were attempted or completed, expanded victim types, relationships of victims to offenders and offenses, demographic details, location data, property descriptions, drug types and quantities, the offender's suspected use of drugs or alcohol, the involvement of gang activity, and

whether a computer was used in the commission of the crime.

- Helps give context to specific crime problems such as drug/narcotics and sex offenses, as well as issues like animal cruelty, identity theft, and computer hacking.
- Provides greater analytic flexibility. Through NIBRS, data users can see many more facets of crime, as well as relationships and connections among these facets, than SRS provides.

When used to its full potential, NIBRS identifies, with precision, when and where crime takes place, what form it takes, and the characteristics of its victims and perpetrators. Armed with such information, law enforcement can better define the resources it needs to fight crime, as well as use those resources in the most efficient and effective manner.

Data collected prior to 2020 under UCR will show a much lower incidence of crime than the data collected under NIBRS because the UCR data only covers a narrow range of criminal activity compared to NIBRS. Comparisons between UCR and NIBRS data alone will not provide an accurate representation of the annual change in overall criminal activity. As we continue to collect our crime data using the NIBRS format, we will have a more accurate tool to see changes in activity should they occur.

RECOMMENDATION:

Information only, no action required.

Attachments

Presentation - Addison Police Department Annual Report 2021

2021 Annual Report

2021 License Plate Recognition Audit Letter

2021 Statistical Review and Annual Report

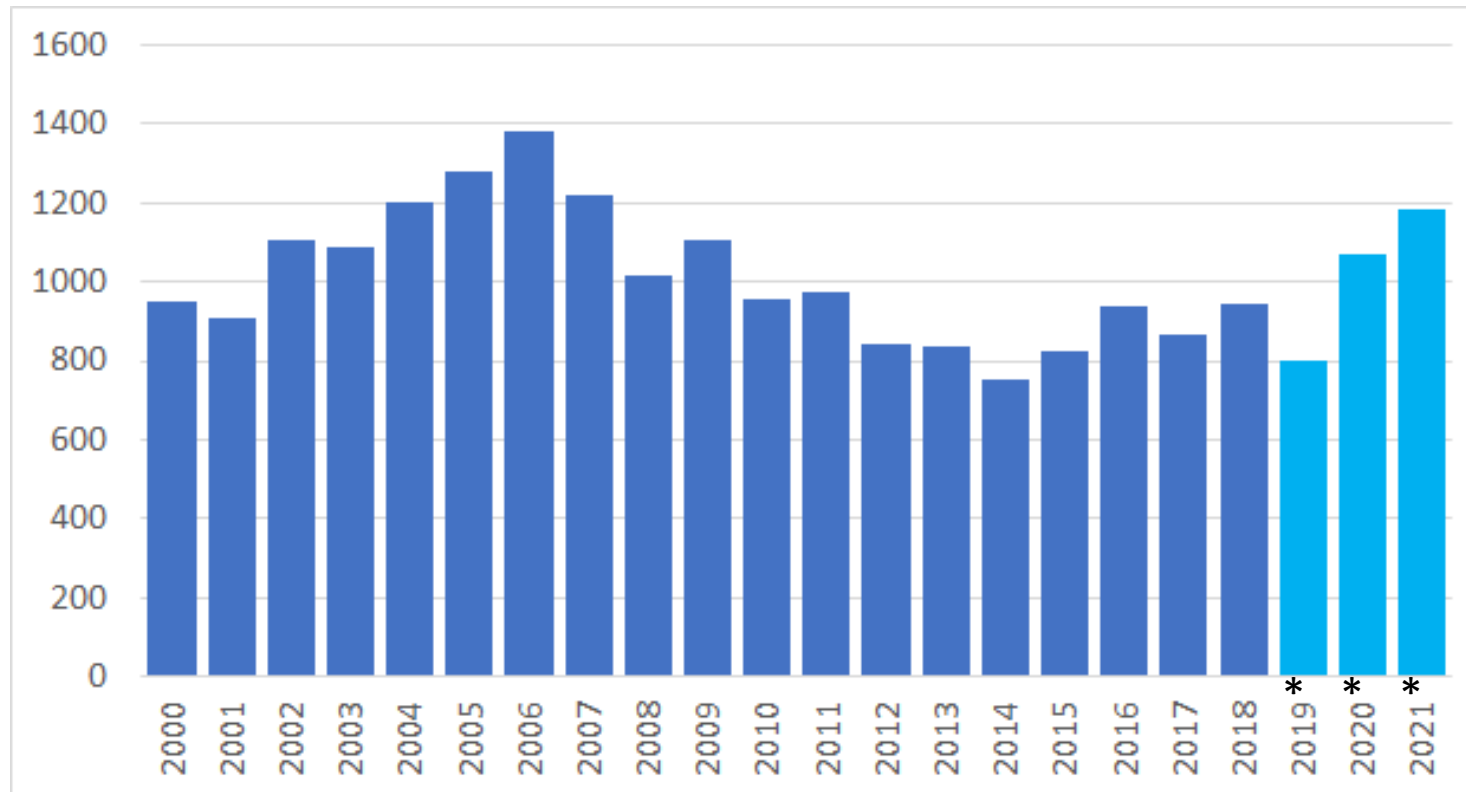
Addison Police Department
Chief Paul Spencer

The logo for the Addison Police Department, featuring the word "ADDISON" in a bold, blue, sans-serif font centered within a white circle. The circle is set against a blue background that is part of a larger graphic design on the right side of the page, which includes a white diagonal line and a grey triangle in the top right corner.

ADDISON

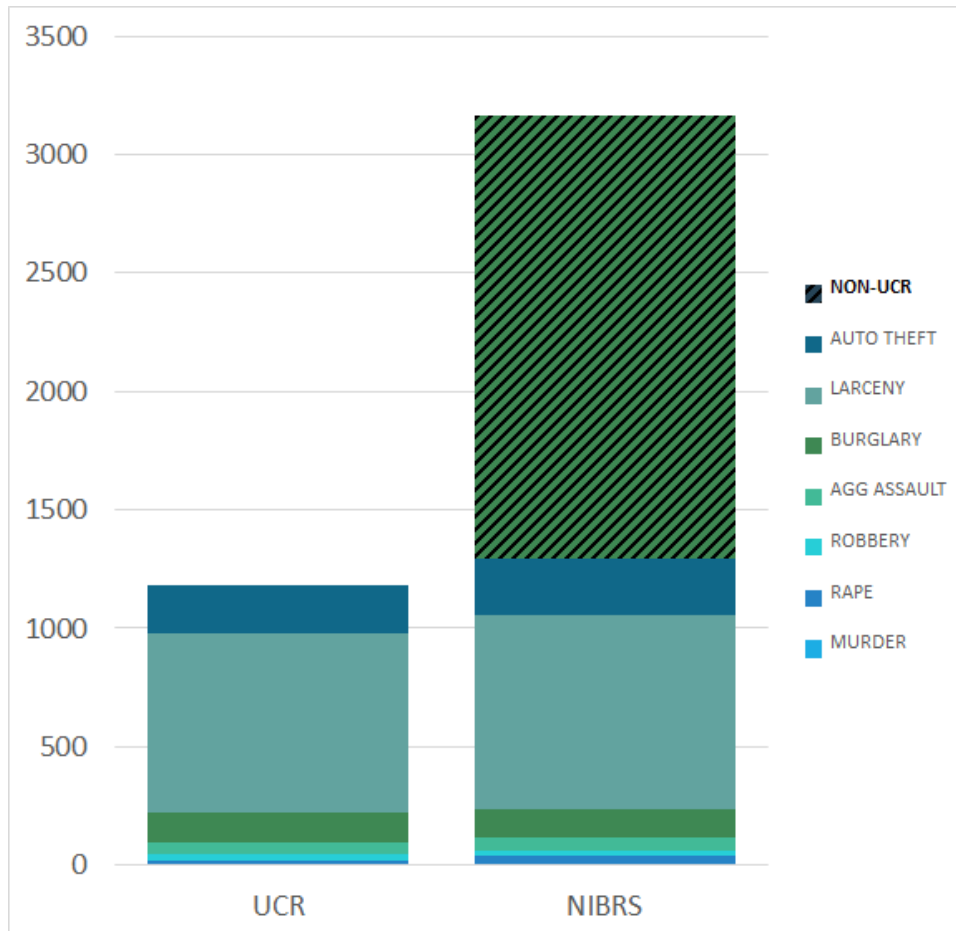
- The Uniform Crime Reporting (UCR) Program was created by the FBI to track major crimes across the country. From 1930-2019 local police agencies provided their yearly totals of certain offenses and those offenses' clearance rates. Addison has submitted data since 1980.
- UCR categorized offenses into two groups: Part I major offenses and Part II minor offenses. The Part 1 offenses are: Murder, Rape, Robbery, Aggravated Assault, Burglary, Larceny-Theft, and Vehicle Theft.
- This data is useful for tracking crime rates and clearances over time. UCR data often reflects the cyclical nature of crime. In Addison, our data shows that crime steadily goes up for 8-10 years, then steadily goes down for 8-10 years.

UCR Total Number of Offenses by Year



*2019-2021 data converted from NIBRS format

- Addison fully switched to the NIBRS format in 2020. NIBRS captures more types of crime and more details for incidents. Additionally, each separate offense within the same incident is included instead of only reporting the highest level offense.
- NIBRS captures more types of crime and more details for incidents. Additionally, each separate offense within the same incident is included instead of only reporting the highest level offense.
- NIBRS offenses are split into two categories:
 - Group A: All 7 of the classic UCR Part 1 offenses, plus an additional 45 major offenses, sorted into 3 subcategories: Crimes Against Persons, Crimes Against Property, and Crimes Against Society.
 - Group B: Mostly arrestable offenses including criminal trespass, alcohol offenses, disorderly conduct, and other offenses not included in UCR Part 1 or Part 2.



- To demonstrate the large number of offenses now included in NIBRS, the exact same 2021 offense data was pulled in both the UCR format and the NIBRS format.
- The data is broken down by offense type. In the NIBRS column all offenses not previously analyzed under UCR are grouped together under the label "NON-UCR."
- Additionally, the numbers of the traditional 7 UCR Part 1 offenses are each slightly higher. This is because NIBRS-style reporting contains lesser-included crimes and crime subtypes that were excluded under UCR Part 1.
- In 2021 the total of offenses reported in NIBRS format ends up being 2.5 times greater than the total reported in UCR Part 1 format.

2021 Crimes Against Property

NIBRS Group	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Murder	Murder & Nonneglegent Homicide	3	3	100.0%
	Neglegent Manslaughter	0	0	
	Justifiable Homicide	0	0	
Kidnapping	Kidnapping/Abduction	11	10	90.9%
Rape	Rape	23	17	73.9%
	Sodomy	1	1	100.0%
	Sexual Assault with an Object	0	0	
	Fondling	8	9	112.5%
	Incest	0	0	
	Statutory Rape	2	1	50.0%
Robbery	Robbery	26	9	34.6%
Assault	Aggravated Assault	51	37	72.5%
	Simple Assault	300	255	85.0%
	Intimidation	98	63	64.3%
Trafficking	Commercial Sex Acts	0	0	
	Involuntary Servitude	0	0	
TOTAL		523	405	77.4%

2021 Crimes Against Property

NIBRS Group	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Arson	Arson	1	1	100.0%
Burglary	Burglary/Breaking & Entering	124	16	12.9%
Vehicle Theft	Motor Vehicle Theft	239	66	27.6%
Fraud	Counterfeiting/Forgery	32	8	25.0%
	False Pretenses/Swindle/Confidence Game	21	14	66.7%
	Credit Card/Automated Teller Machine Fraud	72	12	16.7%
	Impersonation	0	0	
	Welfare Fraud	0	0	
	Wire Fraud	0	0	
	Identity Theft	71	18	25.4%
Vandalism	Destruction/Damage/Vandalism of Property	175	32	18.3%
Larceny-Theft	Pocket-picking	2	0	0.0%
	Purse-snatching	0	0	
	Shoplifting	0	0	
	Theft From Building	19	5	26.3%
	Theft from Coin Operated Machine	1	0	0.0%
	Theft From Motor Vehicle	269	14	5.2%
	Theft of Motor Vehicle Parts/Accessories	124	7	5.6%
	Embezzlement	1	0	0.0%
	Stolen Property Offenses	0	0	
	All Other Larceny	400	79	19.8%
Cybercrime	Hacking/Computer Invasion	2	1	50.0%
Coercion	Extortion/Blackmail	0	0	
	Bribery	0	0	
TOTAL		1553	273	17.6%

2021 Crimes Against Society

NIBRS	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Obscenity	Prostitution	0	0	
	Assisting or Promoting Prostitution	0	0	
	Purchasing Prostitution	0	0	
	Pornography/Obscene Material	1	1	100.0%
Weapons	Weapon Law Violations	53	42	79.2%
Animal Cruelty	Animal Cruelty	2	2	100.0%
Narcotics	Drug/Narcotic Violations	152	141	92.8%
	Drug Equipment Violations	151	147	97.4%
Gambling & Tampering	Betting/Wagering	0	0	
	Operation/Promoting/Assisting Gambling	0	0	
	Gambling Equipment Violation	0	0	
	Sports Tampering	0	0	
TOTAL		359	333	92.8%

NIBRS Group B Offenses

2021 Offenses

NIBRS Group	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Family	Family Offenses, Nonviolent	15	14	93.3%
	Runaway	5	5	100.0%
Other Theft	Bad Checks	0	0	
Public Conduct	Curfew/Loitering/Vagrancy Violations	0	0	
	Trespass of Real Property	28	23	82.1%
	Peeping Tom	0	0	
	Disorderly Conduct	7	6	85.7%
Alcohol	Driving Under the Influence	152	146	96.1%
	Drunkenness	263	256	97.3%
	Liquor Law Violations	8	8	100.0%
All Other	All Other Offenses	141	116	82.3%
TOTAL		619	574	92.7%

Patrol Division Activity (2019-2021)

	2019	2020	2021
Offense Reports	2,919	2,591	3,184
Arrest Reports	1,750	1,002	1,201
Citations	5,295	1,883	2,743
Warnings	6,903	3,140	4,959
Calls for Service	16,967	14,468	16,459
Self-Initiated Activity*	61,346	54,036	45,375
Traffic Stops	11,251	4,541	5,562
Suspicious Activity	3,995	3,062	2,703

*For details, see 2021 Annual Report pages 11 & 25

Criminal Investigation Division Activity (2019-2021)

	2019	2020	2021
Detectives	10	8*	8*
Cases Assigned	4,259	3,626	4,311
Cases Cleared	2,653	2,309	2,405
Cases Suspended	1,544	1,304	1,869
Cases Active	62	13	37

*2 Vacancies

LPR & Optical Camera Program (Phase Two)

- In 2018, the Town initiated a Pilot Program to determine the effectiveness of License Plate Recognition (LPR) technology and optical cameras. 8 LPR units were installed in the Les Lacs, Winnwood, and Oaks North neighborhoods. Optical cameras were installed in major parks, trails, and large public areas.
- So far LPR has helped solve 74 misdemeanor and felony cases. In 2021 Patrol officers recovered an average of 2.1 stolen vehicles per month. Investigators have solved several burglaries, thefts, and other major crimes using LPR software.
- In 2021, Council approved Phase 2 of the LPR and optical camera program. Addison began installing more LPR units in 22 strategic locations along major streets and intersections.
- The Addison Police Department follows Town guidelines regarding use of data and restrictions on access. Audits are conducted by both internal staff and contracted experts. Please see the License Plate Addendum for a complete report of use.

NIBRS Data Summary: Crime Rate Changes (2020-2021)

NIBRS Group A Subcategory: Crimes Against Persons

	NIBRS Group	NIBRS Code Name	2020	2021	% CHANGE
Crimes Against Persons	Murder	Murder & Nonneglegent Homicide	0	3	
		Neglegent Manslaughter	0	0	
		Justifiable Homicide	0	0	
	Kidnapping	Kidnapping/Abduction	7	11	57.1%
	Rape	Rape	12	23	91.7%
		Sodomy	0	1	
		Sexual Assault with an Object	1	0	-100.0%
		Fondling	5	8	60.0%
		Incest	0	0	
		Statutory Rape	2	2	0.0%
	Robbery	Robbery	20	26	30.0%
	Assault	Aggravated Assault	44	51	15.9%
		Simple Assault	257	300	16.7%
		Intimidation	77	98	27.3%
	Trafficking	Commercial Sex Acts	0	0	
		Involuntary Servitude	0	0	
	TOTAL		425	523	23.1%

NIBRS Data Summary: Crime Rate Changes (2020-2021)

NIBRS Group A Subcategory: Crimes Against Property

	NIBRS Group	NIBRS Code Name	2020	2021	% CHANGE	
Crimes Against Property	Arson	Arson	1	1	0.0%	
	Burglary	Burglary/Breaking & Entering	120	124	3.3%	
	Vehicle Theft	Motor Vehicle Theft	223	239	7.2%	
	Fraud		Counterfeiting/Forgery	53	32	-39.6%
			False Pretenses/Swindle/Confidence Game	26	21	-19.2%
			Credit Card/Automated Teller Machine Fraud	67	72	7.5%
			Impersonation	1	0	-100.0%
			Welfare Fraud	0	0	
			Wire Fraud	0	0	
			Identity Theft	58	71	22.4%
	Vandalism	Destruction/Damage/Vandalism of Property	168	175	4.2%	
	Larceny-Theft		Pocket-picking	3	2	-33.3%
			Purse-snatching	3	0	-100.0%
			Shoplifting	0	0	
			Theft From Building	28	19	-32.1%
			Theft from Coin Operated Machine	0	1	
			Theft From Motor Vehicle	322	269	-16.5%
			Theft of Motor Vehicle Parts/Accessories	0	124	
			Embezzlement	0	1	
			Stolen Property Offenses	0	0	
			All Other Larceny	372	400	7.5%
	Cybercrime	Hacking/Computer Invasion	0	2		
	Coercion		Extortion/Blackmail	0	0	
		Bribery	0	0		
	TOTAL		1445	1553	7.5%	

NIBRS Data Summary: Crime Rate Changes (2020-2021)

NIBRS Group A Subcategory: Crimes Against Society

	NIBRS Group	NIBRS Code Name	2020	2021	% CHANGE	
Crimes Against Society	Obscenity	Prostitution	0	0		
		Assisting or Promoting Prostitution	0	0		
		Purchasing Prostitution	0	0		
		Pornography/Obscene Material	1	1	0.0%	
	Weapons	Weapon Law Violations	53	42	-20.8%	
	Animal Cruelty	Animal Cruelty	2	2	0.0%	
	Narcotics	Drug/Narcotic Violations	152	141	-7.2%	
		Drug Equipment Violations	151	147	-2.6%	
	Gambling & Tampering	Betting/Wagering	0	0		
		Operation/Promoting/Assisting Gambling	0	0		
		Gambling Equipment Violation	0	0		
		Sports Tampering	0	0		
		TOTAL		359	333	-7.2%

NIBRS Data Summary: Crime Rate Changes (2020-2021)

All NIBRS Offenses (2020-2021)



NIBRS	2020	2021	% CHANGE
Persons Crimes	435	523	20%
Property Crimes	1445	1553	7%
Society Crimes	296	359	21%
Group B Offenses	483	619	28%
TOTAL	2659	3054	15%

Factors that contribute to a community's crime rates include regional economic conditions, county and state incarceration policies, housing costs, and unemployment. Historic UCR data shows crime rates are a cycle that includes a seven-to-nine-year gradual increase followed by similarly long, gradual decrease. Please see the long-term UCR data below and appendix pages 21-22 for more details. Addison's crime rate increased an average of 15% from 2020 to 2021 across all categories. This is consistent with the long-term crime rate pattern.

- Projected to complete Phase Two of the License Plate Recognition project on time and on budget
- Body-worn cameras replaced; in-car camera system selected and will be installed in 2022
- Implemented the new job positions approved by the 2021 budget:
 - Professional Standards Lieutenant
 - Special Events and Community Outreach Sergeant
- Remained flexible and agile within the current COVID-19 environment
- Continued to look for opportunities to build and maintain trust with citizens

- Finish replacing in-car camera systems
- Assist our dispatching center NTECC in the replacement of the CAD (Computer-Aided Dispatch) System
- Resolve Animal Control office and storage space dilemma
- Continue to work with Metrocrest Services and partner cities to address homelessness and community mental health issues
- Complete firearms range ventilation project (approved bond project)
- Complete License Plate Recognition (LPR) and Optical Camera Phase 2 project

Questions?



ADDISON POLICE DEPARTMENT

**STATISTICAL REVIEW
AND ANNUAL REPORT**

CALENDAR YEAR 2021

UNIFORM CRIME REPORTING (UCR)

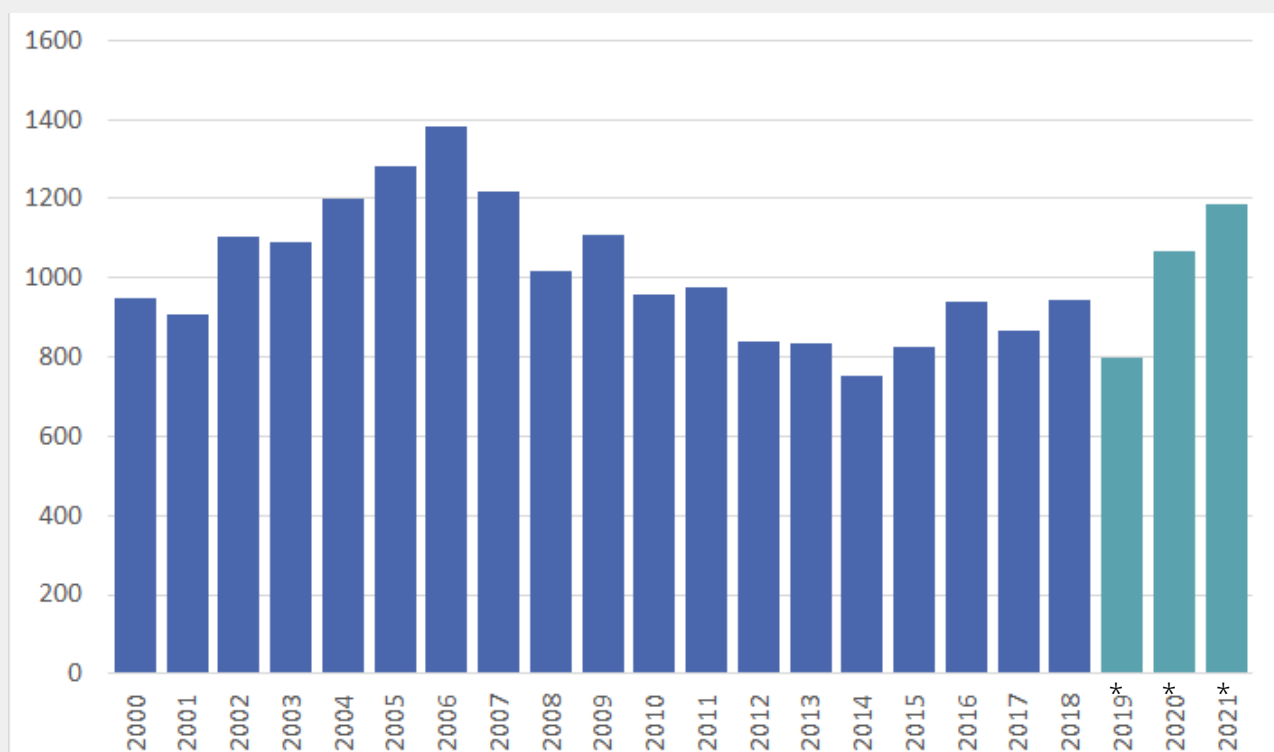
Part 1 Offenses

The Uniform Crime Reporting (UCR) Program was created by the FBI to track major crimes across the country. From 1930-2019 local police agencies provided their yearly totals of certain offenses and those offenses' clearance rates. Addison has submitted data since 1980.

UCR categorized offenses into two groups: Part I major offenses and Part II minor offenses. The Part 1 offenses are: Murder, Rape, Robbery, Aggravated Assault, Burglary, Larceny-Theft, and Vehicle Theft. These seven offenses are charted below.

This data is useful for tracking crime rates and clearances over time. UCR data often reflects the cyclical nature of crime. In Addison, our data shows that crime steadily goes up for 8-10 years, then steadily goes down for 8-10 years.

Addison's Total Part 1 Offenses:



*2019-2021 data converted from NIBRS format

NATIONAL INCIDENT BASED REPORTING SYSTEM (NIBRS)

As crime types and patterns have evolved over the decades, the FBI sought to improve on offense reporting by changing to the National Incident Based Reporting System (NIBRS) format. Beginning in October of 2019 with a partial year submission, Addison fully switched to the NIBRS format in 2020.

NIBRS captures more types of crime and more details for incidents. Additionally, each separate offense within the same incident is included instead of only reporting the highest level offense. This format includes information on victims, known offenders, relationships between victims and offenders, arrests, and property involved in crimes.

NIBRS offenses are split into two categories: Group A and Group B. Group A contains all 7 of the classic UCR Part 1 offenses plus an additional 45 offenses. Group A is divided into three subcategories: Crimes Against Persons, Crimes Against Property, and Crimes Against Society.

Group B contains mostly arrestable offenses including criminal trespass, alcohol offenses, disorderly conduct, and other offenses not included in UCR Part 1 or Part 2.

UCR Part 1 Offenses 7 crimes

- Murder →
- Rape
- Robbery
- Aggravated Assault →
- Burglary
- Larceny-Theft
- Motor Vehicle Theft →

NIBRS Part A Offenses 52 crimes



NIBRS VS UCR

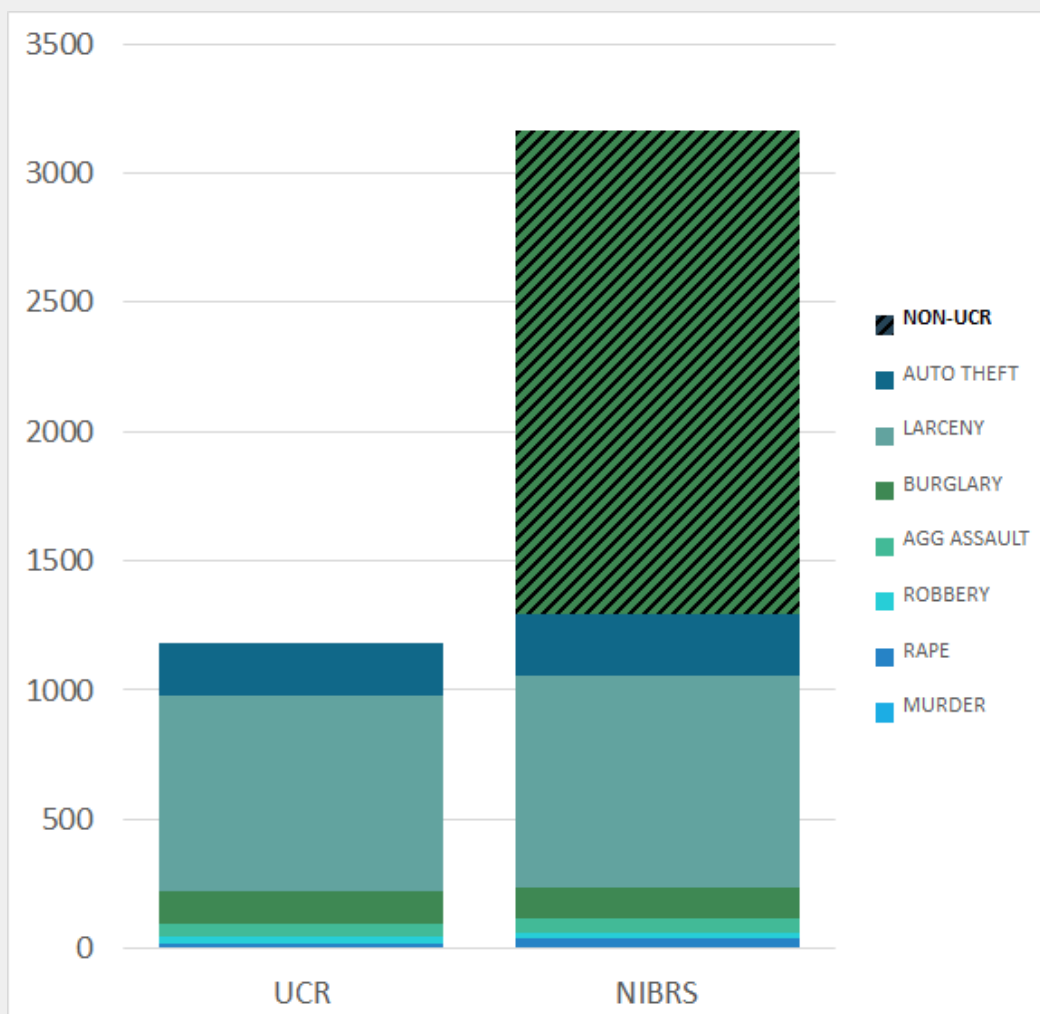
2021 Comparative Statistics

To demonstrate the large number of offenses now included in NIBRS, the exact same 2021 offense data was pulled in both the UCR format and the NIBRS format. The data is charted in the image below.

The data is broken down by offense type. In the NIBRS column all offenses not previously analyzed under UCR are grouped together under the label "NON-UCR."

Additionally, the numbers of the traditional seven UCR Part 1 offenses are each slightly higher. This is because NIBRS-style reporting contains lesser-included crimes and crime subtypes that were excluded in UCR Part 1 formatting.

In 2021 the total offenses reported in NIBRS Group A format ends up being 2.5 times greater than the total offenses reported in UCR Part 1 format.



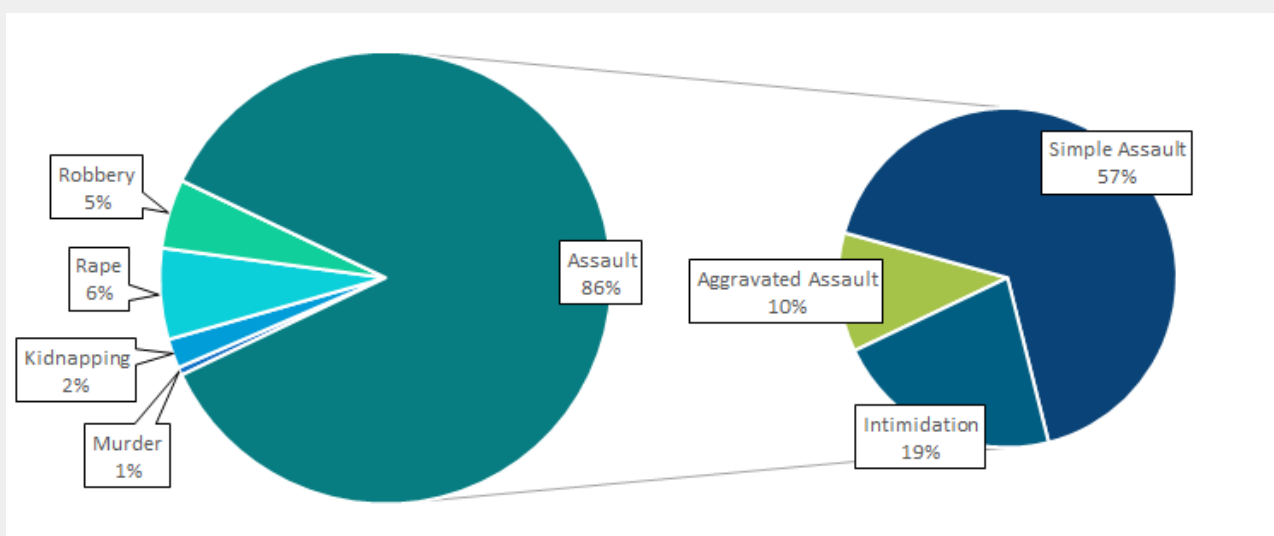
NIBRS GROUP A OFFENSES

Subcategory: Crimes Against Persons

NIBRS Group	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Murder	Murder & Nonneglegent Homicide	3	3	100.0%
	Neglegent Manslaughter	0	0	
	Justifiable Homicide	0	0	
Kidnapping	Kidnapping/Abduction	11	10	90.9%
Rape	Rape	23	17	73.9%
	Sodomy	1	1	100.0%
	Sexual Assault with an Object	0	0	
	Fondling	8	9	112.5%
	Incest	0	0	
	Statutory Rape	2	1	50.0%
Robbery	Robbery	26	9	34.6%
Assault	Aggravated Assault	51	37	72.5%
	Simple Assault	300	255	85.0%
	Intimidation	98	63	64.3%
Trafficking	Commercial Sex Acts	0	0	
	Involuntary Servitude	0	0	
TOTAL		523	405	77.4%

86% of Crimes Against Persons offenses reported in 2021 were assaults. The "assault" category contains these three offenses: aggravated assault, simple assault, and intimidation. "Intimidation" specifically includes assaults by threat, terroristic threats, and similar criminal acts.

The following pie chart is an expression of the table above and shows the rate of each offense.



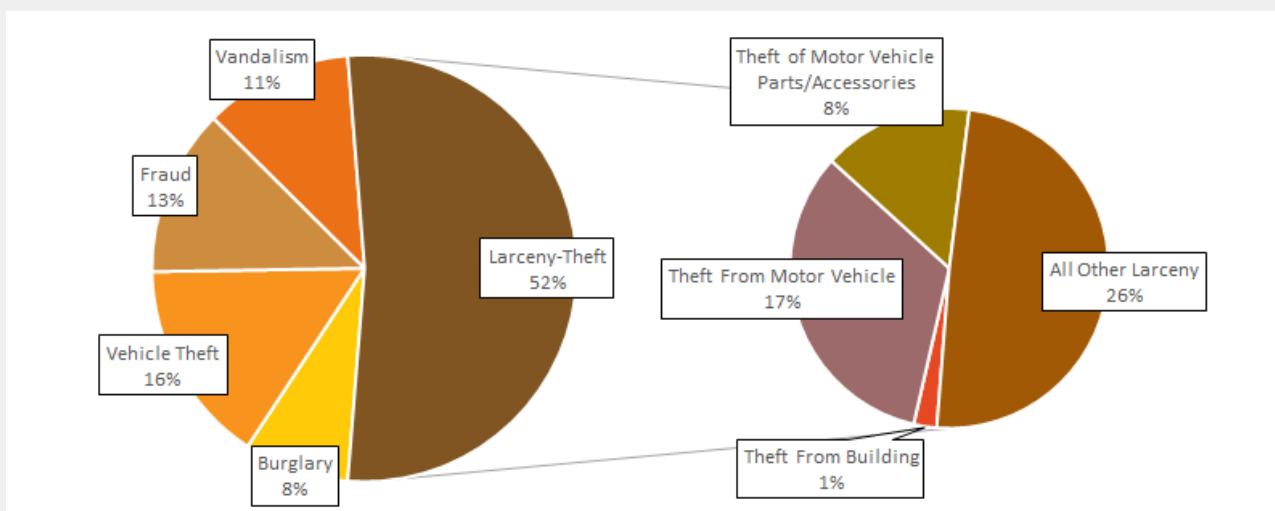
NIBRS GROUP A OFFENSES

Subcategory: Crimes Against Property

NIBRS Group	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Arson	Arson	1	1	100.0%
Burglary	Burglary/Breaking & Entering	124	16	12.9%
Vehicle Theft	Motor Vehicle Theft	239	66	27.6%
Fraud	Counterfeiting/Forgery	32	8	25.0%
	False Pretenses/Swindle/Confidence Game	21	14	66.7%
	Credit Card/Automated Teller Machine Fraud	72	12	16.7%
	Impersonation	0	0	
	Welfare Fraud	0	0	
	Wire Fraud	0	0	
Vandalism	Identity Theft	71	18	25.4%
	Destruction/Damage/Vandalism of Property	175	32	18.3%
Larceny-Theft	Pocket-picking	2	0	0.0%
	Purse-snatching	0	0	
	Shoplifting	0	0	
	Theft From Building	19	5	26.3%
	Theft from Coin Operated Machine	1	0	0.0%
	Theft From Motor Vehicle	269	14	5.2%
	Theft of Motor Vehicle Parts/Accessories	124	7	5.6%
	Embezzlement	1	0	0.0%
	Stolen Property Offenses	0	0	
	All Other Larceny	400	79	19.8%
Cybercrime	Hacking/Computer Invasion	2	1	50.0%
Coercion	Extortion/Blackmail	0	0	
	Bribery	0	0	
TOTAL		1553	273	17.6%

52% of Crimes Against Property offenses reported in 2021 were thefts. The "theft" category contains 9 specific offense types and an "All Other Larceny" catch-all category. Property crimes, whether in UCR or NIBRS format, have the lowest clearance rates due to lack of sufficient evidence for prosecution.

The following pie chart is an expression of the table above and shows the rate of each offense. All categories constituting at least 1% of the total Crimes Against Property are shown.



NIBRS GROUP A OFFENSES

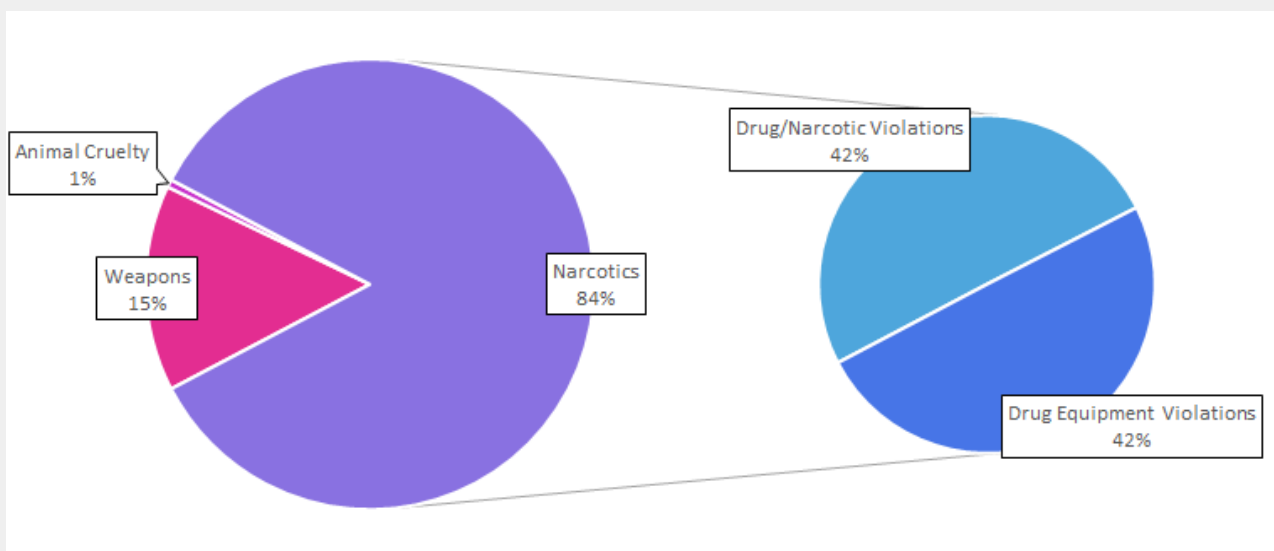
Subcategory: Crimes Against Society

NIBRS	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Obscenity	Prostitution	0	0	
	Assisting or Promoting Prostitution	0	0	
	Purchasing Prostitution	0	0	
	Pornography/Obscene Material	1	1	100.0%
Weapons	Weapon Law Violations	53	42	79.2%
Animal Cruelty	Animal Cruelty	2	2	100.0%
Narcotics	Drug/Narcotic Violations	152	141	92.8%
	Drug Equipment Violations	151	147	97.4%
Gambling & Tampering	Betting/Wagering	0	0	
	Operation/Promoting/Assisting Gambling	0	0	
	Gambling Equipment Violation	0	0	
	Sports Tampering	0	0	
TOTAL		359	333	92.8%

84% of the Crimes Against Society offenses reported in 2021 are narcotics related. The chart on the right breaks down the "narcotics" category into the 2 types:

- Drugs/narcotics violations (possession, sales)
- Drug equipment violations (paraphernalia)

The following pie chart is an expression of the table above and shows the rate of each offense. All categories constituting at least 1% of the total Crimes Against Society are shown.



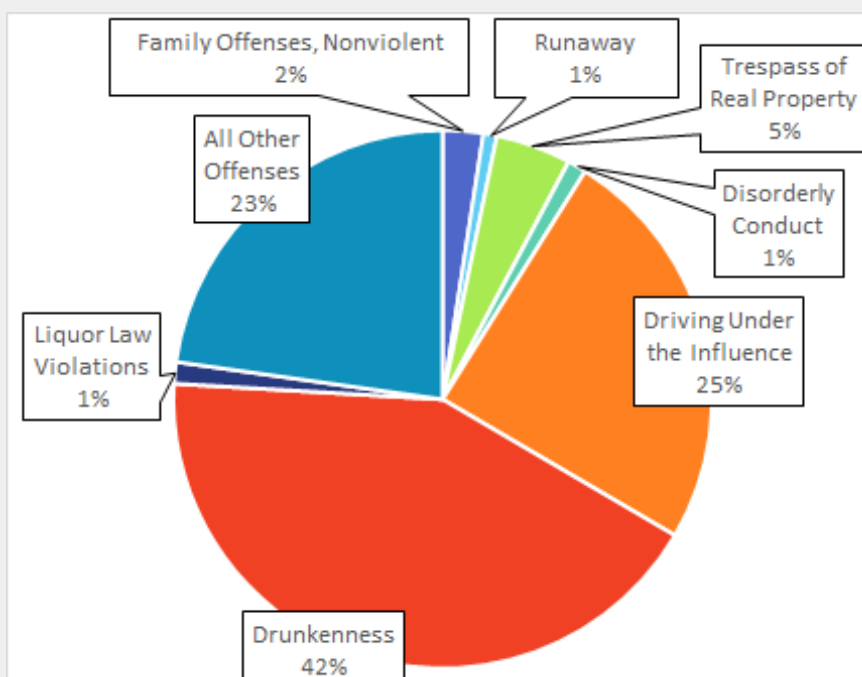
NIBRS GROUP B OFFENSES

All Other Offenses

NIBRS Group	NIBRS Code Name	OFFENSES	CLEARED	CLEARED
Family	Family Offenses, Nonviolent	15	14	93.3%
	Runaway	5	5	100.0%
Other Theft	Bad Checks	0	0	
Public Conduct	Curfew/Loitering/Vagrancy Violations	0	0	
	Trespass of Real Property	28	23	82.1%
	Peeping Tom	0	0	
	Disorderly Conduct	7	6	85.7%
Alcohol	Driving Under the Influence	152	146	96.1%
	Drunkenness	263	256	97.3%
	Liquor Law Violations	8	8	100.0%
All Other	All Other Offenses	141	116	82.3%
TOTAL		619	574	92.7%

The total number of offenses in each NIBRS code name are represented in the pie charts below. The NIBRS code names with the largest number of reported incidents are drunkenness (public intoxication), driving under the influence (DWI), and trespass of real property (criminal trespass).

Due to the small number of offenses examined, these offenses are not grouped and there is only one pie chart. This pie chart is an expression of the table above and shows the rate of each offense.



ONLINE RESOURCES FOR STATISTICS

Crime Maps, Emailed Alerts, And More

<https://communitycrimemap.com>

Very similar to Google Maps, this website plots a variety of crimes on a map so citizens can see what offenses are occurring in surrounding neighborhoods, in prospective towns, or across the United States.

Date Range: Select a time frame of data.

Event: Choose which offenses to view.

Offenders: See where sexual offenders reside.

Density Map: Create Heat Maps to see concentrations of offenses.

Agency Layers: Pick which police agency's reported offenses to view.

If you click "Sign up for crime alerts" at the top right of the screen you can subscribe to an email summary of crimes occurring within a certain distance of your address.

Customizable fields include distance radius, frequency of emails (daily, weekly, or monthly), and crime types. You can even specify the time you would like to receive your email summary.



www.addisontx.net

www.facebook.com/townofaddison/

www.facebook.com/townofaddisonpolicedepartment/

www.facebook.com/townofaddisonanimalcontrol/

COVID-19

Departmental Impact

The impacts of the COVID-19 have been felt across the nation since March of 2020. APD has adapted to the unique challenges and difficulties of operating with members of the public during this highly infectious pandemic. Immediate changes to operations were made and safety remains the highest concern for our citizens and employees.

The graphic below highlights specific challenges faced by patrol and detectives. The following page shows the impact of these issues on our 2019-2021 statistics.

Unemployment spiked in 2020 and 2021, which historically has heavily affected total crime rates

Restrictions on optional traffic stops, suspicious activity markouts, and other public contacts

Jails intermittently unable to accept arrested persons due to COVID case spikes

County and Municipal Courts transitioned to online hearings and proceedings

At-large warrants favored over immediate arrests for nonviolent misdemeanors



Phone calls preferred for delayed reports and reports without physical evidence available

Arrest warrants occasionally unable to be served due to nation-wide jail closures

Restaurants, bars, and other businesses operated at reduced or no capacity

Town-sponsored events and programs suspended including our 2020-2021 Community Outreach programs

COVID-19 Activity Impact

PATROL DIVISION

	2019	2020	2021
Offense Reports	2,919	2,591	3,184
Arrest Reports	1,750	1,002	1,201
Citations	5,295	1,883	2,743
Warnings	6,903	3,140	4,959
Calls for Service	16,967	14,468	16,459
Self-Initiated Activity*	61,346	54,036	45,375
Traffic Stops	11,251	4,541	5,562
Suspicious Activity	3,995	3,062	2,703

*See appendix pg. 25 for details

Self-Initiated Activity changes: In 2020, several Computer-Aided Dispatch (CAD) activity types were combined, removing lesser-quality options. This is believed to have led to Self-Initiated Activity decreasing from 2019-2020. The increase in Calls for Service, offense reports, and arrests is believed to account for the 2020-2021 decrease.

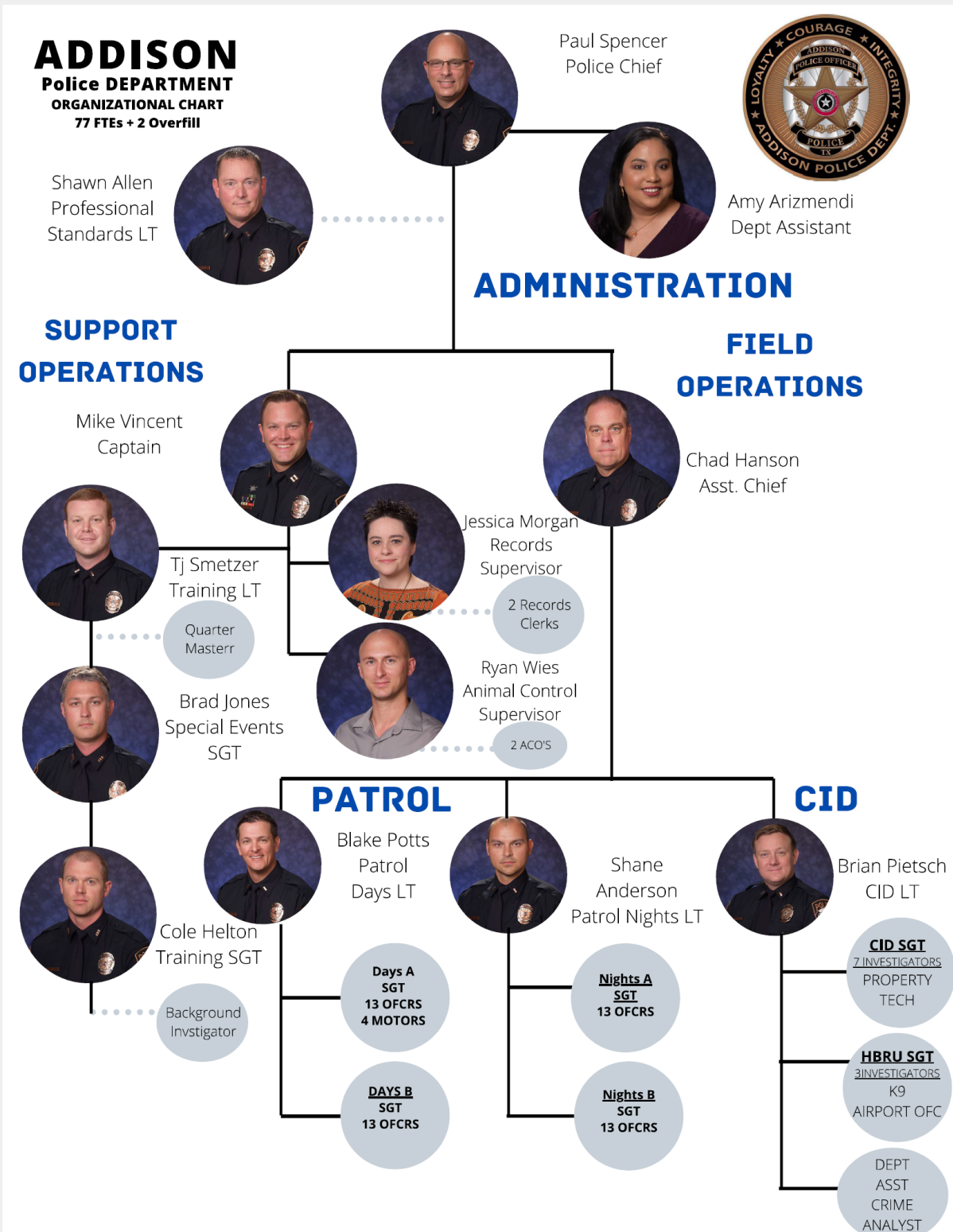
CRIMINAL INVESTIGATIONS DIVISION

	2019	2020	2021
Detectives	10	8*	8*
Cases Assigned	4,259	3,626	4,311
Cases Cleared	2,653	2,309	2,405
Cases Suspended	1,544	1,304	1,869
Cases Active	62	13	37

*2 Vacancies 11

ADDISON POLICE DEPARTMENT

Staffing and Personnel



2021 STATISTICS

Patrol Division

ARRESTS:
1,201

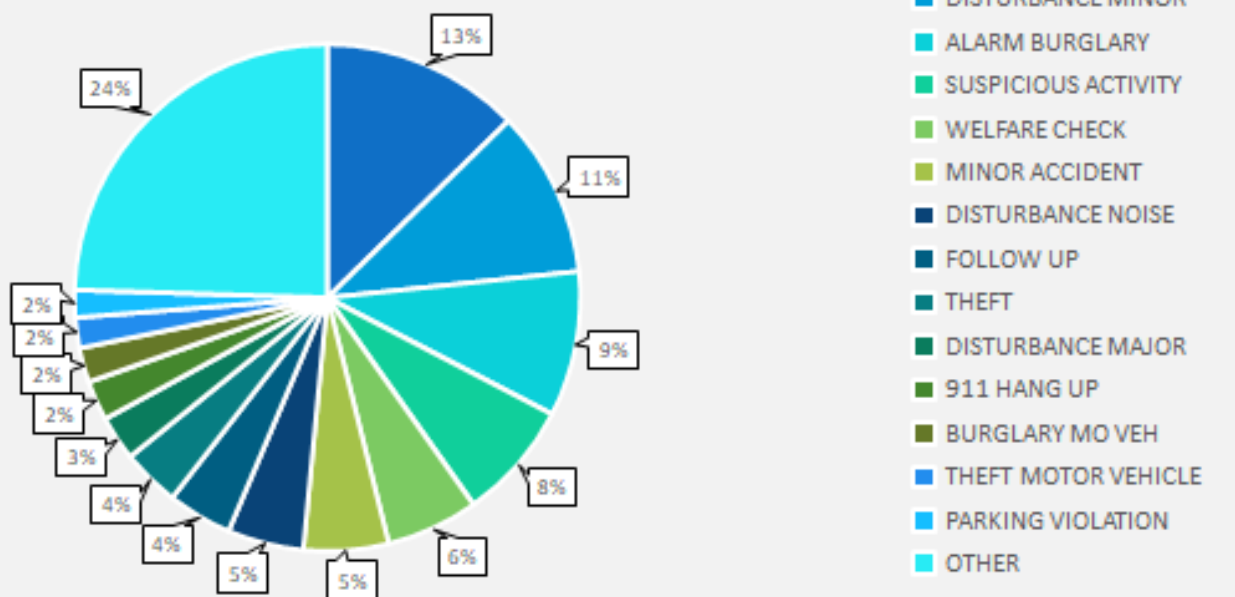
CITATIONS:
2,743

WARNINGS:
4,959

STAFFING:

- OFFICERS HIRED: 13
- OFFICERS RESIGNED: 6
- MAXIMUM STAFFING: 66

TYPES OF CALLS FOR SERVICE



SELF-INITIATED ACTIVITY:*

- Assist Motorist
- Airport Patrol
- Business Contact
- Crime Risk Warning
- Follow Up
- Park Patrol
- Parking Violation
- Special Assignment
- Suspicious Activity
- Traffic Stop
- Vacation Watch
- Welfare Check

*See appendix pg. 25 for details 13

2021 STATISTICS

Criminal Investigations Division

TOTAL CASES:

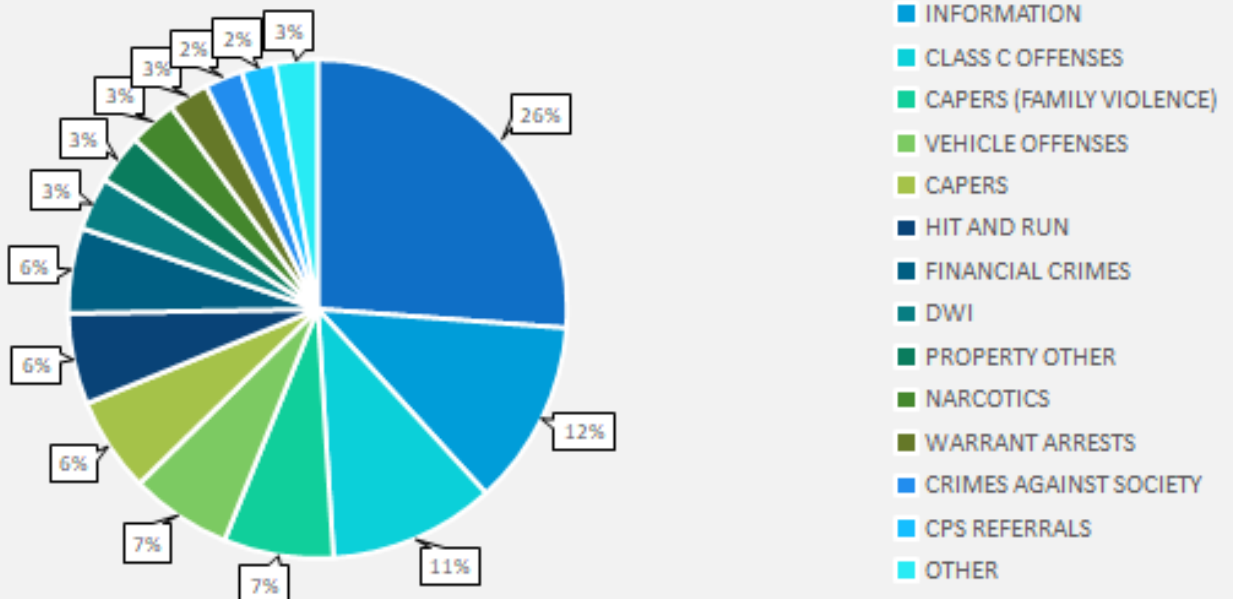
- ASSIGNED: 4,311
- CLEARED: 2,405
- SUSPENDED: 1,869
- OPEN: 37

STAFFING:

- DETECTIVES: 8*
- CASES ASSIGNED PER WORKDAY: 16.5
- CASES CLEARED PER WORKDAY: 9.2

*2 Vacancies

TYPES OF CASES



CID Statistics for 2021

- In 41.3% of Addison stolen vehicle cases the stolen vehicle was recovered.
- Multiple vehicle burglars and catalytic converter thieves were arrested in 2021.
- Detective workload increased 20% yet case clearance still slightly increased.

NIBRS Data Summary

Crime Rate Changes (2020-2021)

	NIBRS Group	NIBRS Code Name	2020	2021	% CHANGE
Crimes Against Persons	Murder	Murder & Nonneglegent Homicide	0	3	
		Neglegent Manslaughter	0	0	
		Justifiable Homicide	0	0	
	Kidnapping	Kidnapping/Abduction	7	11	57.1%
		Rape	12	23	91.7%
	Rape	Sodomy	0	1	
		Sexual Assault with an Object	1	0	-100.0%
		Fondling	5	8	60.0%
		Incest	0	0	
		Statutory Rape	2	2	0.0%
	Robbery	Robbery	20	26	30.0%
	Assault	Aggravated Assault	44	51	15.9%
		Simple Assault	257	300	16.7%
		Intimidation	77	98	27.3%
	Trafficking	Commercial Sex Acts	0	0	
		Involuntary Servitude	0	0	
TOTAL			425	523	23.1%

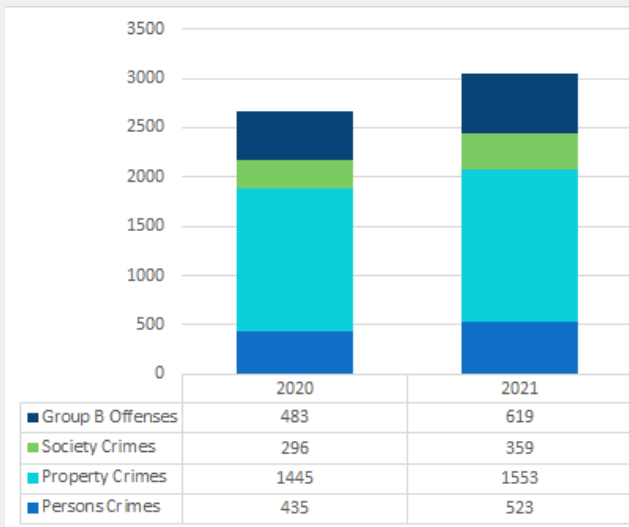
	NIBRS Group	NIBRS Code Name	2020	2021	% CHANGE
Crimes Against Property	Arson	Arson	1	1	0.0%
	Burglary	Burglary/Breaking & Entering	120	124	3.3%
	Vehicle Theft	Motor Vehicle Theft	223	239	7.2%
	Fraud	Counterfeiting/Forgery	53	32	-39.6%
		False Pretenses/Swindle/Confidence Game	26	21	-19.2%
		Credit Card/Automated Teller Machine Fraud	67	72	7.5%
		Impersonation	1	0	-100.0%
		Welfare Fraud	0	0	
		Wire Fraud	0	0	
		Identity Theft	58	71	22.4%
	Vandalism	Destruction/Damage/Vandalism of Property	168	175	4.2%
	Larceny-Theft	Pocket-picking	3	2	-33.3%
		Purse-snatching	3	0	-100.0%
		Shoplifting	0	0	
		Theft From Building	28	19	-32.1%
		Theft from Coin Operated Machine	0	1	
		Theft From Motor Vehicle	322	269	-16.5%
		Theft of Motor Vehicle Parts/Accessories	0	124	
		Embezzlement	0	1	
		Stolen Property Offenses	0	0	
		All Other Larceny	372	400	7.5%
	Cybercrime	Hacking/Computer Invasion	0	2	
	Coercion	Extortion/Blackmail	0	0	
Bribery		0	0		
TOTAL			1445	1553	7.5%

	NIBRS Group	NIBRS Code Name	2020	2021	% CHANGE
Crimes Against Society	Obscenity	Prostitution	0	0	
		Assisting or Promoting Prostitution	0	0	
		Purchasing Prostitution	0	0	
		Pornography/Obscene Material	1	1	0.0%
	Weapons	Weapon Law Violations	53	42	-20.8%
	Animal Cruelty	Animal Cruelty	2	2	0.0%
	Narcotics	Drug/Narcotic Violations	152	141	-7.2%
		Drug Equipment Violations	151	147	-2.6%
	Gambling & Tampering	Betting/Wagering	0	0	
		Operation/Promoting/Assisting Gambling	0	0	
		Gambling Equipment Violation	0	0	
		Sports Tampering	0	0	
	TOTAL			359	333

NIBRS Data Summary

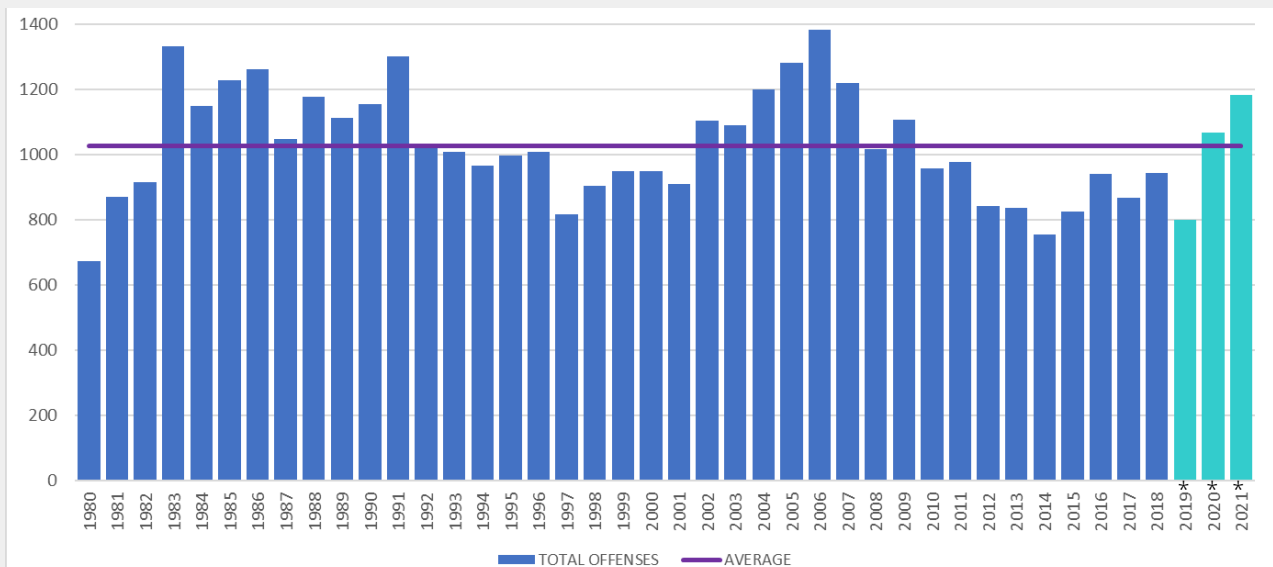
Crime Rate % Change (2020-2021)

All NIBRS Offenses (2020-2021)



NIBRS	2020	2021	% CHANGE
Persons Crimes	435	523	20%
Property Crimes	1445	1553	7%
Society Crimes	296	359	21%
Group B Offenses	483	619	28%
TOTAL	2659	3054	15%

Factors that contribute to a community's crime rates include regional economic conditions, county and state incarceration policies, housing costs, and unemployment. Historic UCR data shows crime rates are a cycle that includes a seven-to-nine-year gradual increase followed by similarly long, gradual decrease. Please see the long-term UCR data below and appendix pages 21-22 for more details. Addison's crime rate increased an average of 15% from 2020 to 2021 across all categories. This is consistent with the long-term crime rate pattern.



LPR & OPTICAL CAMERA PROGRAM

Phase Two (2020-2022)

In 2018, the Town initiated a Pilot Program to determine the effectiveness of License Plate Recognition (LPR) technology and optical cameras. 8 LPR units were installed in the Les Lacs, Winnwood, and Oaks North neighborhoods. Optical cameras were installed in major parks, trails, and large public areas.

So far LPR has helped solve 74 misdemeanor and felony cases. In 2021 Patrol officers recovered an average of 2.1 stolen vehicles per month. Investigators have solved several burglaries, thefts, and other major crimes using LPR software.

In 2021, Council approved Phase 2 of the LPR and optical camera program. Addison began installing more LPR units in 22 strategic locations along major streets and intersections.

The Addison Police Department follows Town guidelines regarding use of data and restrictions on access. Audits are conducted by both internal staff and contracted experts. Please see the License Plate Addendum for a complete report of use.

Town Policy:

License Plate Recognition systems will be used by the police department for legitimate law enforcement purposes only investigating circumstances in which law enforcement officers reasonably believe that the plate data are relevant to an ongoing criminal investigation.

Unless plate data has been flagged, retention periods should be measured in days and weeks, not months and years. The Town will not store data about innocent people for more than 45 days and will only keep flagged data when it is necessary for legitimate law enforcement investigative purposes.

Citizens will be able to find out if plate data of vehicles registered to them are contained in the data base used by the police department.

The Town will not share plate data with non-law enforcement, third-parties. The Town will comply with the Texas DPS License Plate Depository Recognition Program and abide by their rules.

The police department will report data usage publicly and on an annual basis.

The Town will not collect personally identifiable information.

ANIMAL CONTROL

Caretaking, Rescue, and Investigations

Primary Duties:

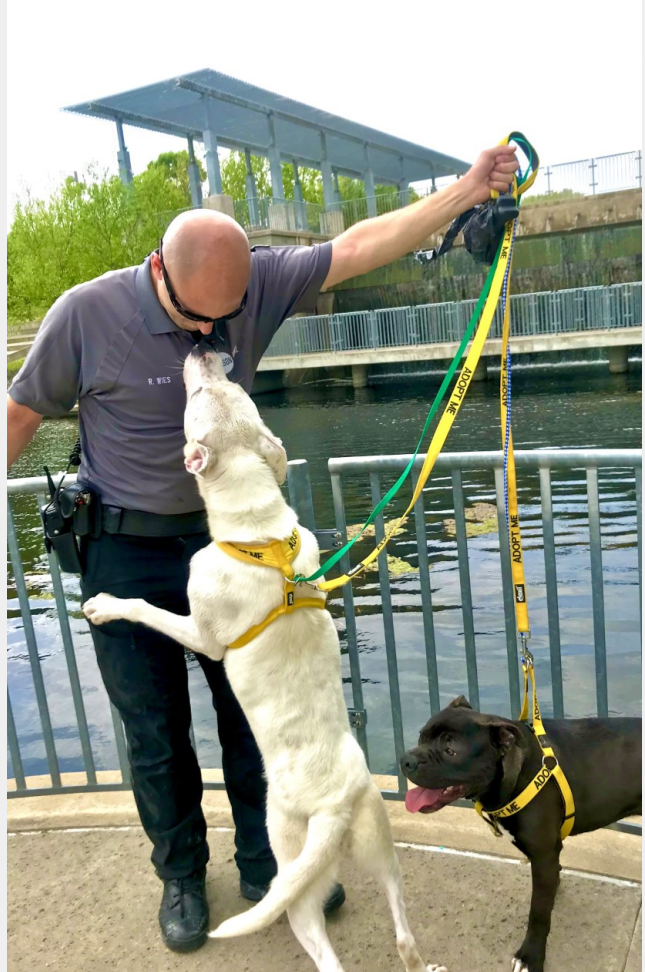
- Animal bite investigation
- Caretaking of loose, stray, and injured animals
- Animal adoption and transfers
- Cruelty and neglect investigation
- Wildlife monitoring

2021 News:

- In December officers began offering free microchipping for the shelter's adoptable animals.
- Addison filled all vacancies, bringing the unit to a total of three employees.

Yearly Statistics:

- Impounded animals: 250
- Adoptions/reclamations: 190
- Transfers: 30
- Trap/neuter/release (TNR): 19
- Bite investigations: 19
- Average length of stay: 14 days for dogs, 8 days for cats
- Most common name: Daisy



GOALS AND OBJECTIVES

Review of 2021

- Projected to complete Phase Two of the License Plate Recognition project on time and on budget
- Body-worn cameras replaced; in-car camera system selected and will be installed in 2022
- Implemented the new job positions approved by the 2021 budget:
 - Professional Standards Lieutenant
 - Special Events and Community Outreach Sergeant
- Remained flexible and agile within the current COVID-19 environment
- Continued to look for opportunities to build and maintain trust with citizens



GOALS AND OBJECTIVES

2022

- Finish replacing in-car camera systems
- Assist our dispatching center NTECC in the replacement of the CAD (Computer-Aided Dispatch) System
- Resolve Animal Control office and storage space dilemma
- Continue to work with Metrocrest Services and partner cities to address homelessness and community mental health issues
- Complete firearms range ventilation project (approved bond project)
- Complete License Plate Recognition (LPR) and Optical Camera Phase 2 project



APPENDIX

Additional Data, Charts, and Graphs

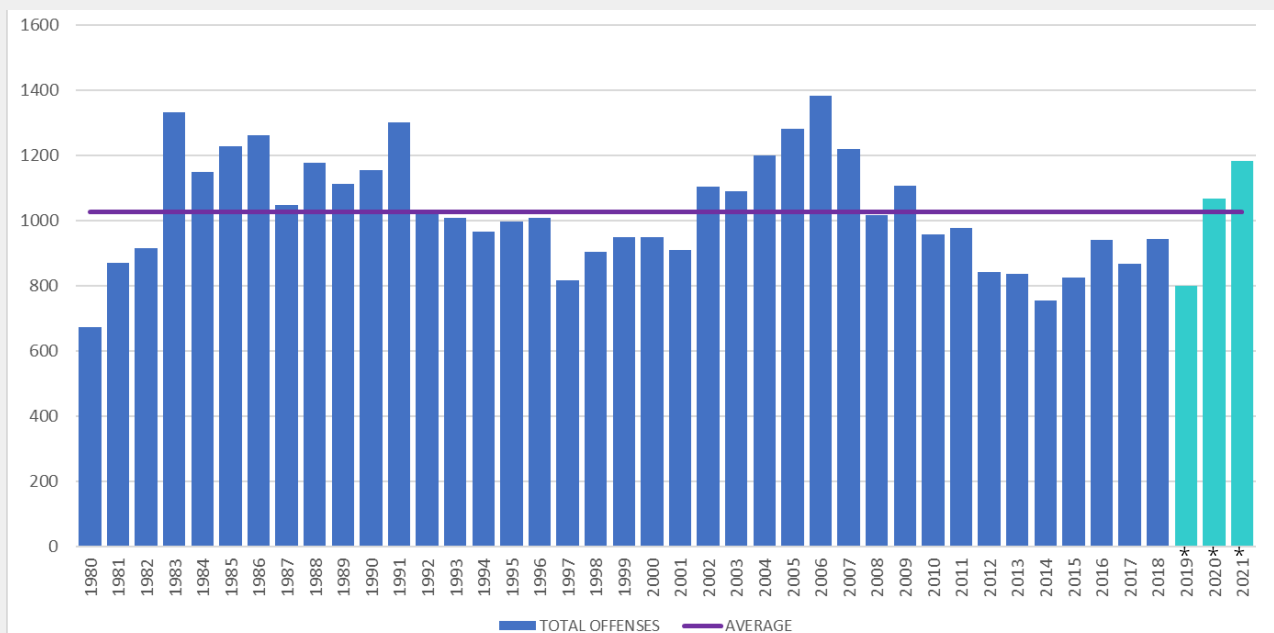
UNIFORM CRIME REPORTING (UCR)

Long-Term Trends

The Uniform Crime Reporting (UCR) program gathered decades of crime data. Recently replaced by NIBRS, this UCR data is still incredibly valuable.

The charts on the next two pages show Addison's UCR data from 1980-2021. As a reminder, the 2019-2021 data shown is converted from NIBRS format.

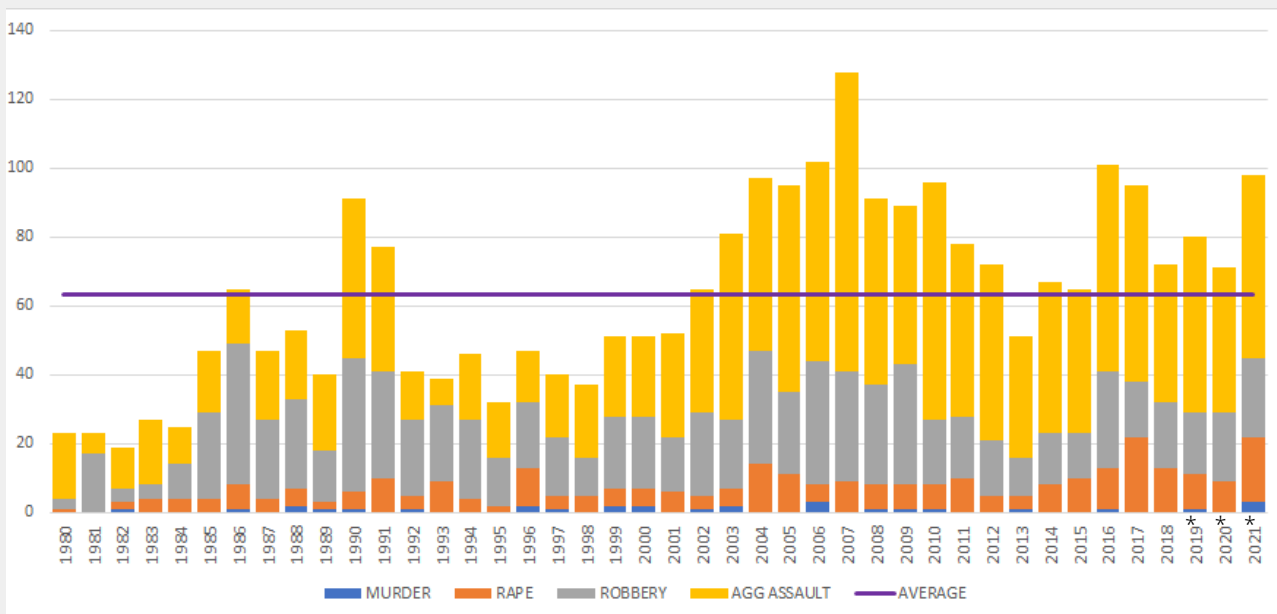
ALL PART 1 OFFENSES (1980-2021)



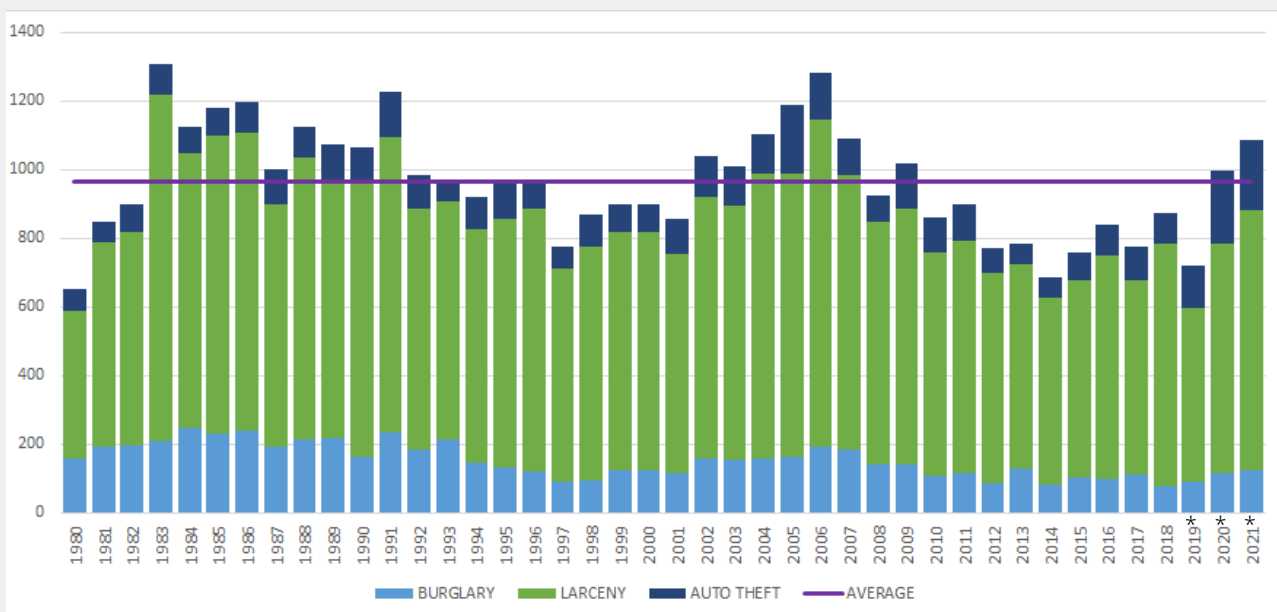
UCR LONG-TERM TRENDS

Examples: Persons Crime and Property Crime

PART 1 CRIMES AGAINST PERSONS (1980-2021)



PART 1 CRIMES AGAINST PROPERTY (1980-2021)



NIBRS

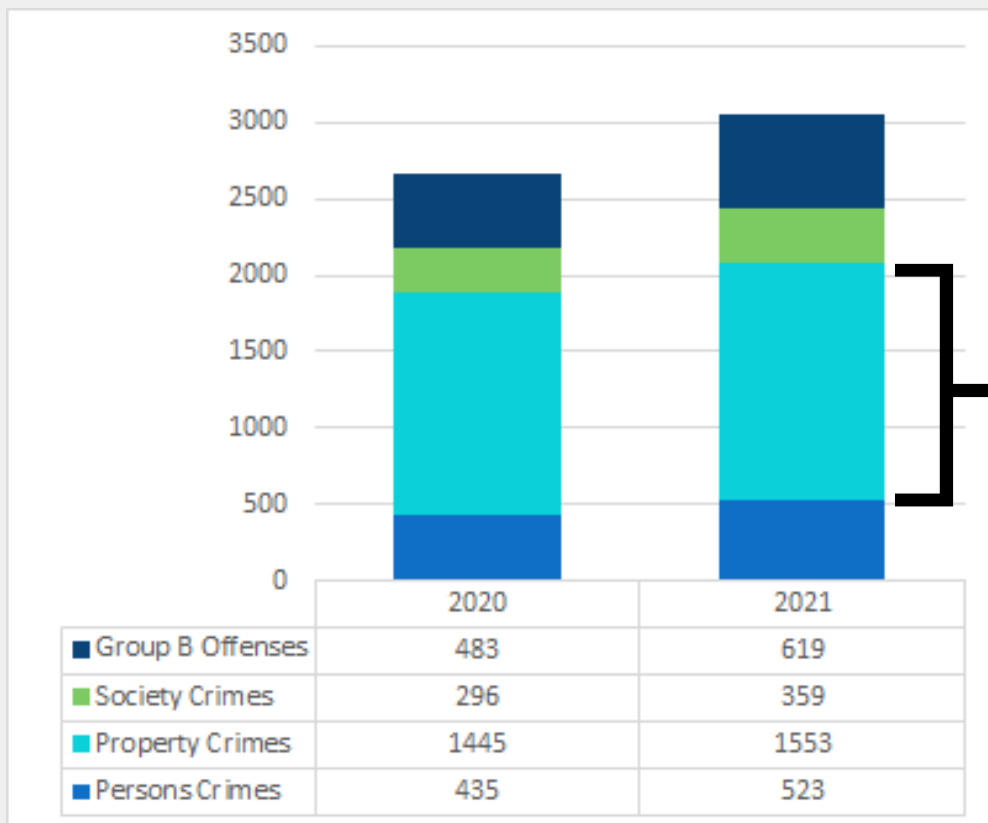
Long-Term Trends

The UCR format was limited in its scope. As seen in the previous page, each broad category of crime (person, property) contained only 3-4 offense types. UCR's shallow data pool made it difficult to accurately predict either short-term or long-term trends.

The NIBRS format breaks yearly data down into many categories, subcategories, and groups ready for analysis. As seen in pages 5-8 it is possible to track data to a level of specificity not previously available using UCR.

See the following page for an example of how the Department uses these NIBRS categories and its new data fields to track, predict, and reduce crime.

ALL NIBRS OFFENSES (2020-2021)

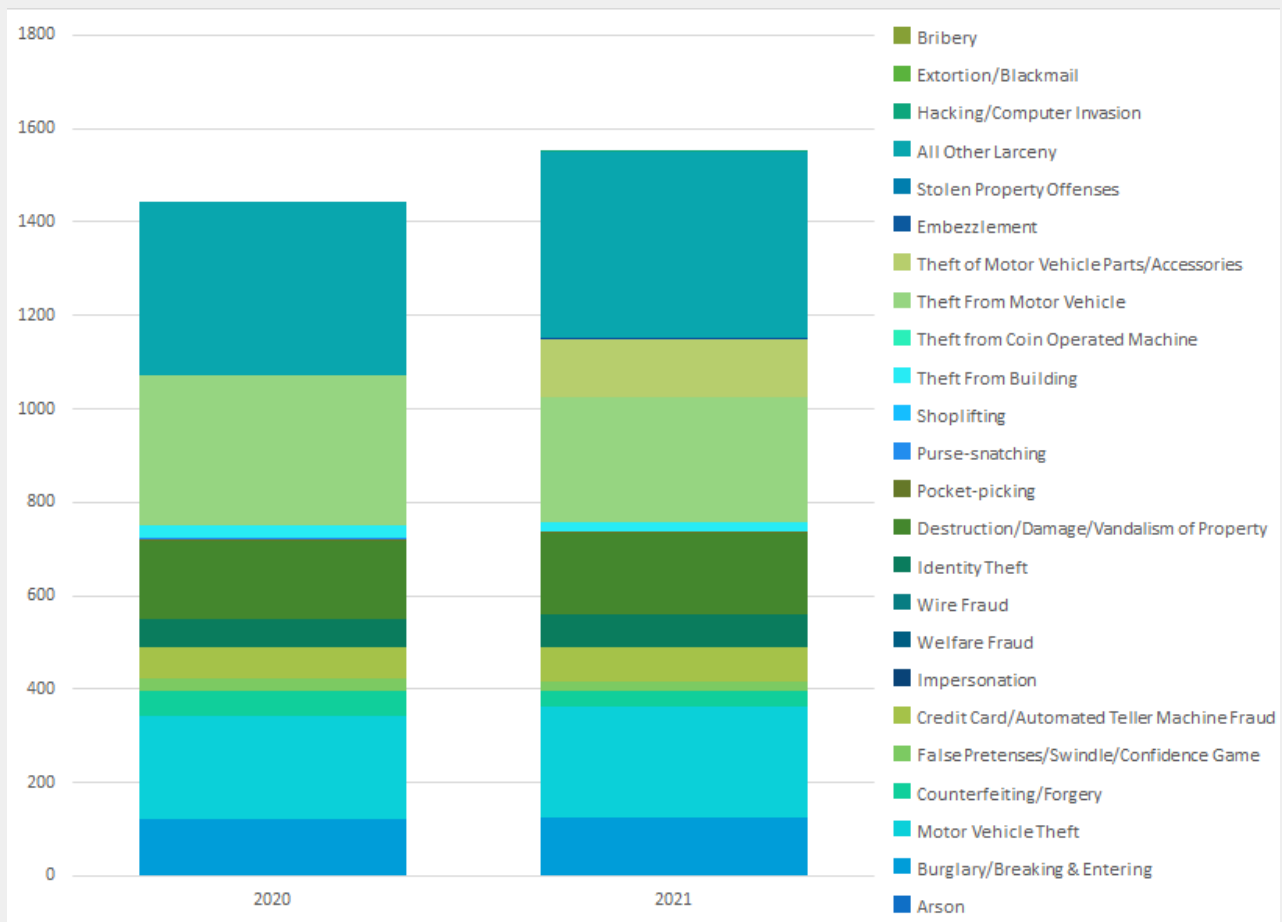


See following page for offense subcategories

NIBRS LONG-TERM TRENDS

Example: Crimes Against Property

GROUP A CRIMES AGAINST PROPERTY (2020-2021)



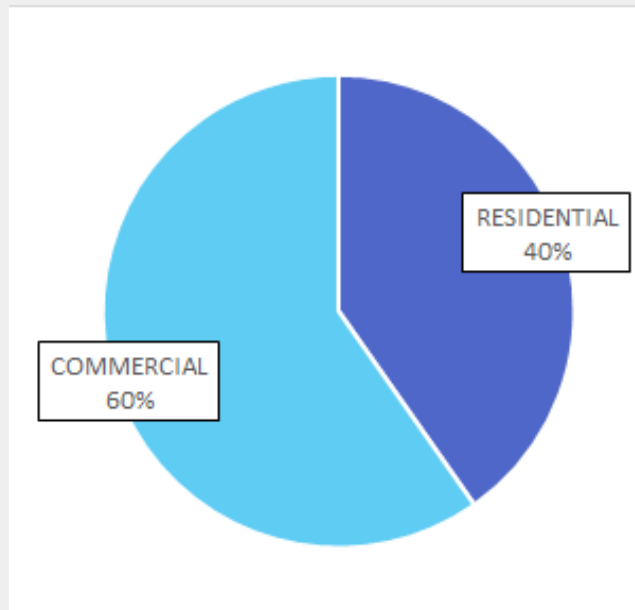
In this example, the Crimes Against Property category is broken down into its 24 NIBRS offense types. Investigators monitor these sub-categories to watch for short-term or long-term increases. Mitigation strategies include directed patrols, community crime meetings to address possible causative factors, and changes to enforcement strategies.

Addison strives to be a data-driven police department using statistics to help guide patrol and investigatory efforts. The newly tracked NIBRS data fields, including timeframe and day of the week, can provide detail for a crime trend. The Department can use this information to create a directed patrol with the goal of intercepting criminals in the act. These efforts have already led to the arrest of several vehicle burglars and bicycle thieves in our residential areas.

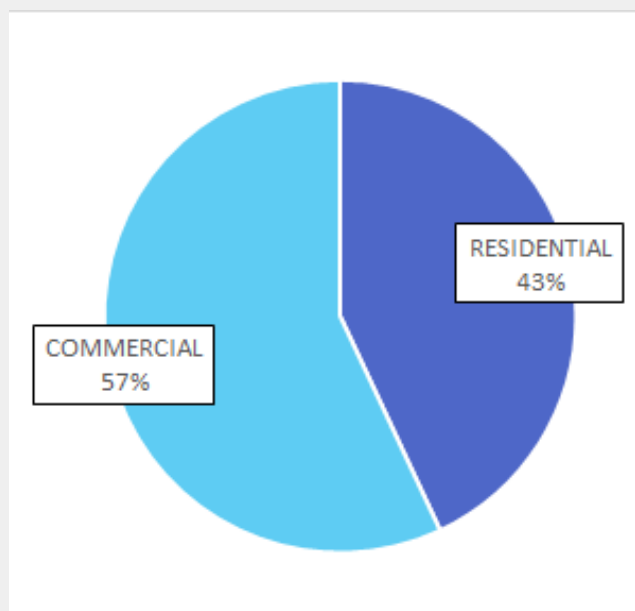
2021 Statistics

Calls For Service VS Self-Initiated Activity Locations

Calls for Service Locations:



Self-Initiated Activity Locations:





February 10, 2022

Mr. Wesley Pierson,

Reference: 2021 Annual Report Addendum, License Plate Recognition and Optical Camera Audits

Background

Based on Council direction to explore technologies assisting Addison's public safety efforts, the police department identified two key programs: License Plate Recognition (LPR) and optical cameras.

The Addison Police Department receives most of its calls for service out of the business community. On a busy day, or night, our officers may not be able to spend the time within our residential areas that many of our citizens expect or desire. Not being able to meet that expectation may lead some residents to perceive a lack of safety within their neighborhoods. Envisioned to be a force multiplier for the police department, this system will assist them in keeping the community safe. This will happen in two ways. First, by alerting Addison Police when a criminal enters an area protected by the system. Second, providing valuable forensic evidence should a crime occur.

Town of Addison Public Safety Data Management Policy

Policy Statement: These principles will act as policy guidelines for the police department as they develop and implement their standard operating procedures. Transparency and accountability for these systems is incredibly important and at the forefront of all our decision-making processes. Cooperation between law enforcement and the community it serves is the key to any program's success. These policy guidelines serve that purpose. Giving clear direction and boundaries, from the community, regarding how the police department will manage data collected via license plate recognition and optical cameras.

It shall be the policy of the Town of Addison that the data collected by License Plate Recognition and Optical Camera technologies be managed according to the following principles:

1. License Plate Recognition systems will be used by the police department for legitimate law enforcement purposes only investigating circumstances in which law enforcement officers reasonably believe that the plate data are relevant to an ongoing criminal investigation.
2. Unless plate data has been flagged, retention periods should be measured in days and weeks,

POLICE

4799 Airport Parkway
Addison, TX 75001

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fax: 972.450.7183

ADDISONTEXAS.NET

IT ALL COMES
TOGETHER.



not months and years. The Town will not store data about innocent people for more than 45 days and will only keep flagged data when it is necessary for legitimate law enforcement investigative purposes.

3. Citizens will be able to find out if plate data of vehicles registered to them are contained in the data base used by the police department.
4. The Town will not share plate data with non-law enforcement, third parties. The Town will comply with the Texas DPS License Plate Recognition Depository program and abide by their rules.
5. The police department will report data usage publicly and on an annual basis.
6. The Town will not collect personally identifiable information.

Audits

Since the LPR system went live on April 12, 2019, the Addison Police Department's License Plate Recognition and Optical Camera system has operated according to Council's policy direction. The APD's internal policy and procedures, that are aligned with Council adopted policies, are being followed. The following internal and external audits were conducted, and the activities were found to be in line with adopted policies and procedures:

Audit Period January 2020-2021

1. *Agency Data Sharing:* The Addison Police Department is not sharing our LPR data with any third-party entities. We are currently participating, as required, with Texas DPS and their data collection program.
2. *User Permissions:* Audit conducted and verified access only allowed to supervisors and Criminal Investigative section personnel per the Chief of Police.
3. *Log-in Tracking:* Audit conducted, and no unauthorized access detected.
4. *Search Queries:* Audit conducted to ensure queries are for legitimate law enforcement purposes only; no discrepancies detected.
5. *Records Viewed:* Audit conducted to ensure viewed records are for legitimate law enforcement purposes; no discrepancies detected.
6. *Camera Status:* Audit confirmed all cameras are online and functioning without errors. Our vendor also confirmed, to date, there has not been any reported physical or electronic breaches of the enclosures since the time of installation.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Paul Spencer".

Paul Spencer
Chief of Police

POLICE

4799 Airport Parkway
Addison, TX 75001

phone: 972.450.7156
fax: 972.450.7183

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Council Meeting

6.

Meeting Date: 02/22/2022

Department: Police

Pillars: Gold Standard in Public Safety

Milestones: Promote and protect the Addison Way

AGENDA CAPTION:

Present, Discuss, and Consider Action to Accept the Addison Police Department's 2021 Racial Profiling Report and Motor Vehicle Contact Search Analysis.

BACKGROUND:

The Addison Police Department must comply with the Texas Racial Profiling Law. The statute requires the collection and annual reporting / analysis of motor vehicle related contact data for the purpose of identifying and addressing (if necessary) areas of concern regarding racial profiling practices.

The Addison Police Department submitted its motor vehicle related contact data to Del Carmen Consulting, LLC for analysis, and the results are included within the 2021 Racial Profiling Report as required by law.

The 2021 Racial Profiling Report concludes that Addison Police Officers are finding contraband when expected based on sound law enforcement practices and not due to a focus on a person's race, ethnicity, or biased-based factors.

The 2021 Racial Profiling Report verifies that the Addison Police Department is in full compliance with the state racial profiling statute regarding prevention policies, data collection, vehicle search analysis and reporting.

The Texas Commission on Law Enforcement requires all Texas law enforcement agencies to submit their Racial Profiling Report to their governing body (i.e., City Council) by March 1st every year. Staff requests the Addison City Council accept the report to meet the State's directive.

RECOMMENDATION:

Administration recommends approval.

Attachments

Presentation - 2021 Racial Profiling

2021 Racial Profiling Report

2021 Racial Profiling Report

Addison Police Department
Chief Paul Spencer



Texas Racial Profiling Law



- **SB 1074 (2001)** – Created Texas Racial Profiling Law
- **HB 3389 (2009)** – Updated requirements to the Racial Profiling Law
- **Sandra Bland Act (2017)** – Major changes to Racial Profiling Law

Requires us to collect specific data on all vehicle stops that result in an arrest, citation, or warning.

Required Data Collection



- Race
- Gender
- Was race known prior to stop?
- Reason for stop
- Stop location
- Search conducted?
- Reason for search
- Contraband found?
- Contraband type
- Result of stop
- If arrest, what violation?
- Use of force on stop?

Data is entered by officers into electronic ticket writers and then checked and analyzed for accuracy by the Records Supervisor and racial profiling expert Dr. Alex del Carmen.

Data Audit and Analysis



- Data is audited by Dr. del Carmen each quarter from a random sample of citations. Data completeness and accuracy is evaluated during each audit.
- The Sandra Bland Act requires comprehensive analysis of the data. This is performed by Dr. del Carmen and included in the report.

Other Requirements



- Agency policy on racial profiling and bias reduction
- Public education on filing compliments & complaints
 - Website and brochures at Town Hall and PD Lobby
- Training for all sworn officers on racial profiling
 - Completed in police academy or departmental in-service training
- Quarterly video review of random sample of traffic stops
 - Supervisor reviews 5 traffic stops from each officer
 - Texas Police Chief Association (TPCA) Best Practices

2021 Data



Motor Vehicle Contacts Including Tickets, Citations and Warnings

Race/Ethnicity	All Contacts		Citations		Verbal Warning		Written Warning	
	N	%	N	%	N	%	N	%
Alaska Native / American Indian	20	0	4	0	0	0	15	0
Asian / Pacific Islander	345	7	74	4	0	0	262	8
Black	1653	33	453	33	0	0	1067	32
Hispanic / Latino	1222	24	433	32	0	0	707	21
White	1813	36	405	30	0	0	1308	39
Total	5053	100	1369	100	0	0	3359	100



Motor Vehicle Contacts and Households

Race/Ethnicity	Contact Percentage	Households with Vehicle Access
Alaska Native/American Indian	0%	0%
Asian/Pacific Islander	7%	5%
Black	33%	14%
White	36%	60%
Hispanic/Latino	24%	19%
TOTAL	100%	98%

2021 Data



Search Data

Race/Ethnicity	Searches		Contraband Found		Contraband Not Found		Arrests	
	N	%	N	%	N	%	N	%
Alaska Native / American Indian	3	1	2	1	1	0	1	0
Asian / Pacific Islander	16	3	6	2	10	4	9	3
Black	234	42	146	46	88	37	145	41
Hispanic / Latino	145	26	81	26	64	27	90	25
White	159	29	82	26	77	32	109	31
Total	557	100	317	100	240	100	354	100



- Full report on Racial Profiling has been submitted to the Texas Commission on Law Enforcement and is available to the public on the TCOLE website:
 - <https://www.tcole.texas.gov/content/racial-profiling-reports>
- Addison is in full compliance with all directives and laws governing racial profiling.

2021 Racial Profiling Report

Addison Police Department



"Dr. Alex del Carmen's work on racial profiling exemplifies the very best of the Sandra Bland Act, named after my daughter. My daughter's pledge to fight for injustice is best represented in the high quality of Dr. del Carmen's reports which include, as required by law, the data analysis, audits, findings and recommendations. I commend the agencies that work with him as it is clear that they have embraced transparency and adherence to the law."

-Quote by Geneva Reed (Mother of Sandra Bland)

February 1, 2022
Addison City Council
4799 Airport Parkway
Addison, Texas 75001



Dear Distinguished Members of the City Council,

The Texas Legislature, with the intent of addressing the issue of racial profiling in policing, enacted in 2001, the Texas Racial Profiling Law. During the past year, the Addison Police Department, in accordance with the law, has collected and reported traffic and motor vehicle-related contact data for the purpose of identifying and addressing (if necessary) areas of concern regarding racial profiling practices. In the 2009 Texas legislative session, the Racial Profiling Law was modified and additional requirements were implemented. Moreover, in 2017, the Sandra Bland Act was passed and signed into law (along with HB 3051 which introduced new racial and ethnic designations). The Sandra Bland Law requires that law enforcement agencies in the state collect additional data and provide a more detailed analysis. All of these requirements have been met by the Addison Police Department and are included in this report.

This particular report contains three sections with information on motor vehicle-related contact data. In addition, when appropriate, documentation is also a component of this report, aiming at demonstrating the manner in which the Addison Police Department has complied with the Texas Racial Profiling Law. In section 1, you will find the table of contents. In section 2, the report includes documentation which demonstrates compliance by the Addison Police Department relevant to the requirements as established in the Texas Racial Profiling Law. That is, you will find documents relevant to the training of all police personnel on racial profiling prevention and the institutionalization of the compliment and complaint processes, as required by law.

In section 3, the report includes statistical data relevant to contacts (as defined by the law) which were made during the course of motor vehicle stops that took place between 1/1/21 and 12/31/21. In addition, this section contains the Tier 2 form, which is required to be submitted to this particular organization and the law enforcement agency's local governing authority, by March 1st of each year. The data in this report has been analyzed and compared to information derived from the U.S. Census Bureau's Fair Roads Standard. The final analysis and recommendations are also included in this report.

The last section of the report contains the original draft of the Texas Racial Profiling Law, SB1074, as well as the Sandra Bland Act (current law). Also, in this section, a list of requirements relevant to the Racial Profiling Law, as established by TCOLE (Texas Commission on Law Enforcement) is included. The findings in this report serve as evidence of the Addison Police Department's commitment to comply with the Texas Racial Profiling Law.

Sincerely,

Alex del Carmen, Ph.D.

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PUBLIC EDUCATION ON RESPONDING TO COMPLIMENTS AND COMPLAINTS

Informing the Public on the Process of Filing a Compliment or Complaint with the Addison Police Department


The Texas Racial Profiling Law requires that police agencies provide information to the public regarding the manner in which to file a compliment or racial profiling complaint. In an effort to comply with this particular component, the Addison Police Department launched an educational campaign aimed at informing the public on issues relevant to the racial profiling complaint process.

The police department made available, in the lobby area and on its web site, information relevant to filing a compliment and complaint on a racial profiling violation by a Addison Police Officer. In addition, each time an officer issues a citation, ticket or warning, information on how to file a compliment or complaint is given to the individual cited. This information is in the form of a web address (including in the document issued to the citizen), which has instructions and details specifics related to the compliment or complaint processes.

It is believed that through these efforts, the community has been properly informed of the new policies and the complaint processes relevant to racial profiling.

All Addison Police Officers have been instructed, as specified in the Texas Racial Profiling Law, to adhere to all Texas Commission on Law Enforcement (TCOLE) training and the Law Enforcement Management Institute of Texas (LEMIT) requirements. To date, all sworn officers of the Addison Police Department have completed the TCOLE basic training on racial profiling. The main outline used to train the officers of Addison has been included in this report.

It is important to recognize that the Chief of the Addison Police Department has also met the training requirements, as specified by the Texas Racial Profiling Law, in the completion of the LEMIT program on racial profiling. The satisfactory completion of the racial profiling training by the sworn personnel of the Addison Police Department fulfills the training requirement as specified in the Education Code (96.641) of the Texas Racial Profiling Law.



RACIAL PROFILING
COURSE NUMBER 3256
TEXAS COMMISSION ON LAW ENFORCEMENT
SEPTEMBER 2001

Racial Profiling 3256

Instructor's Note:

You may wish to teach this course in conjunction with Asset Forfeiture 3255 because of the related subject matter and applicability of the courses. If this course is taught in conjunction with Asset Forfeiture, you may report it under Combined Profiling and Forfeiture 3257 to reduce data entry.

Abstract

This instructor guide is designed to meet the educational requirement for racial profiling established by legislative mandate: 77R-SB1074.

Target Population: Licensed law enforcement personnel in Texas

Prerequisites: Experience as a law enforcement officer

Length of Course: A suggested instructional time of 4 hours

Material Requirements: Overhead projector, chalkboard and/or flip charts, video tape player, handouts, practical exercises, and demonstrations

Instructor Qualifications: Instructors should be very knowledgeable about traffic stop procedures and law enforcement issues

Evaluation Process and Procedures

An examination should be given. The instructor may decide upon the nature and content of the examination. It must, however, sufficiently demonstrate the mastery of the subject content by the student.

Reference Materials

Reference materials are located at the end of the course. An electronic copy of this instructor guide may be downloaded from our web site at <http://www.tcleose.state.tx.us>.

Racial Profiling 3256

1.0 RACIAL PROFILING AND THE LAW

1.1 UNIT GOAL: The student will be able to identify the legal aspects of racial profiling.

1.1.1 LEARNING OBJECTIVE: The student will be able to identify the legislative requirements placed upon peace officers and law enforcement agencies regarding racial profiling.

Racial Profiling Requirements:

Racial profiling CCP 3.05

Racial profiling prohibited CCP 2.131

Law enforcement policy on racial profiling CCP 2.132

Reports required for traffic and pedestrian stops CCP 2.133

Liability CCP 2.136

Racial profiling education for police chiefs Education Code 96.641

Training program Occupations Code 1701.253

Training required for intermediate certificate Occupations Code 1701.402

Definition of "race or ethnicity" for form Transportation Code 543.202

A. Written departmental policies

1. Definition of what constitutes racial profiling
2. Prohibition of racial profiling
3. Complaint process
4. Public education
5. Corrective action
6. Collection of traffic-stop statistics
7. Annual reports

B. Not prima facie evidence

C. Feasibility of use of video equipment

D. Data does not identify officer

E. Copy of complaint-related video evidence to officer in question

F. Vehicle stop report

1. Physical description of detainees: gender, race or ethnicity
2. Alleged violation
3. Consent to search
4. Contraband
5. Facts supporting probable cause
6. Arrest
7. Warning or citation issued

G. Compilation and analysis of data

H. Exemption from reporting - audio/video equipment

I. Officer non-liability

J. Funding

K. Required training in racial profiling

1. Police chiefs
2. All holders of intermediate certificates and/or two-year-old licenses as of 09/01/2001 (training to be completed no later than 09/01/2003) – see legislation 77R-SB1074

1.1.2 LEARNING OBJECTIVE: The student will become familiar with Supreme Court decisions and other court decisions involving appropriate actions in traffic stops.

A. Whren v. United States, 517 U.S. 806, 116 S.Ct. 1769 (1996)

1. Motor vehicle search exemption
2. Traffic violation acceptable as pretext for further investigation
3. Selective enforcement can be challenged

B. Terry v. Ohio, 392 U.S. 1, 88 S.Ct. 1868 (1968)

1. Stop & Frisk doctrine
2. Stopping and briefly detaining a person
3. Frisk and pat down

C. Other cases

1. Pennsylvania v. Mimms, 434 U.S. 106, 98 S.Ct. 330 (1977)
2. Maryland v. Wilson, 117 S.Ct. 882 (1997)
3. Graham v. State, 119 MdApp 444, 705 A.2d 82 (1998)
4. Pryor v. State, 122 Md.App. 671 (1997) cert. denied 352 Md. 312, 721 A.2d 990 (1998)
5. Ferris v. State, 355 Md. 356, 735 A.2d 491 (1999)
6. New York v. Belton, 453 U.S. 454 (1981)



2.0 RACIAL PROFILING AND THE COMMUNITY

2.1 UNIT GOAL: The student will be able to identify logical and social arguments against racial profiling.

2.1.1 LEARNING OBJECTIVE: The student will be able to identify logical and social arguments against racial profiling.

- A. There are appropriate reasons for unusual traffic stops (suspicious behavior, the officer's intuition, MOs, etc.), but police work must stop short of cultural stereotyping and racism.
- B. Racial profiling would result in criminal arrests, but only because it would target all members of a race randomly – the minor benefits would be far outweighed by the distrust and anger towards law enforcement by minorities and the public as a whole .
- C. Racial profiling is self-fulfilling bad logic: if you believed that minorities committed more crimes, then you might look for more minority criminals, and find them in disproportionate numbers.
- D. Inappropriate traffic stops generate suspicion and antagonism towards officers and make future stops more volatile – a racially-based stop today can throw suspicion on tomorrow's legitimate stop.
- E. By focusing on race, you would not only be harassing innocent citizens, but overlooking criminals of all races and backgrounds – it is a waste of law enforcement resources.





3.0 RACIAL PROFILING VERSUS REASONABLE SUSPICION

3.1 UNIT GOAL: The student will be able to identify the elements of both inappropriate and appropriate traffic stops.

3.1.1 LEARNING OBJECTIVE: The student will be able to identify elements of a racially motivated traffic stop.

- A. Most race-based complaints come from vehicle stops, often since race is used as an inappropriate substitute for drug courier profile elements
- B. "DWB" – "Driving While Black" – a nickname for the public perception that a Black person may be stopped solely because of their race (especially with the suspicion that they are a drug courier), often extended to other minority groups or activities as well ("Driving While Brown," "Flying While Black," etc.)
- C. A typical traffic stop resulting from racial profiling
 1. The vehicle is stopped on the basis of a minor or contrived traffic violation which is used as a pretext for closer inspection of the vehicle, driver, and passengers
 2. The driver and passengers are questioned about things that do not relate to the traffic violation
 3. The driver and passengers are ordered out of the vehicle
 4. The officers visually check all observable parts of the vehicle
 5. The officers proceed on the assumption that drug courier work is involved by detaining the driver and passengers by the roadside
 6. The driver is asked to consent to a vehicle search – if the driver refuses, the officers use other procedures (waiting on a canine unit, criminal record checks, license-plate checks, etc.), and intimidate the driver (with the threat of detaining him/her, obtaining a warrant, etc.)

3.1.2 LEARNING OBJECTIVE: The student will be able to identify elements of a traffic stop which would constitute reasonable suspicion of drug courier activity.

- A. Drug courier profile (adapted from a profile developed by the DEA)
 - 1. Driver is nervous or anxious beyond the ordinary anxiety and cultural communication styles
 - 2. Signs of long-term driving (driver is unshaven, has empty food containers, etc.)
 - 3. Vehicle is rented
 - 4. Driver is a young male, 20-35
 - 5. No visible luggage, even though driver is traveling
 - 6. Driver was over-reckless or over-cautious in driving and responding to signals
 - 7. Use of air fresheners

- B. Drug courier activity indicators by themselves are usually not sufficient to justify a stop

3.1.3 LEARNING OBJECTIVE: The student will be able to identify elements of a traffic stop which could constitute reasonable suspicion of criminal activity.

- A. Thinking about the totality of circumstances in a vehicle stop

- B. Vehicle exterior
 - 1. Non-standard repainting (esp. on a new vehicle)
 - 2. Signs of hidden cargo (heavy weight in trunk, windows do not roll down, etc.)
 - 3. Unusual license plate suggesting a switch (dirty plate, bugs on back plate, etc.)
 - 4. Unusual circumstances (pulling a camper at night, kids' bikes with no kids, etc.)

- C. Pre-stop indicators
 - 1. Not consistent with traffic flow
 - 2. Driver is overly cautious, or driver/passengers repeatedly look at police car
 - 3. Driver begins using a car- or cell-phone when signaled to stop
 - 4. Unusual pull-over behavior (ignores signals, hesitates, pulls onto new street, moves objects in car, etc.)

- D. Vehicle interior
 - 1. Rear seat or interior panels have been opened, there are tools or spare tire, etc.
 - 2. Inconsistent items (anti-theft club with a rental, unexpected luggage, etc.)

Resources

Proactive Field Stops Training Unit – Instructor's Guide, Maryland Police and Correctional Training Commissions, 2001. (See Appendix A.)

Web address for legislation 77R-SB1074: <http://tlo2.tlc.state.tx.us/tlo/77r/billtext/SB01074F.htm>



REPORT ON COMPLIMENTS AND
RACIAL PROFILING COMPLAINTS

Report on Complaints

The following table contains data regarding officers that have been the subject of a complaint, during the time period of 1/1/21-12/31/21 based on allegations outlining possible violations related to the Texas Racial Profiling Law. The final disposition of the case is also included.

A check above indicates that the Addison Police Department has not received any complaints, on any members of its police force, for having violated the Texas Racial Profiling Law during the time period of 1/1/21-12/31/21.

Complaints Filed for Possible Violations of The Texas Racial Profiling Law

Complaint Number	Alleged Violation	Disposition of the Case
1	Racial Profiling	No Further Action/Unfounded

Additional Comments:

TABLES ILLUSTRATING MOTOR VEHICLE-RELATED CONTACTS TIER 2 DATA

TOTAL STOPS: 5,053

**STREET ADDRESS OR APPROXIMATE
LOCATION OF STOP.**

City Street	4,663
US Highway	0
County Road	0
State Highway	0
Private Property	390

**WAS RACE OR ETHNICITY KNOWN PRIOR
TO STOP?**

Yes	172
No	4,881

RACE OR ETHNICITY

Alaska Native/American Indian	20
Asian/Pacific Islander	345
Black	1,653
White	1,813
Hispanic/Latino	1,222

GENDER

Female Total: 1,886

Alaska Native/American Indian	6
Asian/Pacific Islander	94
Black	656
White	725
Hispanic/Latino	405

Male Total: 3,167

Alaska Native/American Indian	14
Asian/Pacific Islander	251
Black	997
White	1,088
Hispanic/Latino	817

REASON FOR STOP?

Violation of Law Total: 335

Alaska Native/American Indian	2
Asian/Pacific Islander	14
Black	124
White	110
Hispanic/Latino	85

Pre-existing Knowledge Total: 127

Alaska Native/American Indian	0
Asian/Pacific Islander	5
Black	49
White	38
Hispanic/Latino	35

Moving Traffic Violation Total: 3,277

Alaska Native/American Indian	13
Asian/Pacific Islander	248
Black	965
White	1,232
Hispanic/Latino	819

TIER 2 DATA CONTINUED

Vehicle Traffic Violation Total: 1,314

Alaska Native/American Indian	5
Asian/Pacific Islander	78
Black	515
White	433
Hispanic/Latino	283

Contraband (in plain view) Total: 36

Alaska Native/American Indian	0
Asian/Pacific Islander	1
Black	10
White	16
Hispanic/Latino	9

WAS SEARCH CONDUCTED?

	YES	NO
Alaska Native/American Indian	3	17
Asian/Pacific Islander	16	329
Black	234	1,419
White	159	1,654
Hispanic/Latino	145	1,077
TOTAL	557	4,496

Probable Cause Total: 213

Alaska Native/American Indian	1
Asian/Pacific Islander	4
Black	107
White	40
Hispanic/Latino	61

Inventory Total: 31

Alaska Native/American Indian	0
Asian/Pacific Islander	2
Black	9
White	11
Hispanic/Latino	9

REASON FOR SEARCH?

Consent Total: 88

Alaska Native/American Indian	1
Asian/Pacific Islander	2
Black	31
White	29
Hispanic/Latino	25

Incident to arrest Total: 189

Alaska Native/American Indian	1
Asian/Pacific Islander	7
Black	77
White	63
Hispanic/Latino	41

TIER 2 DATA CONTINUED

WAS CONTRABAND DISCOVERED?

	YES	NO
Alaska Native/American Indian	2	1
Asian/Pacific Islander	6	10
Black	146	88
White	82	77
Hispanic/Latino	81	64
TOTAL	317	240

Did the finding result in arrest (total should equal previous column)?

	YES	NO
Alaska Native/American Indian	0	2
Asian/Pacific Islander	2	4
Black	75	71
White	47	35
Hispanic/Latino	36	45
TOTAL	160	157

DESCRIPTION OF CONTRABAND

Drugs Total: 255

Alaska Native/American Indian	0
Asian/Pacific Islander	5
Black	125
White	61
Hispanic/Latino	64

Currency Total: 0

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	0
White	0
Hispanic/Latino	0

Weapons Total: 0

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	0
White	0
Hispanic/Latino	0

Alcohol Total: 18

Alaska Native/American Indian	0
Asian/Pacific Islander	1
Black	4
White	8
Hispanic/Latino	5

TIER 2 DATA CONTINUED

Stolen Property Total: 5

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	3
White	1
Hispanic/Latino	1

Other Total: 39

Alaska Native/American Indian	2
Asian/Pacific Islander	0
Black	14
White	12
Hispanic/Latino	11

RESULT OF THE STOP

Verbal Warning Total: 0

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	0
White	0
Hispanic/Latino	0

Written Warning Total: 3,359

Alaska Native/American Indian	15
Asian/Pacific Islander	262
Black	1,067
White	1,308
Hispanic/Latino	707

Citation Total: 1,340

Alaska Native/American Indian	4
Asian/Pacific Islander	74
Black	441
White	396
Hispanic/Latino	425

Written Warning and Arrest Total: 8

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	5
White	2
Hispanic/Latino	1

Citation and Arrest Total: 29

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	12
White	9
Hispanic/Latino	8

Arrest Total: 317

Alaska Native/American Indian	1
Asian/Pacific Islander	9
Black	128
White	98
Hispanic/Latino	81

TIER 2 DATA CONTINUED

ARREST BASED ON

Violation of Penal Code Total: 268

Alaska Native/American Indian	1
Asian/Pacific Islander	9
Black	105
White	81
Hispanic/Latino	72

Violation of Traffic Law Total: 15

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	8
White	5
Hispanic/Latino	2

Violation of City Ordinance Total: 1

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	0
White	1
Hispanic/Latino	0

Outstanding Warrant Total: 70

Alaska Native/American Indian	0
Asian/Pacific Islander	0
Black	32
White	22
Hispanic/Latino	16

Was physical force used resulting in bodily injury during the stop?

	YES	NO
Alaska Native/American Indian	0	20
Asian/Pacific Islander	0	345
Black	1	1,652
White	0	1,813
Hispanic/Latino	1	1,221
TOTAL	2	5,051



TABLES ILLUSTRATING MOTOR VEHICLE RELATED CONTACT DATA



Table 1. Citations and Warnings

Race/ Ethnicity	All Contacts	Citations	Verbal Warning	Written Warning	Contact Percent	Citation Percent	Verbal Percent	Written Percent
Alaska Native/ American Indian	20	4	0	15	0%	0%	0%	0%
Asian/ Pacific Islander	345	74	0	262	7%	5%	0%	8%
Black	1,653	453	0	1,067	33%	33%	0%	32%
White	1,813	405	0	1,308	36%	30%	0%	39%
Hispanic/ Latino	1,222	433	0	707	24%	32%	0%	21%
TOTAL	5,053	1,369	0	3,359	100%	100%	0%	100%

Table 2. Motor Vehicle Contacts and Fair Roads Standard Comparison

Comparison of motor vehicle-related contacts with households that have vehicle access.

Race/Ethnicity	Contact Percentage	Households with Vehicle Access
Alaska Native/American Indian	0%	0%
Asian/Pacific Islander	7%	5%
Black	33%	14%
White	36%	60%
Hispanic/Latino	24%	19%
TOTAL	100%	98%

Table 3. Motor Vehicle Searches and Arrests.

Race/Ethnicity	Searches	Consent Searches	Arrests
Alaska Native/American Indian	3	1	1
Asian/Pacific Islander	16	2	9
Black	234	31	145
White	159	29	109
Hispanic/Latino	145	25	90
TOTAL	557	88	354

Table 4. Instances Where Peace Officers Used Physical Force Resulting in Bodily Injury

Instances Where Peace Officers Used Physical Force that Resulted in Bodily Injury	Arrest	Location of Stop	Reason for Stop
1	03.23.21	4815 Westgrove Drive	Moving Traffic Violation
2	07.25.21	4005 Belt Line Road	Moving Traffic Violation

Table 5. Search Data

Race/ Ethnicity	Searches	Contraband Found Yes	Contraband Found No	Arrests	Percent Searches	Percent Contraband Found	Percent No Contraband	Percent Arrest
Alaska Native/ American Indian	3	2	1	1	1%	1%	0%	0%
Asian/ Pacific Islander	16	6	10	9	3%	2%	4%	3%
Black	234	146	88	145	42%	46%	37%	41%
White	159	82	77	109	29%	26%	32%	31%
Hispanic/ Latino	145	81	64	90	26%	26%	27%	25%
TOTAL	557	317	240	354	100%	100%	100%	100%

Table 6. Report on Audits.

The following table contains data regarding the number and outcome of required data audits during the period of 1/1/21-12/31/21.

Audit Data	Number of Data Audits Completed	Date of Completion	Outcome of Audit
	1	03/01/21	Data is accurate
	2	06/01/21	Data is accurate
	3	09/01/21	Data is accurate
	4	12/01/21	Data is accurate

ADDITIONAL COMMENTS:

Table 7. Instance Where Force Resulted in Bodily Injury.

Race/Ethnicity	Number	Percent
Alaska Native/American Indian	0	0%
Asian/Pacific Islander	0	0%
Black	1	50%
White	0	0%
Hispanic/Latino	1	50%
TOTAL	2	100%

Table 8. Reason for Arrests from Vehicle Contact

Race/ Ethnicity	Violation of Penal Code	Violation of Traffic Law	Violation of City Ordinance	Outstanding Warrant	Percent Penal Code	Percent Traffic Law	Percent City Ordinance	Percent Warrant
Alaska Native/ American Indian	1	0	0	0	0%	0%	0%	0%
Asian/ Pacific Islander	9	0	0	0	3%	0%	0%	0%
Black	105	8	0	32	39%	53%	0%	46%
White	81	5	1	22	30%	33%	100%	31%
Hispanic/ Latino	72	2	0	16	27%	13%	0%	23%
TOTAL	268	15	1	70	100%	100%	100%	100%

Table 9. Contraband Hit Rate

Race/ Ethnicity	Searches	Contraband Found Yes	Contraband Hit Rate	Search Percent	Contraband Percent
Alaska Native/ American Indian	3	1	67%	1%	1%
Asian/ Pacific Islander	16	6	38%	3%	2%
Black	234	146	62%	42%	46%
White	159	82	52%	29%	26%
Hispanic/Latino	145	81	56%	26%	26%

ANALYSIS AND INTERPRETATION



The Texas legislature, in 2001, passed Senate Bill 1074 which became the Texas Racial Profiling Law. This particular law came into effect on January 1, 2002 and required all police departments in Texas, to collect traffic-related data and report this information to their local governing authority by March 1st of each year. This law remained in place until 2009, when it was modified to include the collection and reporting of all motor vehicle related contacts where a citation was issued or an arrest made. Further, the modification to the law further requires that all police officers indicate whether or not they knew the race or ethnicity of individuals before detaining them. Further, it became a requirement that agencies report motor vehicle related data to their local governing authority and to the Texas Commission on Law Enforcement (TCOLE) by March 1st of each year. The purpose in collecting and disclosing this information has been to determine if police officers, in a particular municipality, are engaging in the practice of racially profiling minority motorists.

In addition, the Texas Racial Profiling Law requires police departments to interpret motor vehicle-related data. Even though most researchers would probably agree with the fact that it is within the confines of good practice for police departments to be accountable to the citizenry while carrying a transparent image before the community, it is very difficult to determine if individual police officers are engaging in racial profiling, from a review and analysis of aggregate/institutional data. In other words, it is challenging for a reputable researcher to identify specific "individual" racist behavior from aggregate-level "institutional" data on traffic or motor vehicle-related contacts.

As mentioned earlier, in 2009, the Texas Legislature passed House Bill 3389, which modified the Racial Profiling Law by adding new requirements; this took effect on January 1st, 2010. These changes included, but are were not limited to, the re-definition of a contact to include motor vehicles where a citation was issued or an arrest made. In addition, it required police officers to indicate if they knew the race or ethnicity of the individual before detaining them. Also, the 2009 law required adding "middle eastern" to the racial and ethnic category and submitting the annual data report to TCOLE before March 1st of each year.

In 2017, the Texas Legislators passed H.B. 3051 which removed the Middle Eastern data requirement while standardizing the racial and ethnic categories relevant to the individuals that came in contact with the police. In addition, the Sandra Bland Act (S.B. 1849) was passed and became law. Thus, the most significant legislative mandate (Sandra Bland Act) in Texas history regarding data requirements on law enforcement contacts, became law and took effect on January 1, 2018. The Sandra Bland Act not only currently requires the extensive collection of data relevant to police motor vehicle contacts, but it also mandates for the data to be analyzed while addressing the following:

1. A comparative analysis of the information compiled (under Article 2.133):

- a. Evaluate and compare the number of motor vehicle stops, within the applicable jurisdiction, of persons who are recognized as racial or ethnic minorities and persons who are not recognized as racial or ethnic minorities;*
- b. Examine the disposition of motor vehicle stops made by officers employed by the agency, categorized according to the race or ethnicity of the affected persons, as appropriate, including any searches resulting from stops within the applicable jurisdiction;*
- c. Evaluate and compare the number of searches resulting from motor vehicle stops within the applicable jurisdiction and whether contraband or other evidence was discovered in the course of those searches.*

2. Information related to each complaint filed with the agency alleging that a peace officer employed by the agency has engaged in racial profiling.

In an attempt to comply with The Texas Racial Profiling/Sandra Bland Law, the Addison Police Department commissioned the analysis of its 2021 contact data. Hence, two different types of data analyses were performed. The first of these involved a careful evaluation of the 2021 motor vehicle-related data. This particular analysis measured, as required by the law, the number and percentage of Whites, Blacks, Hispanics or Latinos, Asians and Pacific Islanders, Alaska Natives and American Indians (Middle Easterners and individuals belonging to the "other" category, as optional categories), that came in contact with the police in the course of a motor vehicle related contact, and were either issued a ticket, citation, warning were issued or an arrest was made. Also, included in this data were instances where a motor vehicle contact took place for an alleged violation of the law or ordinance. The Tier 2 data analysis included, but was not limited to, information relevant to the number and percentage of contacts by race/ethnicity, gender, reason for the stop, location of stop, searches while indicating the type of search performed, result of stop, basis of an arrest and use of physical force resulting in bodily injury.

It should be noted that the additional data analysis performed was based on a comparison of the 2021 motor vehicle contact data with a specific baseline. When reviewing this particular analysis, one should consider that there is disagreement, in the literature, regarding the appropriate baseline to be used when analyzing motor vehicle-related contact information. Of the baseline measures available, the Addison Police Department accepted our recommendation to rely, as a baseline measure, on the Fair Roads Standard. This particular baseline is based on data obtained through the U.S. Census Bureau (2020) relevant to the number of households that have access to vehicles while controlling for the race and ethnicity of the heads of households.

The census data presents challenges to any effort made at establishing a fair and accurate racial profiling analysis. That is, census data contains information on all residents of a particular community, regardless of the fact they may or may not be among the driving population. Further, census data, when used as a baseline of comparison, presents the challenge that it captures information related to city residents only. Thus, excluding individuals who may have come in contact with the Addison Police Department in 2021 but live outside city limits. In some cases, the percentage of the population that comes in contact with the police but lives outside city limits represents a substantial volume of all motor vehicle-related contacts made in a given year.

In 2002, several civil rights groups in Texas expressed their desire and made recommendations to the effect that all police departments should rely, in their data analysis, on the Fair Roads Standard. This source contains census data specific to the number of “households” that have access to vehicles. Thus, proposing to compare “households” (which may have multiple residents and only a few vehicles) with “contacts” (an individual-based count). This, in essence, constitutes a comparison that may result in ecological fallacy. Despite this, as noted earlier, the Addison Police Department accepted the recommendation to utilize this form of comparison (i.e., census data relevant to households with vehicles) in an attempt to demonstrate its “good will” and “transparency” before the community. Thus, the Fair Roads Standard data obtained and used in this study is specifically relevant to the Dallas Fort-Worth (DFW) Metroplex.

Tier 2 (2021) Motor Vehicle-Related Contact Analysis

When analyzing the enhanced and more detailed Tier 2 data collected in 2021, it was evident that most motor vehicle-related contacts were made with Whites. This was followed by Blacks. Of those that came in contact with the police, most tickets or citations were issued to Blacks and Hispanics; this was followed by Whites. However, in terms of written warnings, most of these were issued to Whites; followed by Blacks.

Regarding searches and arrests, the data showed that most searches took place among Blacks. When considering all searches, most were consented by Blacks and Whites, while most custody arrests were of Blacks. Overall, most searches resulted in contraband; of those that produced contraband, most were of Blacks; this was followed by Whites and Hispanics. Of the searches that did not produce contraband, most were of Blacks. Most arrests were made on Blacks. Most of the arrests that originated from a violation of the penal code involved Blacks. Overall, the police department reports two instances where force was used that resulted in bodily injury.

Comparative Analysis

The data analysis of motor vehicle contacts to the census data relevant to the number of “households” in DFW who indicated, in the 2020 census, that they had access to vehicles, produced interesting findings. Specifically, the percentage of Whites and American Indians that came in contact with the police was the same or lower than the percentage of White and American Indian households in DFW that claimed, in the 2020 census, to have access to vehicles. The opposite was true of Blacks, Hispanics and Asians. That is, a higher percentage of Blacks, Hispanics and Asians came in contact with the police than the percentage of Black, Hispanic and Asian households in DFW that claimed, in the 2020 census, to have access to vehicles. It should be noted that the percentage difference among Asian contacts with households is less than 3% thus, deemed by some as being statistically insignificant.

The analysis of the searches resulting in contraband shows that the most significant contraband hit rate is of American Indians. This was followed by Blacks and Hispanics. This means that among all searches performed in 2021, the most significant percentage of these that resulted in contraband was among American Indians. The lowest contraband hit rate was among Asians.

Summary of Findings

The most recent Texas Racial Profiling Law requires that police department perform data audits in order to validate the data being reported. Consistent with this requirement, the Addison Police Department has engaged del Carmen Consulting in order to perform these audits in a manner consistent with normative statistical practices. As shown in table 6, the audit performed has shown that the data is valid and reliable. Further, as required by law, this report also includes an analysis on the searches performed. This analysis includes information on whether contraband was found as a result of the search while controlling for race/ethnicity. The search analysis demonstrates that the police department is engaging in search practices consistent with national trends in law enforcement.

While considering the findings made in this analysis, it is recommended that the Addison Police Department should continue to collect and evaluate additional information on motor vehicle contact data (i.e., reason for probable cause searches, contraband detected) which may prove to be useful when determining the nature of the contacts police officers are making with all individuals.

As part of this effort, the Addison Police Department should continue to:

- 1) Perform an independent analysis on contact and search data in future years.
- 2) Commission data audits in 2022 in order to assess data integrity; that is, to ensure that the data collected is consistent with the data being reported.

The comprehensive analysis of the data included in this report demonstrates that the Addison Police Department has complied with the Texas Racial Profiling Law and all of its requirements. Further, the report demonstrates that the police department has incorporated a comprehensive racial profiling policy, currently offers information to the public on how to file a compliment or complaint, commissions quarterly data audits in order to ensure validity and reliability, collects and commissions the analysis of tier 2 data, and ensures that the practice of racial profiling will not be tolerated.

CHECKLIST

The following requirements were met by the Addison Police Department in accordance with The Texas Racial Profiling Law:

- Implement a Racial Profiling Policy citing act or actions that constitute racial profiling.
- Include in the racial profiling policy, a statement indicating prohibition of any peace officer employed by the Addison Police Department from engaging in racial profiling.
- Implement a process by which an individual may file a complaint regarding racial profiling violations.
- Provide public education related to the compliment and complaint process.
- Implement disciplinary guidelines for officers found in violation of the Texas Racial Profiling Law.
- Collect, report and analyze motor vehicle data (Tier 2).
- Commission Data Audits and a Search Analysis.
- Indicate total number of officers who knew and did not know, the race/ethnicity of individuals before being detained.
- Produce an annual report on police contacts (Tier 2) and present this to the local governing body and TCOLE by March 1, 2022.
- Adopt a policy, if video/audio equipment is installed, on standards for reviewing video and audio documentation.

LEGISLATIVE & ADMINISTRATIVE ADDENDUM



TCOLE GUIDELINES

Guidelines for Compiling and Reporting Data under Senate Bill 1074

Background

Senate Bill 1074 of the 77th Legislature established requirements in the Texas Code of Criminal Procedure (TCCP) for law enforcement agencies. The Commission developed this document to assist agencies in complying with the statutory requirements.

The guidelines are written in the form of standards using a style developed from accreditation organizations including the Commission on Accreditation for Law Enforcement Agencies (CALEA). The standards provide a description of *what* must be accomplished by an agency but allows wide latitude in determining *how* the agency will achieve compliance with each applicable standard.

Each standard is composed of two parts: the standard statement and the commentary. The *standard statement* is a declarative sentence that places a clear-cut requirement, or multiple requirements, on an agency. The commentary supports the standard statement but is not binding. The commentary can serve as a prompt, as guidance to clarify the intent of the standard, or as an example of one possible way to comply with the standard.

Standard 1

Each law enforcement agency has a detailed written directive that:

- clearly defines acts that constitute racial profiling;
- strictly prohibits peace officers employed by the agency from engaging in racial profiling;
- implements a process by which an individual may file a complaint with the agency if the individual believes a peace officer employed by the agency has engaged in racial profiling with respect to the individual filing the complaint;
- provides for public education relating to the complaint process;
- requires appropriate corrective action to be taken against a peace officer employed by the agency who, after investigation, is shown to have engaged in racial profiling in violation of the agency's written racial profiling policy; and
- requires the collection of certain types of data for subsequent reporting.

Commentary

Article 2.131 of the TCCP prohibits officers from engaging in racial profiling, and article 2.132 of the TCCP now requires a written policy that contains the elements listed in this standard. The article also specifically defines a law enforcement agency as it applies to this statute as an “agency of the state, or of a county, municipality, or other political subdivision of the state, that employs peace officers who make traffic stops in the routine performance of the officers’ official duties.”

The article further defines race or ethnicity as being of “a particular descent, including Caucasian, African, Hispanic, Asian, or Native American.” The statute does not limit the required policies to just these ethnic groups.

This written policy is to be adopted and implemented no later than January 1, 2002.

Standard 2

Each peace officer who stops a motor vehicle for an alleged violation of a law or ordinance regulating traffic, or who stops a pedestrian for any suspected offense reports to the employing law enforcement agency information relating to the stop, to include:

- a physical description of each person detained, including gender and the person’s race or ethnicity, as stated by the person, or, if the person does not state a race or ethnicity, as determined by the officer’s best judgment;
- the traffic law or ordinance alleged to have been violated or the suspected offense;
- whether the officer conducted a search as a result of the stop and, if so, whether the person stopped consented to the search;
- whether any contraband was discovered in the course of the search, and the type of contraband discovered;
- whether probable cause to search existed, and the facts supporting the existence of that probable cause;
- whether the officer made an arrest as a result of the stop or the search, including a statement of the offense charged;
- the street address or approximate location of the stop; and
- whether the officer issued a warning or citation as a result of the stop, including a description of the warning or a statement of the violation charged.

Commentary

The information required by 2.133 TCCP is used to complete the agency reporting requirements found in Article 2.134. A peace officer and an agency may be exempted from this requirement under Article 2.135 TCCP Exemption for Agencies Using Video and Audio Equipment. An agency may be exempt from this reporting requirement by applying for the funds from the Department of Public Safety for video and audio equipment and the State does not supply those funds. Section 2.135 (a)(2) states, “the governing body of the county or municipality served by the law enforcement agency, in conjunction with the law enforcement agency, certifies to the Department of Public Safety, not later than the date specified by rule by the department, that the law enforcement agency needs funds or video and audio equipment for the purpose of installing video and audio equipment as described by Subsection (a) (1) (A) and the agency does not receive from the state funds for video and audio equipment sufficient, as determined by the department, for the agency to accomplish that purpose.”

Standard 3

The agency compiles the information collected under 2.132 and 2.133 and analyzes the information identified in 2.133.

Commentary

Senate Bill 1074 from the 77th Session of the Texas Legislature created requirements for law enforcement agencies to gather specific information and to report it to each county or municipality served. New sections of law were added to the Code of Criminal Procedure regarding the reporting of traffic and pedestrian stops. Detained is defined as when a person stopped is not free to leave.

Article 2.134 TCCP requires the agency to compile and provide an analysis of the information collected by peace officer employed by the agency. The report is provided to the governing body of the municipality or county no later than March 1 of each year and covers the previous calendar year.

There is data collection and reporting required based on Article 2.132 CCP (tier one) and Article 2.133 CCP (tier two).

The minimum requirements for “tier one” data for traffic stops in which a citation results are:

- 1) the race or ethnicity of individual detained (race and ethnicity as defined by the bill means of “a particular descent, including Caucasian, African, Hispanic, Asian, or Native American”);
- 2) whether a search was conducted, and if there was a search, whether it was a consent search or a probable cause search; and
- 3) whether there was a custody arrest.

The minimum requirements for reporting on “tier two” reports include traffic and pedestrian stops. Tier two data include:

- 1) the detained person’s gender and race or ethnicity;
- 2) the type of law violation suspected, e.g., hazardous traffic, non-hazardous traffic, or other criminal investigation (the Texas Department of Public Safety publishes a categorization of traffic offenses into hazardous or non-hazardous);
- 3) whether a search was conducted, and if so whether it was based on consent or probable cause;
- 4) facts supporting probable cause;
- 5) the type, if any, of contraband that was collected;
- 6) disposition of the stop, e.g., arrest, ticket, warning, or release;
- 7) location of stop; and
- 8) statement of the charge, e.g., felony, misdemeanor, or traffic.

Tier one reports are made to the governing body of each county or municipality served by the agency an annual report of information if the agency is an agency of a county, municipality, or other political subdivision of the state. Tier one and two reports are reported to the county or municipality not later than March 1 for the previous calendar year beginning March 1, 2003. Tier two reports include a comparative analysis between the race and ethnicity of persons detained to see if a differential pattern of treatment can be discerned based on the disposition of stops

including searches resulting from the stops. The reports also include information relating to each complaint filed with the agency alleging that a peace officer employed by the agency has engaged in racial profiling. An agency may be exempt from the tier two reporting requirement by applying for the funds from the Department of Public Safety for video and audio equipment and the State does not supply those funds [See 2.135 (a)(2) TCCP].

Reports should include both raw numbers and percentages for each group. Caution should be exercised in interpreting the data involving percentages because of statistical distortions caused by very small numbers in any particular category, for example, if only one American Indian is stopped and searched, that stop would not provide an accurate comparison with 200 stops among Caucasians with 100 searches. In the first case, a 100% search rate would be skewed data when compared to a 50% rate for Caucasians.

Standard 4

If a law enforcement agency has video and audio capabilities in motor vehicles regularly used for traffic stops, or audio capabilities on motorcycles regularly used to make traffic stops, the agency:

- adopts standards for reviewing and retaining audio and video documentation; and
- promptly provides a copy of the recording to a peace officer who is the subject of a complaint on written request by the officer.

Commentary

The agency should have a specific review and retention policy. Article 2.132 TCCP specifically requires that the peace officer be promptly provided with a copy of the audio or video recordings if the officer is the subject of a complaint and the officer makes a written request.

Standard 5

Agencies that do not currently have video or audio equipment must examine the feasibility of installing such equipment.

Commentary

None

Standard 6

Agencies that have video and audio recording capabilities are exempt from the reporting requirements of Article 2.134 TCCP and officers are exempt from the reporting requirements of Article 2.133 TCCP provided that:

- the equipment was in place and used during the proceeding calendar year; and
- video and audio documentation is retained for at least 90 days.

Commentary

The audio and video equipment and policy must have been in place during the previous calendar year. Audio and video documentation must be kept for at least 90 days or longer if a complaint has been filed. The documentation must be retained until the complaint is resolved. Peace officers are not exempt from the requirements under Article 2.132 TCCP.

Standard 7

Agencies have citation forms or other electronic media that comply with Section 543.202 of the Transportation Code.

Commentary

Senate Bill 1074 changed Section 543.202 of the Transportation Code requiring citations to include:

- race or ethnicity, and
- whether a search of the vehicle was conducted and whether consent for the search was obtained.

The Texas Law on Racial Profiling

S.B. No. 1074 - An Act relating to the prevention of racial profiling by certain peace officers.
BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Chapter 2, Code of Criminal Procedure, is amended by adding Articles 2.131 through 2.138 to read as follows:

Art. 2.131. RACIAL PROFILING PROHIBITED. A peace officer may not engage in racial profiling.

Art. 2.132. LAW ENFORCEMENT POLICY ON RACIAL PROFILING. (a) In this article:

(1) "Law enforcement agency" means an agency of the state, or of a county, municipality, or other political subdivision of the state, that employs peace officers who make traffic stops in the routine performance of the officers' official duties.

(2) "Race or ethnicity" means of a particular descent, including Caucasian, African, Hispanic, Asian, or Native American descent.

(b) Each law enforcement agency in this state shall adopt a detailed written policy on racial profiling. The policy must:

(1) clearly define acts constituting racial profiling;

(2) strictly prohibit peace officers employed by the agency from engaging in racial profiling;

(3) implement a process by which an individual may file a complaint with the agency if the individual believes that a peace officer employed by the agency has engaged in racial profiling with respect to the individual;

(4) provide public education relating to the agency's complaint process;

(5) require appropriate corrective action to be taken against a peace officer employed by the agency who, after an investigation, is shown to have engaged in racial profiling in violation of the agency's policy adopted under this article;

(6) require collection of information relating to traffic stops in which a citation is issued and to arrests resulting from those traffic stops, including information relating to:

(A) the race or ethnicity of the individual detained; and

(B) whether a search was conducted and, if so, whether the person detained consented to the search; and

(7) require the agency to submit to the governing body of each county or municipality served by the agency an annual report of the information collected under Subdivision (6) if the agency is an agency of a county, municipality, or other political subdivision of the state.

(c) The data collected as a result of the reporting requirements of this article shall not constitute prima facie evidence of racial profiling.

(d) On adoption of a policy under Subsection (b), a law enforcement agency shall examine the feasibility of installing video camera and transmitter-activated equipment in each agency law enforcement motor vehicle regularly used to make traffic stops and transmitter-activated equipment in each agency law enforcement motorcycle regularly used to make traffic stops. If a law enforcement agency installs video or audio equipment as provided by this subsection, the

policy adopted by the agency under Subsection (b) must include standards for reviewing video and audio documentation.

(e) A report required under Subsection (b)(7) may not include identifying information about a peace officer who makes a traffic stop or about an individual who is stopped or arrested by a peace officer. This subsection does not affect the collection of information as required by a policy under Subsection (b)(6).

(f) On the commencement of an investigation by a law enforcement agency of a complaint described by Subsection (b)(3) in which a video or audio recording of the occurrence on which the complaint is based was made, the agency shall promptly provide a copy of the recording to the peace officer who is the subject of the complaint on written request by the officer.

Art. 2.133. REPORTS REQUIRED FOR TRAFFIC AND PEDESTRIAN STOPS. (a) In this article:

(1) "Race or ethnicity" has the meaning assigned by Article 2.132(a).

(2) "Pedestrian stop" means an interaction between a peace officer and an individual who is being detained for the purpose of a criminal investigation in which the individual is not under arrest.

(b) A peace officer who stops a motor vehicle for an alleged violation of a law or ordinance regulating traffic or who stops a pedestrian for any suspected offense shall report to the law enforcement agency that employs the officer information relating to the stop, including:

(1) a physical description of each person detained as a result of the stop, including:

(A) the person's gender; and

(B) the person's race or ethnicity, as stated by the person or, if the person does not state the person's race or ethnicity, as determined by the officer to the best of the officer's ability;

(2) the traffic law or ordinance alleged to have been violated or the suspected offense;

(3) whether the officer conducted a search as a result of the stop and, if so, whether the person detained consented to the search;

(4) whether any contraband was discovered in the course of the search and the type of contraband discovered;

(5) whether probable cause to search existed and the facts supporting the existence of that probable cause;

(6) whether the officer made an arrest as a result of the stop or the search, including a statement of the offense charged;

(7) the street address or approximate location of the stop; and

(8) whether the officer issued a warning or a citation as a result of the stop, including a description of the warning or a statement of the violation charged.

Art. 2.134. COMPILATION AND ANALYSIS OF INFORMATION COLLECTED.

(a) In this article, "pedestrian stop" means an interaction between a peace officer and an individual who is being detained for the purpose of a criminal investigation in which the individual is not under arrest.

(b) A law enforcement agency shall compile and analyze the information contained in each report received by the agency under Article 2.133. Not later than March 1 of each year, each local law enforcement agency shall submit a report containing the information compiled

during the previous calendar year to the governing body of each county or municipality served by the agency in a manner approved by the agency.

(c) A report required under Subsection (b) must include:

(1) a comparative analysis of the information compiled under Article 2.133 to:

(A) determine the prevalence of racial profiling by peace officers employed by the agency; and
(B) examine the disposition of traffic and pedestrian stops made by officers employed by the agency, including searches resulting from the stops; and

(2) information relating to each complaint filed with the agency alleging that a peace officer employed by the agency has engaged in racial profiling.

(d) A report required under Subsection (b) may not include identifying information about a peace officer who makes a traffic or pedestrian stop or about an individual who is stopped or arrested by a peace officer. This subsection does not affect the reporting of information required under Article 2.133(b)(1).

(e) The Commission on Law Enforcement Officer Standards and Education shall develop guidelines for compiling and reporting information as required by this article.

(f) The data collected as a result of the reporting requirements of this article shall not constitute prima facie evidence of racial profiling.

Art. 2.135. EXEMPTION FOR AGENCIES USING VIDEO AND AUDIO EQUIPMENT. (a) A peace officer is exempt from the reporting requirement under Article 2.133 and a law enforcement agency is exempt from the compilation, analysis, and reporting requirements under Article 2.134 if:

(1) during the calendar year preceding the date that a report under Article 2.134 is required to be submitted:

(A) each law enforcement motor vehicle regularly used by an officer employed by the agency to make traffic and pedestrian stops is equipped with video camera and transmitter-activated equipment and each law enforcement motorcycle regularly used to make traffic and pedestrian stops is equipped with transmitter-activated equipment; and

(B) each traffic and pedestrian stop made by an officer employed by the agency that is capable of being recorded by video and audio or audio equipment, as appropriate, is recorded by using the equipment; or

(2) the governing body of the county or municipality served by the law enforcement agency, in conjunction with the law enforcement agency, certifies to the Department of Public Safety, not later than the date specified by rule by the department, that the law enforcement agency needs funds or video and audio equipment for the purpose of installing video and audio equipment as described by Subsection (a)(1)(A) and the agency does not receive from the state funds or video and audio equipment sufficient, as determined by the department, for the agency to accomplish that purpose.

(b) Except as otherwise provided by this subsection, a law enforcement agency that is exempt from the requirements under Article 2.134 shall retain the video and audio or audio documentation of each traffic and pedestrian stop for at least 90 days after the date of the stop. If a complaint is filed with the law enforcement agency alleging that a peace officer employed by the agency has engaged in racial profiling with respect to a traffic or pedestrian stop, the agency shall retain the video and audio or audio record of the stop until final disposition of the complaint.

(c) This article does not affect the collection or reporting requirements under Article 2.132.

Art. 2.136. LIABILITY. A peace officer is not liable for damages arising from an act relating to the collection or reporting of information as required by Article 2.133 or under a policy adopted under Article 2.132.

Art. 2.137. PROVISION OF FUNDING OR EQUIPMENT.

(a) The Department of Public Safety shall adopt rules for providing funds or video and audio equipment to law enforcement agencies for the purpose of installing video and audio equipment as described by Article 2.135(a)(1)(A), including specifying criteria to prioritize funding or equipment provided to law enforcement agencies. The criteria may include consideration of tax effort, financial hardship, available revenue, and budget surpluses. The criteria must give priority to:

(1) law enforcement agencies that employ peace officers whose primary duty is traffic enforcement;

(2) smaller jurisdictions; and

(3) municipal and county law enforcement agencies.

(b) The Department of Public Safety shall collaborate with an institution of higher education to identify law enforcement agencies that need funds or video and audio equipment for the purpose of installing video and audio equipment as described by Article 2.135(a)(1)(A). The collaboration may include the use of a survey to assist in developing criteria to prioritize funding or equipment provided to law enforcement agencies.

(c) To receive funds or video and audio equipment from the state for the purpose of installing video and audio equipment as described by Article 2.135(a)(1)(A), the governing body of a county or municipality, in conjunction with the law enforcement agency serving the county or municipality, shall certify to the Department of Public Safety that the law enforcement agency needs funds or video and audio equipment for that purpose.

(d) On receipt of funds or video and audio equipment from the state for the purpose of installing video and audio equipment as described by Article 2.135(a)(1)(A), the governing body of a county or municipality, in conjunction with the law enforcement agency serving the county or municipality, shall certify to the Department of Public Safety that the law enforcement agency has installed video and audio equipment as described by Article 2.135(a)(1)(A) and is using the equipment as required by Article 2.135(a)(1).

Art. 2.138. RULES. The Department of Public Safety may adopt rules to implement Articles 2.131-2.137.

SECTION 2. Chapter 3, Code of Criminal Procedure, is amended by adding Article 3.05 to read as follows:

Art. 3.05. RACIAL PROFILING. In this code, "racial profiling" means a law enforcement-initiated action based on an individual's race, ethnicity, or national origin rather than on the individual's behavior or on information identifying the individual as having engaged in criminal activity.

SECTION 3. Section 96.641, Education Code, is amended by adding Subsection (j) to read as follows:

(j) As part of the initial training and continuing education for police chiefs required under this section, the institute shall establish a program on racial profiling. The program must include an examination of the best practices for:

(1) monitoring peace officers' compliance with laws and internal agency policies relating to racial profiling;

(2) implementing laws and internal agency policies relating to preventing racial profiling;
and

(3) analyzing and reporting collected information.

SECTION 4. Section 1701.253, Occupations Code, is amended by adding Subsection (e) to read as follows:

(e) As part of the minimum curriculum requirements, the commission shall establish a statewide comprehensive education and training program on racial profiling for officers licensed under this chapter. An officer shall complete a program established under this subsection not later than the second anniversary of the date the officer is licensed under this chapter or the date the officer applies for an intermediate proficiency certificate, whichever date is earlier.

SECTION 5. Section 1701.402, Occupations Code, is amended by adding Subsection (d) to read as follows:

(d) As a requirement for an intermediate proficiency certificate, an officer must complete an education and training program on racial profiling established by the commission under Section 1701.253(e).

SECTION 6. Section 543.202, Transportation Code, is amended to read as follows:

Sec. 543.202. FORM OF RECORD. (a) In this section, "race or ethnicity" means of a particular descent, including Caucasian, African, Hispanic, Asian, or Native American descent.

(b) The record must be made on a form or by a data processing method acceptable to the department and must include:

(1) the name, address, physical description, including race or ethnicity, date of birth, and driver's license number of the person charged;

(2) the registration number of the vehicle involved;

(3) whether the vehicle was a commercial motor vehicle as defined by Chapter 522 or was involved in transporting hazardous materials;

(4) the person's social security number, if the person was operating a commercial motor vehicle or was the holder of a commercial driver's license or commercial driver learner's permit;

(5) the date and nature of the offense, including whether the offense was a serious traffic violation as defined by Chapter 522;

(6) whether a search of the vehicle was conducted and whether consent for the search was obtained;

(7) the plea, the judgment, and whether bail was forfeited;

(8) [~~7~~] the date of conviction; and

(9) [~~8~~] the amount of the fine or forfeiture.

SECTION 7. Not later than January 1, 2002, a law enforcement agency shall adopt and implement a policy and begin collecting information under the policy as required by Article 2.132, Code of Criminal Procedure, as added by this Act. A local law enforcement agency shall first submit information to the governing body of each county or municipality served by the agency as required by Article 2.132, Code of Criminal Procedure, as added by this Act, on March 1, 2003. The first submission of information shall consist of information compiled by the agency during the period beginning January 1, 2002, and ending December 31, 2002.

SECTION 8. A local law enforcement agency shall first submit information to the governing body of each county or municipality served by the agency as required by Article 2.134, Code of Criminal Procedure, as added by this Act, on March 1, 2004. The first submission of information shall consist of information compiled by the agency during the period beginning January 1, 2003, and ending December 31, 2003.

SECTION 9. Not later than January 1, 2002:

(1) the Commission on Law Enforcement Officer Standards and Education shall establish an education and training program on racial profiling as required by Subsection (e), Section 1701.253, Occupations Code, as added by this Act; and

(2) the Bill Blackwood Law Enforcement Management Institute of Texas shall establish a program on racial profiling as required by Subsection (j), Section 96.641, Education Code, as added by this Act.

SECTION 10. A person who on the effective date of this Act holds an intermediate proficiency certificate issued by the Commission on Law Enforcement Officer Standards and Education or has held a peace officer license issued by the Commission on Law Enforcement Officer Standards and Education for at least two years shall complete an education and training program on racial profiling established under Subsection (e), Section 1701.253, Occupations Code, as added by this Act, not later than September 1, 2003.

SECTION 11. An individual appointed or elected as a police chief before the effective date of this Act shall complete a program on racial profiling established under Subsection (j), Section 96.641, Education Code, as added by this Act, not later than September 1, 2003.

SECTION 12. This Act takes effect September 1, 2001

President of the Senate

Speaker of the House

I hereby certify that S.B. No. 1074 passed the Senate on April 4, 2001, by the following vote: Yeas 28, Nays 2; May 21, 2001, Senate refused to concur in House amendments and requested appointment of Conference Committee; May 22, 2001, House granted request of the Senate; May 24, 2001, Senate adopted Conference Committee Report by a viva-voce vote.

Secretary of the Senate

I hereby certify that S.B. No. 1074 passed the House, with amendments, on May 15, 2001, by a non-record vote; May 22, 2001, House granted request of the Senate for appointment of Conference Committee; May 24, 2001, House adopted Conference Committee Report by a non-record vote.

Chief Clerk of the House

Approved:

Date

Governor

Modifications to the Original Law (H.B. 3389)

Amend CSHB 3389 (Senate committee report) as follows:

(1) Strike the following SECTIONS of the bill:

(A) SECTION 8, adding Section 1701.164, Occupations Code (page 4, lines 61-66);

(B) SECTION 24, amending Article 2.132(b), Code of Criminal Procedure (page 8, lines 19-53);

(C) SECTION 25, amending Article 2.134(b), Code of Criminal Procedure (page 8, lines 54-64);

(D) SECTION 28, providing transition language for the amendments to Articles 2.132(b) and 2.134(b), Code of Criminal Procedure (page 9, lines 40-47).

(2) Add the following appropriately numbered SECTIONS to the bill and renumber subsequent SECTIONS of the bill accordingly: SECTION _____. Article 2.132, Code of Criminal Procedure, is amended by amending Subsections (a),(b), (d), and (e) and adding Subsection (g) to read as follows:

(a) In this article:

(1) "Law enforcement agency" means an agency of the state, or of a county, municipality, or other political subdivision of the state, that employs peace officers who make motor vehicle~~[traffic]~~ stops in the routine performance of the officers' official duties.

(2) "Motor vehicle stop" means an occasion in which a peace officer stops a motor vehicle for an alleged violation of a law or ordinance.

(3) "Race or ethnicity" means of a particular descent, including Caucasian, African, Hispanic, Asian, ~~[or]~~ Native American, or Middle Eastern descent.

(b) Each law enforcement agency in this state shall adopt a detailed written policy on racial profiling. The policy must:

(1) clearly define acts constituting racial profiling;

(2) strictly prohibit peace officers employed by the agency from engaging in racial profiling;

(3) implement a process by which an individual may file a complaint with the agency if the individual believes that a peace officer employed by the agency has engaged in racial profiling with respect to the individual;

(4) provide public education relating to the agency's complaint process;

(5) require appropriate corrective action to be taken against a peace officer employed by the agency who, after an investigation, is shown to have engaged in racial profiling in violation of the agency's policy adopted under this article;

(6) require collection of information relating to motor vehicle ~~[traffic]~~ stops in which a citation is issued and to arrests made as a result of ~~[resulting from]~~ those ~~[traffic]~~ stops, including information relating to:

(A) the race or ethnicity of the individual detained; and

(B) whether a search was conducted and, if so, whether the individual ~~[person]~~ detained consented to the search; and

(C) whether the peace officer knew the race or ethnicity of the individual detained before detaining that individual; and

(7) require the chief administrator of the agency, regardless of whether the administrator is elected, employed, or appointed, to submit ~~[to the governing body of each county or~~

~~municipality served by the agency]~~ an annual report of the information collected under Subdivision (6) to:

(A) the Commission on Law Enforcement Officer Standards and Education; and

(B) the governing body of each county or municipality served by the agency, if the agency is an agency of a county, municipality, or other political subdivision of the state.

(d) On adoption of a policy under Subsection (b), a law enforcement agency shall examine the feasibility of installing video camera and transmitter-activated equipment in each agency law enforcement motor vehicle regularly used to make motor vehicle ~~[traffic]~~ stops and transmitter activated equipment in each agency law enforcement motorcycle regularly used to make motor vehicle ~~[traffic]~~ stops. If a law enforcement agency installs video or audio equipment as provided by this subsection, the policy adopted by the agency under Subsection (b) must include standards for reviewing video and audio documentation.

(e) A report required under Subsection (b)(7) may not include identifying information about a peace officer who makes a motor vehicle ~~[traffic]~~ stop or about an individual who is stopped or arrested by a peace officer. This subsection does not affect the collection of information as required by a policy under Subsection (b)(6).

(g) On a finding by the Commission on Law Enforcement Officer Standards and Education that the chief administrator of a law enforcement agency intentionally failed to submit a report required under Subsection (b)(7), the commission shall begin disciplinary procedures against the chief administrator.

SECTION _____. Article 2.133, Code of Criminal Procedure, is amended to read as follows:

Art. 2.133. REPORTS REQUIRED FOR MOTOR VEHICLE ~~[TRAFFIC AND PEDESTRIAN]~~ STOPS. (a) In this article, "race ~~[:~~

~~[(1) "Race]~~ or ethnicity" has the meaning assigned by Article 2.132(a).

~~[(2) "Pedestrian stop" means an interaction between a peace officer and an individual who is being detained for the purpose of a criminal investigation in which the individual is not under arrest.]~~

(b) A peace officer who stops a motor vehicle for an alleged violation of a law or ordinance ~~[regulating traffic or who stops a pedestrian for any suspected offense]~~ shall report to the law enforcement agency that employs the officer information relating to the stop, including:

(1) a physical description of any ~~[each]~~ person operating the motor vehicle who is detained as a result of the stop, including:

(A) the person's gender; and

(B) the person's race or ethnicity, as stated by the person or, if the person does not state the person's race or ethnicity, as determined by the officer to the best of the officer's ability;

(2) the initial reason for the stop ~~[traffic law or ordinance alleged to have been violated or the suspected offense];~~

(3) whether the officer conducted a search as a result of the stop and, if so, whether the person detained consented to the search;

(4) whether any contraband or other evidence was discovered in the course of the search and a description ~~[the type]~~ of the contraband or evidence ~~[discovered];~~

(5) the reason for the search, including whether:

(A) any contraband or other evidence was in plain view;

(B) any probable cause or reasonable suspicion existed to perform the search; or

(C) the search was performed as a result of the towing of the motor vehicle or the arrest of any person in the motor vehicle [existed and the facts supporting the existence of that probable cause];

(6) whether the officer made an arrest as a result of the stop or the search, including a statement of whether the arrest was based on a violation of the Penal Code, a violation of a traffic law or ordinance, or an outstanding warrant and a statement of the offense charged;

(7) the street address or approximate location of the stop; and

(8) whether the officer issued a written warning or a citation as a result of the stop[, including a description of the warning or a statement of the violation charged].

SECTION _____. Article 2.134, Code of Criminal Procedure, is amended by amending Subsections (a) through (e) and adding Subsection (g) to read as follows:

(a) In this article:

(1) "Motor vehicle[, "pedestrian] stop" has the meaning assigned by Article 2.132(a) [means an interaction between a peace officer and an individual who is being detained for the purpose of a criminal investigation in which the individual is not under arrest].

(2) "Race or ethnicity" has the meaning assigned by Article 2.132(a).

(b) A law enforcement agency shall compile and analyze the information contained in each report received by the agency under Article 2.133. Not later than March 1 of each year, each [~~local~~] law enforcement agency shall submit a report containing the incident-based data [information] compiled during the previous calendar year to the Commission on Law Enforcement Officer Standards and Education and, if the law enforcement agency is a local law enforcement agency, to the governing body of each county or municipality served by the agency [in a manner approved by the agency].

(c) A report required under Subsection (b) must be submitted by the chief administrator of the law enforcement agency, regardless of whether the administrator is elected, employed, or appointed, and must include:

(1) a comparative analysis of the information compiled under Article 2.133 to:

(A) evaluate and compare the number of motor vehicle stops, within the applicable jurisdiction, of persons who are recognized as racial or ethnic minorities and persons who are not recognized as racial or ethnic minorities [determine the prevalence of racial profiling by peace officers employed by the agency]; and

(B) examine the disposition of motor vehicle [traffic and pedestrian] stops made by officers employed by the agency, categorized according to the race or ethnicity of the affected persons, as appropriate, including any searches resulting from [the] stops within the applicable jurisdiction; and

(2) information relating to each complaint filed with the agency alleging that a peace officer employed by the agency has engaged in racial profiling.

(d) A report required under Subsection (b) may not include identifying information about a peace officer who makes a motor vehicle [traffic or pedestrian] stop or about an individual who is stopped or arrested by a peace officer. This subsection does not affect the reporting of information required under Article 2.133(b)(1).

(e) The Commission on Law Enforcement Officer Standards and Education, in accordance with Section 1701.162, Occupations Code, shall develop guidelines for compiling and reporting information as required by this article.

(g) On a finding by the Commission on Law Enforcement Officer Standards and Education that the chief administrator of a law enforcement agency intentionally failed to submit a report required under Subsection (b), the commission shall begin disciplinary procedures against the chief administrator.

SECTION _____. Article 2.135, Code of Criminal Procedure, is amended to read as follows:

Art. 2.135. PARTIAL EXEMPTION FOR AGENCIES USING VIDEO AND AUDIO EQUIPMENT. (a) A peace officer is exempt from the reporting requirement under Article 2.133 and the chief administrator of a law enforcement agency, regardless of whether the administrator is elected, employed, or appointed, is exempt from the compilation, analysis, and reporting requirements under Article 2.134 if:

(1) during the calendar year preceding the date that a report under Article 2.134 is required to be submitted:

(A) each law enforcement motor vehicle regularly used by an officer employed by the agency to make motor vehicle [~~traffic and pedestrian~~] stops is equipped with video camera and transmitter-activated equipment and each law enforcement motorcycle regularly used to make motor vehicle [~~traffic and pedestrian~~] stops is equipped with transmitter-activated equipment; and

(B) each motor vehicle [~~traffic and pedestrian~~] stop made by an officer employed by the agency that is capable of being recorded by video and audio or audio equipment, as appropriate, is recorded by using the equipment; or

(2) the governing body of the county or municipality served by the law enforcement agency, in conjunction with the law enforcement agency, certifies to the Department of Public Safety, not later than the date specified by rule by the department, that the law enforcement agency needs funds or video and audio equipment for the purpose of installing video and audio equipment as described by Subsection (a)(1)(A) and the agency does not receive from the state funds or video and audio equipment sufficient, as determined by the department, for the agency to accomplish that purpose.

(b) Except as otherwise provided by this subsection, a law enforcement agency that is exempt from the requirements under Article 2.134 shall retain the video and audio or audio documentation of each motor vehicle [~~traffic and pedestrian~~] stop for at least 90 days after the date of the stop. If a complaint is filed with the law enforcement agency alleging that a peace officer employed by the agency has engaged in racial profiling with respect to a motor vehicle [~~traffic or pedestrian~~] stop, the agency shall retain the video and audio or audio record of the stop until final disposition of the complaint.

(c) This article does not affect the collection or reporting requirements under Article 2.132.

(d) In this article, "motor vehicle stop" has the meaning assigned by Article 2.132(a).

SECTION _____. Chapter 2, Code of Criminal Procedure, is amended by adding Article 2.1385 to read as follows:

Art. 2.1385. CIVIL PENALTY. (a) If the chief administrator of a local law enforcement agency intentionally fails to submit the incident-based data as required by Article 2.134, the agency is liable to the state for a civil penalty in the amount of \$1,000 for each violation. The attorney general may sue to collect a civil penalty under this subsection.

(b) From money appropriated to the agency for the administration of the agency, the executive director of a state law enforcement agency that intentionally fails to submit the incident-based

data as required by Article 2.134 shall remit to the comptroller the amount of \$1,000 for each violation.

(c) Money collected under this article shall be deposited in the state treasury to the credit of the general revenue fund.

SECTION _____. Subchapter A, Chapter 102, Code of Criminal Procedure, is amended by adding Article 102.022 to read as follows:

Art. 102.022. COSTS ON CONVICTION TO FUND STATEWIDE REPOSITORY FOR DATA RELATED TO CIVIL JUSTICE. (a) In this article, "moving violation" means an offense that:

(1) involves the operation of a motor vehicle; and

(2) is classified as a moving violation by the Department of Public Safety under Section 708.052, Transportation Code.

(b) A defendant convicted of a moving violation in a justice court, county court, county court at law, or municipal court shall pay a fee of 10 cents as a cost of court.

(c) In this article, a person is considered convicted if:

(1) a sentence is imposed on the person;

(2) the person receives community supervision, including deferred adjudication; or

(3) the court defers final disposition of the person's case.

(d) The clerks of the respective courts shall collect the costs described by this article. The clerk shall keep separate records of the funds collected as costs under this article and shall deposit the funds in the county or municipal treasury, as appropriate.

(e) The custodian of a county or municipal treasury shall:

(1) keep records of the amount of funds on deposit collected under this article; and

(2) send to the comptroller before the last day of the first month following each calendar quarter the funds collected under this article during the preceding quarter.

(f) A county or municipality may retain 10 percent of the funds collected under this article by an officer of the county or municipality as a collection fee if the custodian of the county or municipal treasury complies with Subsection (e).

(g) If no funds due as costs under this article are deposited in a county or municipal treasury in a calendar quarter, the custodian of the treasury shall file the report required for the quarter in the regular manner and must state that no funds were collected.

(h) The comptroller shall deposit the funds received under this article to the credit of the Civil Justice Data Repository fund in the general revenue fund, to be used only by the Commission on Law Enforcement Officer Standards and Education to implement duties under Section 1701.162, Occupations Code.

(i) Funds collected under this article are subject to audit by the comptroller.

SECTION _____. (a) Section 102.061, Government Code, as reenacted and amended by Chapter 921 (H.B. 3167), Acts of the 80th Legislature, Regular Session, 2007, is amended to conform to the amendments made to Section 102.061, Government Code, by Chapter 1053 (H.B. 2151), Acts of the 80th Legislature, Regular Session, 2007, and is further amended to read as follows:

Sec. 102.061. ADDITIONAL COURT COSTS ON CONVICTION IN STATUTORY COUNTY COURT: CODE OF CRIMINAL PROCEDURE. The clerk of a statutory county court shall collect fees and costs under the Code of Criminal Procedure on conviction of a defendant as follows:

(1) a jury fee (Art. 102.004, Code of Criminal Procedure) . . . \$20;

(2) a fee for services of the clerk of the court (Art. 102.005, Code of Criminal Procedure) . . . \$40;

- (3) a records management and preservation services fee (Art. 102.005, Code of Criminal Procedure) . . . \$25;
- (4) a security fee on a misdemeanor offense (Art. 102.017, Code of Criminal Procedure) . . . \$3;
- (5) a juvenile delinquency prevention and graffiti eradication fee (Art. 102.0171, Code of Criminal Procedure) . . . \$50 [~~\$5~~]; [~~and~~]
- (6) a juvenile case manager fee (Art. 102.0174, Code of Criminal Procedure) . . . not to exceed \$5; and
- (7) a civil justice fee (Art. 102.022, Code of Criminal Procedure) . . . \$0.10.

(b) Section 102.061, Government Code, as amended by Chapter 1053 (H.B. 2151), Acts of the 80th Legislature, Regular Session, 2007, is repealed. Section 102.061, Government Code, as reenacted and amended by Chapter 921 (H.B. 3167), Acts of the 80th Legislature, Regular Session, 2007, to reorganize and renumber that section, continues in effect as further amended by this section.

SECTION _____. (a) Section 102.081, Government Code, as amended by Chapter 921 (H.B. 3167), Acts of the 80th Legislature, Regular Session, 2007, is amended to conform to the amendments made to Section 102.081, Government Code, by Chapter 1053 (H.B. 2151), Acts of the 80th Legislature, Regular Session, 2007, and is further amended to read as follows:

Sec. 102.081. ADDITIONAL COURT COSTS ON CONVICTION IN COUNTY COURT: CODE OF CRIMINAL PROCEDURE. The clerk of a county court shall collect fees and costs under the Code of Criminal Procedure on conviction of a defendant as follows:

- (1) a jury fee (Art. 102.004, Code of Criminal Procedure) . . . \$20;
- (2) a fee for clerk of the court services (Art. 102.005, Code of Criminal Procedure) . . . \$40;
- (3) a records management and preservation services fee (Art. 102.005, Code of Criminal Procedure) . . . \$25;
- (4) a security fee on a misdemeanor offense (Art. 102.017, Code of Criminal Procedure) . . . \$3;
- (5) a juvenile delinquency prevention and graffiti eradication fee (Art. 102.0171, Code of Criminal Procedure) . . . \$50 [~~\$5~~]; [~~and~~]
- (6) a juvenile case manager fee (Art. 102.0174, Code of Criminal Procedure) . . . not to exceed \$5; and
- (7) a civil justice fee (Art. 102.022, Code of Criminal Procedure) . . . \$0.10.

(b) Section 102.081, Government Code, as amended by Chapter 1053 (H.B. 2151), Acts of the 80th Legislature, Regular Session, 2007, is repealed. Section 102.081, Government Code, as amended by Chapter 921 (H.B. 3167), Acts of the 80th Legislature, Regular Session, 2007, to reorganize and renumber that section, continues in effect as further amended by this section.

SECTION _____. Section 102.101, Government Code, is amended to read as follows:

Sec. 102.101. ADDITIONAL COURT COSTS ON CONVICTION IN JUSTICE COURT: CODE OF CRIMINAL PROCEDURE. A clerk of a justice court shall collect fees and costs under the Code of Criminal Procedure on conviction of a defendant as follows:

- (1) a jury fee (Art. 102.004, Code of Criminal Procedure) . . . \$3;
- (2) a fee for withdrawing request for jury less than 24 hours before time of trial (Art. 102.004, Code of Criminal Procedure) . . . \$3;
- (3) a jury fee for two or more defendants tried jointly (Art. 102.004, Code of Criminal Procedure) . . . one jury fee of \$3;

- (4) a security fee on a misdemeanor offense (Art. 102.017, Code of Criminal Procedure) . . . \$4;
- (5) a fee for technology fund on a misdemeanor offense (Art. 102.0173, Code of Criminal Procedure) . . . \$4;
- (6) a juvenile case manager fee (Art. 102.0174, Code of Criminal Procedure) . . . not to exceed \$5;
- (7) a fee on conviction of certain offenses involving issuing or passing a subsequently dishonored check (Art. 102.0071, Code of Criminal Procedure) . . . not to exceed \$30; ~~and~~
- (8) a court cost on conviction of a Class C misdemeanor in a county with a population of 3.3 million or more, if authorized by the county commissioners court (Art. 102.009, Code of Criminal Procedure) . . . not to exceed \$7; and
- (9) a civil justice fee (Art. 102.022, Code of Criminal Procedure) . . . \$0.10.

SECTION _____. Section 102.121, Government Code, is amended to read as follows:

Sec. 102.121. ADDITIONAL COURT COSTS ON CONVICTION IN MUNICIPAL COURT: CODE OF CRIMINAL PROCEDURE. The clerk of a municipal court shall collect fees and costs on conviction of a defendant as follows:

- (1) a jury fee (Art. 102.004, Code of Criminal Procedure) . . . \$3;
- (2) a fee for withdrawing request for jury less than 24 hours before time of trial (Art. 102.004, Code of Criminal Procedure) . . . \$3;
- (3) a jury fee for two or more defendants tried jointly (Art. 102.004, Code of Criminal Procedure) . . . one jury fee of \$3;
- (4) a security fee on a misdemeanor offense (Art. 102.017, Code of Criminal Procedure) . . . \$3;
- (5) a fee for technology fund on a misdemeanor offense (Art. 102.0172, Code of Criminal Procedure) . . . not to exceed \$4; ~~and~~
- (6) a juvenile case manager fee (Art. 102.0174, Code of Criminal Procedure) . . . not to exceed \$5; and
- (7) a civil justice fee (Art. 102.022, Code of Criminal Procedure) . . . \$0.10.

SECTION _____. Subchapter D, Chapter 1701, Occupations Code, is amended by adding Section 1701.164 to read as follows:

Sec. 1701.164. COLLECTION OF CERTAIN INCIDENT-BASED DATA SUBMITTED BY LAW ENFORCEMENT AGENCIES. The commission shall collect and maintain incident-based data submitted to the commission under Article 2.134, Code of Criminal Procedure, including incident-based data compiled by a law enforcement agency from reports received by the law enforcement agency under Article 2.133 of that code. The commission in consultation with the Department of Public Safety, the Bill Blackwood Law Enforcement Management Institute of Texas, the W. W. Caruth, Jr., Police Institute at Dallas, and the Texas Police Chiefs Association shall develop guidelines for submitting in a standard format the report containing incident-based data as required by Article 2.134, Code of Criminal Procedure.

SECTION _____. Subsection (a), Section 1701.501, Occupations Code, is amended to read as follows:

- (a) Except as provided by Subsection (d), the commission shall revoke or suspend a license, place on probation a person whose license has been suspended, or reprimand a license holder for a violation of:
 - (1) this chapter;

(2) the reporting requirements provided by Articles 2.132 and 2.134, Code of Criminal Procedure;
or

(3) a commission rule.

SECTION _____. (a) The requirements of Articles 2.132, 2.133, and 2.134, Code of Criminal Procedure, as amended by this Act, relating to the compilation, analysis, and submission of incident-based data apply only to information based on a motor vehicle stop occurring on or after January 1, 2010.

(b) The imposition of a cost of court under Article 102.022, Code of Criminal Procedure, as added by this Act, applies only to an offense committed on or after the effective date of this Act. An offense committed before the effective date of this Act is covered by the law in effect when the offense was committed, and the former law is continued in effect for that purpose. For purposes of this section, an offense was committed before the effective date of this Act if any element of the offense occurred before that date.

Racial and Ethnic Designations (H.B. 3051)

H.B. No. 3051 - An Act relating to the categories used to record the race or ethnicity of persons stopped for or convicted of traffic offenses.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Article 2.132(a)(3), Code of Criminal Procedure, is amended to read as follows:

(3) "Race or ethnicity" means the following categories:

(A) Alaska native or American Indian;

(B) ~~[of a particular descent, including Caucasian, African, Hispanic,]~~ Asian or Pacific Islander;

(C) black;

(D) white; and

(E) Hispanic or Latino ~~[, Native American, or Middle Eastern descent]~~.

SECTION 2. Section 543.202(a), Transportation Code, is amended to read as follows:

(a) In this section, "race or ethnicity" means the following categories:

(1) Alaska native or American Indian;

(2) ~~[of a particular descent, including Caucasian, African, Hispanic,]~~ Asian or Pacific Islander;

(3) black;

(4) white; and

(5) Hispanic or Latino ~~[, or Native American descent]~~.

SECTION 3. This Act takes effect September 1, 2017.

President of the Senate

Speaker of the House

I certify that H.B. No. 3051 was passed by the House on May 4, 2017, by the following vote: Yeas 143, Nays 2, 2 present, not voting.

Chief Clerk of the House

I certify that H.B. No. 3051 was passed by the Senate on May 19, 2017, by the following vote: Yeas 31, Nays 0.

Secretary of the Senate

APPROVED: _____

Date

Governor

The Sandra Bland Act (S.B. 1849)

S.B. No. 1849

An Act relating to interactions between law enforcement and individuals detained or arrested on suspicion of the commission of criminal offenses, to the confinement, conviction, or release of those individuals, and to grants supporting populations that are more likely to interact frequently with law enforcement.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

ARTICLE 1. SHORT TITLE

SECTION 1.01. SHORT TITLE. This Act shall be known as the Sandra Bland Act, in memory of Sandra Bland.

ARTICLE 2. IDENTIFICATION AND DIVERSION OF AND SERVICES FOR PERSONS SUSPECTED OF HAVING A MENTAL ILLNESS, AN INTELLECTUAL DISABILITY, OR A SUBSTANCE ABUSE ISSUE

SECTION 2.01. Article 16.22, Code of Criminal Procedure, is amended to read as follows:

Art. 16.22. EARLY IDENTIFICATION OF DEFENDANT SUSPECTED OF HAVING MENTAL ILLNESS OR INTELLECTUAL DISABILITY [MENTAL RETARDATION]. (a)(1) Not later than 12 [72] hours after receiving credible information that may establish reasonable cause to believe that a defendant committed to the sheriff's custody has a mental illness or is a person with an intellectual disability [mental retardation], including observation of the defendant's behavior immediately before, during, and after the defendant's arrest and the results of any previous assessment of the defendant, the sheriff shall provide written or electronic notice of the information to the magistrate. On a determination that there is reasonable cause to believe that the defendant has a mental illness or is a person with an intellectual disability [mental retardation], the magistrate, except as provided by Subdivision

(2), shall order the local mental health or intellectual and developmental disability [mental retardation] authority or another qualified mental health or intellectual disability [mental retardation] expert to:

(A) collect information regarding whether the defendant has a mental illness as defined by Section 571.003,

Health and Safety Code, or is a person with an intellectual disability [mental retardation] as defined by Section 591.003, Health and Safety Code, including information obtained from any previous assessment of the defendant; and

(B) provide to the magistrate a written assessment of the information collected under Paragraph (A).

(2) The magistrate is not required to order the collection of information under Subdivision

(1) if the defendant in the year preceding the defendant's applicable date of arrest has been determined to have a mental illness or to be a person with an intellectual disability [mental retardation] by the local mental health or intellectual and developmental disability [mental retardation] authority or another mental health or intellectual disability [mental retardation] expert described by Subdivision

(1). A court that elects to use the results of that previous determination may proceed under Subsection (c).

(3) If the defendant fails or refuses to submit to the collection of information regarding the defendant as required under Subdivision (1), the magistrate may order the defendant to submit to an examination in a mental health facility determined to be appropriate by the local mental health or intellectual and developmental disability [mental retardation] authority for a reasonable period not to exceed 21 days. The magistrate may order a defendant to a facility operated by the Department of State Health Services or the Health and Human Services Commission [Department of Aging and Disability Services] for examination only on request of the local mental health or intellectual and developmental disability [mental retardation] authority and with the consent of the head of the facility. If a defendant who has been ordered to a facility operated by the Department of State Health Services or the Health and Human Services Commission [Department of Aging and Disability Services] for examination remains in the facility for a period exceeding 21 days, the head of that facility shall cause the defendant to be immediately transported to the committing court and placed in the custody of the sheriff of the county in which the committing court is located. That county shall reimburse the facility for the mileage and per diem expenses of the personnel required to transport the defendant calculated in accordance with the state travel regulations in effect at the time.

(b) A written assessment of the information collected under Subsection (a)(1)(A) shall be provided to the magistrate not later than the 30th day after the date of any order issued under Subsection (a) in a felony case and not later than the 10th day after the date of any order issued under that subsection in a misdemeanor case, and the magistrate shall provide copies of the written assessment to the defense counsel, the prosecuting attorney, and the trial court. The written assessment must include a description of the procedures used in the collection of information under Subsection (a)(1)(A) and the applicable expert's observations and findings pertaining to:

(1) whether the defendant is a person who has a mental illness or is a person with an intellectual disability [mental retardation];

(2) whether there is clinical evidence to support a belief that the defendant may be incompetent to stand trial and should undergo a complete competency examination under Subchapter B, Chapter 46B; and

(3) recommended treatment.

(c) After the trial court receives the applicable expert's written assessment relating to the defendant under Subsection (b) or elects to use the results of a previous determination as described by Subsection (a)(2), the trial court may, as applicable:

(1) resume criminal proceedings against the defendant, including any appropriate proceedings related to the defendant's release on personal bond under Article 17.032;

(2) resume or initiate competency proceedings, if required, as provided by Chapter 46B

or other proceedings affecting the defendant's receipt of appropriate court-ordered mental health or intellectual disability [mental retardation] services, including proceedings related to the defendant's receipt of outpatient mental health services under Section 574.034, Health and Safety Code; or

(3) consider the written assessment during the punishment phase after a conviction of the offense for which the defendant was arrested, as part of a presentence investigation report, or in connection with the impositions of conditions following placement on community supervision, including deferred adjudication community supervision.

(d) This article does not prevent the applicable court from, before, during, or after the collection of information regarding the defendant as described by this article: (1) releasing a defendant who has a mental illness [mentally ill] or is a person with an intellectual disability [mentally retarded defendant] from custody on personal or surety bond; or

(2) ordering an examination regarding the defendant's competency to stand trial.

SECTION 2.02. Chapter 16, Code of Criminal Procedure, is amended by adding Article 16.23 to read as follows:

Art. 16.23. DIVERSION OF PERSONS SUFFERING MENTAL HEALTH CRISIS OR SUBSTANCE ABUSE ISSUE. (a) Each law enforcement agency shall make a good faith effort to divert a person suffering a mental health crisis or suffering from the effects of substance abuse to a proper treatment center in the agency's jurisdiction if:

(1) there is an available and appropriate treatment center in the agency's jurisdiction to which the agency may divert the person;

(2) it is reasonable to divert the person;

(3) the offense that the person is accused of is a misdemeanor, other than a misdemeanor involving violence; and

(4) the mental health crisis or substance abuse issue is suspected to be the reason the person committed the alleged offense.

(b) Subsection (a) does not apply to a person who is accused of an offense under Section 49.04, 49.045, 49.05, 49.06, 49.065, 49.07, or 49.08, Penal Code.

SECTION 2.03. Section 539.002, Government Code, is amended to read as follows:

Sec. 539.002. GRANTS FOR ESTABLISHMENT AND EXPANSION OF COMMUNITY COLLABORATIVES. (a) To the extent funds are appropriated to the department for that purpose, the department shall make grants to entities, including local governmental entities, nonprofit community organizations, and faith-based community organizations, to establish or expand community collaboratives that bring the public and private sectors together to provide services to persons experiencing homelessness, substance abuse issues, or [and] mental illness. [The department may make a maximum of five grants, which must be made in the most populous municipalities in this state that are located in counties with a population of more than one million.] In awarding grants, the department shall give special consideration to entities:

(1) establishing [a] new collaboratives; or

(2) establishing or expanding collaboratives that serve two or more counties, each with a population of less than 100,000 [collaborative].

(b) The department shall require each entity awarded a grant under this section to:

(1) leverage additional funding from private sources in an amount that is at least equal to the amount of the grant awarded under this section; [and]

(2) provide evidence of significant coordination and collaboration between the entity, local mental health authorities, municipalities, local law enforcement agencies, and other community stakeholders in establishing or expanding a community collaborative funded by a grant awarded under this section; and

(3) provide evidence of a local law enforcement policy to divert appropriate persons from jails or other detention facilities to an entity affiliated with a community collaborative for the purpose of providing services to those persons.

SECTION 2.04. Chapter 539, Government Code, is amended by adding Section 539.0051 to read as follows:

Sec. 539.0051. PLAN REQUIRED FOR CERTAIN COMMUNITY COLLABORATIVES. (a) The governing body of a county shall develop and make public a plan detailing:

(1) how local mental health authorities, municipalities, local law enforcement agencies, and other community stakeholders in the county could coordinate to establish or expand a community collaborative to accomplish the goals of Section 539.002;

(2) how entities in the county may leverage funding from private sources to accomplish the goals of Section 539.002 through the formation or expansion of a community collaborative; and

(3) how the formation or expansion of a community collaborative could establish or support resources or services to help local law enforcement agencies to divert persons who have been arrested to appropriate mental health care or substance abuse treatment.

(b) The governing body of a county in which an entity that received a grant under Section 539.002 before September 1, 2017, is located is not required to develop a plan under Subsection (a).

(c) Two or more counties, each with a population of less than 100,000, may form a joint plan under Subsection (a).

ARTICLE 3. BAIL, PRETRIAL RELEASE, AND COUNTY JAIL STANDARDS

SECTION 3.01. The heading to Article 17.032, Code of Criminal Procedure, is amended to read as follows:

Art. 17.032. RELEASE ON PERSONAL BOND OF CERTAIN [MENTALLY ILL] DEFENDANTS WITH MENTAL ILLNESS OR INTELLECTUAL DISABILITY.

SECTION 3.02. Articles 17.032(b) and (c), Code of Criminal Procedure, are amended to read as follows:

(b) A magistrate shall release a defendant on personal bond unless good cause is shown

otherwise if the:

(1) defendant is not charged with and has not been previously convicted of a violent offense;

(2) defendant is examined by the local mental health or intellectual and developmental disability [mental retardation] authority or another mental health expert under Article 16.22 [of this code];

(3) applicable expert, in a written assessment submitted to the magistrate under Article 16.22:

(A) concludes that the defendant has a mental illness or is a person with an intellectual disability [mental retardation] and is nonetheless competent to stand trial; and

(B) recommends mental health treatment or intellectual disability treatment for the defendant, as applicable; and

(4) magistrate determines, in consultation with the local mental health or intellectual and developmental disability [mental retardation] authority, that appropriate community-based mental health or intellectual disability [mental retardation] services for the defendant are available through the [Texas] Department of State [Mental] Health Services [and Mental Retardation] under Section 534.053, Health and Safety Code, or through another mental health or intellectual disability [mental retardation] services provider.

(c) The magistrate, unless good cause is shown for not requiring treatment, shall require as a condition of release on personal bond under this article that the defendant submit to outpatient or inpatient mental health or intellectual disability [mental retardation] treatment as recommended by the local mental health or intellectual and developmental disability [mental retardation] authority if the defendant's:

(1) mental illness or intellectual disability [mental retardation] is chronic in nature; or

(2) ability to function independently will continue to deteriorate if the defendant is not treated.

SECTION 3.03. Article 25.03, Code of Criminal Procedure, is amended to read as follows:

Art. 25.03. IF ON BAIL IN FELONY. When the accused, in case of felony, is on bail at the time the indictment is presented, [it is not necessary to serve him with a copy, but] the clerk shall [on request] deliver a copy of the indictment [same] to the accused or the accused's [his] counsel[,] at the earliest possible time.

SECTION 3.04. Article 25.04, Code of Criminal Procedure, is amended to read as follows:

Art. 25.04. IN MISDEMEANOR. In misdemeanors, the clerk shall deliver a copy of the indictment or information to the accused or the accused's counsel at the earliest possible time before trial [it shall not be necessary before trial to furnish the accused with a copy of the indictment or information; but he or his counsel may demand a copy, which shall be given as early as possible

SECTION 3.05. Section 511.009(a), Government Code, as amended by Chapters 281 (H.B. 875), 648 (H.B. 549), and 688 (H.B. 634), Acts of the 84th Legislature, Regular Session, 2015, is reenacted and amended to read as follows:

- (a) The commission shall:
- (1) adopt reasonable rules and procedures establishing minimum standards for the construction, equipment, maintenance, and operation of county jails;
 - (2) adopt reasonable rules and procedures establishing minimum standards for the custody, care, and treatment of prisoners;
 - (3) adopt reasonable rules establishing minimum standards for the number of jail supervisory personnel and for programs and services to meet the needs of prisoners;
 - (4) adopt reasonable rules and procedures establishing minimum requirements for programs of rehabilitation, education, and recreation in county jails;
 - (5) revise, amend, or change rules and procedures if necessary;
 - (6) provide to local government officials consultation on and technical assistance for county jails;
 - (7) review and comment on plans for the construction and major modification or renovation of county jails;
 - (8) require that the sheriff and commissioners of each county submit to the commission, on a form prescribed by the commission, an annual report on the conditions in each county jail within their jurisdiction, including all information necessary to determine compliance with state law, commission orders, and the rules adopted under this chapter;
 - (9) review the reports submitted under Subdivision (8) and require commission employees to inspect county jails regularly to ensure compliance with state law, commission orders, and rules and procedures adopted under this chapter;
 - (10) adopt a classification system to assist sheriffs and judges in determining which defendants are low-risk and consequently suitable participants in a county jail work release program under Article 42.034, Code of Criminal Procedure;
 - (11) adopt rules relating to requirements for segregation of classes of inmates and to capacities for county jails;
 - (12) require that the chief jailer of each municipal lockup submit to the commission, on a form prescribed by the commission, an annual report of persons under 17 years of age securely detained in the lockup, including all information necessary to determine compliance with state law concerning secure confinement of children in municipal lockups;
 - (13) at least annually determine whether each county jail is in compliance with the rules and procedures adopted under this chapter;
 - (14) require that the sheriff and commissioners court of each county submit to the commission, on a form prescribed by the commission, an annual report of persons under 17 years of age securely detained in the county jail, including all information necessary to determine compliance with state law concerning secure confinement of children in county jails;
 - (15) schedule announced and unannounced inspections of jails under the commission's jurisdiction using the risk assessment plan established under Section 511.0085 to guide the inspections process;
 - (16) adopt a policy for gathering and distributing to jails under the commission's jurisdiction information regarding:
 - (A) common issues concerning jail administration;
 - (B) examples of successful strategies for maintaining compliance with state law and the rules,

standards, and procedures of the commission; and

(C) solutions to operational challenges for jails;

(17) report to the Texas Correctional Office on Offenders with Medical or Mental Impairments on a jail's compliance with Article 16.22, Code of Criminal Procedure;

(18) adopt reasonable rules and procedures establishing minimum requirements for jails to:

(A) determine if a prisoner is pregnant; and

(B) ensure that the jail's health services plan addresses medical and mental health care, including nutritional requirements, and any special housing or work assignment needs for persons who are confined in the jail and are known or determined to be pregnant;

(19) provide guidelines to sheriffs regarding contracts between a sheriff and another entity for the provision of food services to or the operation of a commissary in a jail under the commission's jurisdiction, including specific provisions regarding conflicts of interest and avoiding the appearance of impropriety; [and]

(20) adopt reasonable rules and procedures establishing minimum standards for prisoner visitation that provide each prisoner at a county jail with a minimum of two in-person, noncontact visitation periods per week of at least 20 minutes duration each;

(21) [(20)] require the sheriff of each county to:

(A) investigate and verify the veteran status of each prisoner by using data made available from the Veterans Reentry Search Service (VRSS) operated by the United States Department of Veterans Affairs or a similar service; and

(B) use the data described by Paragraph (A) to assist prisoners who are veterans in applying for federal benefits or compensation for which the prisoners may be eligible under a program administered by the United States Department of Veterans Affairs;

(22) [(20)] adopt reasonable rules and procedures regarding visitation of a prisoner at a county jail by a guardian, as defined by Section 1002.012, Estates Code, that:

(A) allow visitation by a guardian to the same extent as the prisoner's next of kin, including placing the guardian on the prisoner's approved visitors list on the guardian's request and providing the guardian access to the prisoner during a facility's standard visitation hours if the prisoner is otherwise eligible to receive visitors; and

(B) require the guardian to provide the sheriff with letters of guardianship issued as provided by Section 1106.001, Estates Code, before being allowed to visit the prisoner; and

(23) adopt reasonable rules and procedures to ensure the safety of prisoners, including rules and procedures that require a county jail to:

(A) give prisoners the ability to access a mental health professional at the jail through a telemental health service 24 hours a day;

(B) give prisoners the ability to access a health professional at the jail or through a telehealth service 24 hours a day or, if a health professional is unavailable at the jail or through a telehealth service, provide for a prisoner to be transported to access a health professional; and

(C) if funding is available under Section 511.019, install automated electronic sensors or cameras to ensure accurate and timely in-person checks of cells or groups of cells confining at-risk individuals.

SECTION 3.06. Section 511.009, Government Code, is amended by adding Subsection (d) to read

as follows:

(d) The commission shall adopt reasonable rules and procedures establishing minimum standards regarding the continuity of prescription medications for the care and treatment of prisoners. The rules and procedures shall require that a qualified medical professional shall review as soon as possible any prescription medication a prisoner is taking when the prisoner is taken into custody.

SECTION 3.07. Chapter 511, Government Code, is amended by adding Sections 511.019, 511.020, and 511.021 to read as follows:

Sec. 511.019. PRISONER SAFETY FUND. (a) The prisoner safety fund is a dedicated account in the general revenue fund.

(b) The prisoner safety fund consists of:

(1) appropriations of money to the fund by the legislature; and

(2) gifts, grants, including grants from the federal government, and other donations received for the fund.

(c) Money in the fund may be appropriated only to the commission to pay for capital improvements that are required under Section 511.009(a)(23).

(d) The commission by rule may establish a grant program to provide grants to counties to fund capital improvements described by Subsection (c). The commission may only provide a grant to a county for capital improvements to a county jail with a capacity of not more than 96 prisoners.

Sec. 511.020. SERIOUS INCIDENTS REPORT. (a) On or before the fifth day of each month, the sheriff of each county shall report to the commission regarding the occurrence during the preceding month of any of the following incidents involving a prisoner in the county jail:

(1) a suicide;

(2) an attempted suicide;

(3) a death;

(4) a serious bodily injury, as that term is defined by

Section 1.07, Penal Code;

(5) an assault;

(6) an escape;

(7) a sexual assault; and

(8) any use of force resulting in bodily injury, as that term is defined by Section 1.07, Penal Code.

(b) The commission shall prescribe a form for the report required by Subsection (a).

(c) The information required to be reported under Subsection (a)(8) may not include the name or other identifying information of a county jailer or jail employee.

(d) The information reported under Subsection (a) is public information subject to an open records request under Chapter 552.

Sec. 511.021. INDEPENDENT INVESTIGATION OF DEATH OCCURRING IN COUNTY JAIL. (a) On the death of a prisoner in a county jail, the commission shall appoint a law enforcement agency, other

than the local law enforcement agency that operates the county jail, to investigate the death as soon as possible.

(b) The commission shall adopt any rules necessary relating to the appointment of a law enforcement agency under Subsection

(a), including rules relating to cooperation between law enforcement agencies and to procedures for handling evidence.

SECTION 3.08. The changes in law made by this article to Article 17.032, Code of Criminal Procedure, apply only to a personal bond that is executed on or after the effective date of this Act. A personal bond executed before the effective date of executed, and the former law is continued in effect for that purpose.

SECTION 3.09. Not later than January 1, 2018, the Commission on Jail Standards shall:

(1) adopt the rules and procedures required by Section 511.009(d), Government Code, as added by this article, and the rules required by Section 511.021(b), Government Code, as added by this article; and

(2) prescribe the form required by Section 511.020(b), Government Code, as added by this article.

SECTION 3.10. Not later than September 1, 2018, the Commission on Jail Standards shall adopt the rules and procedures required by Section 511.009(a)(23), Government Code, as added by this article. On and after September 1, 2020, a county jail shall comply with any rule or procedure adopted by the Commission on Jail Standards under that subdivision.

SECTION 3.11. To the extent of any conflict, this Act prevails over another Act of the 85th Legislature, Regular Session, 2017, relating to non-substantive additions to and corrections in enacted codes.

ARTICLE 4. PEACE OFFICER AND COUNTY JAILER TRAINING

SECTION 4.01. Chapter 511, Government Code, is amended by adding Section 511.00905 to read as follows:

Sec. 511.00905. JAIL ADMINISTRATOR POSITION; EXAMINATION REQUIRED. (a) The Texas Commission on Law Enforcement shall develop and the commission shall approve an examination for a person assigned to the jail administrator position overseeing a county jail.

(b) The commission shall adopt rules requiring a person, other than a sheriff, assigned to the jail administrator position overseeing a county jail to pass the examination not later than the 180th day after the date the person is assigned to that position. The rules must provide that a person who fails the examination may be immediately removed from the position and may not be reinstated until the person passes the examination.

(c) The sheriff of a county shall perform the duties of the jail administrator position at any time there is not a person available who satisfies the examination requirements of this

section.

(d) A person other than a sheriff may not serve in the jail administrator position of a county jail unless the person satisfies the examination requirement of this section.

SECTION 4.02. Section 1701.253, Occupations Code, is amended by amending Subsection (j) and adding Subsection (n) to read as follows: commission shall require an officer to complete a 40-hour statewide education and training program on de-escalation and crisis intervention techniques to facilitate interaction with persons with mental impairments. An officer shall complete the program not later than the second anniversary of the date the officer is licensed under this chapter or the date the officer applies for an intermediate proficiency certificate, whichever date is earlier. An officer may not satisfy the requirements of this subsection [section] or Section 1701.402(g) by taking an online course on de-escalation and crisis intervention techniques to facilitate interaction with persons with mental impairments.

(n) As part of the minimum curriculum requirements, the commission shall require an officer to complete a statewide education and training program on de-escalation techniques to facilitate interaction with members of the public, including techniques for limiting the use of force resulting in bodily injury.

SECTION 4.03. Section 1701.310(a), Occupations Code, is amended to read as follows:

(a) Except as provided by Subsection (e), a person may not be appointed as a county jailer, except on a temporary basis, unless the person has satisfactorily completed a preparatory training program, as required by the commission, in the operation of a county jail at a school operated or licensed by the commission. The training program must consist of at least eight hours of mental health training approved by the commission and the Commission on Jail Standards.

SECTION 4.04. Section 1701.352(b), Occupations Code, is amended to read as follows:

(b) The commission shall require a state, county, special district, or municipal agency that appoints or employs peace officers to provide each peace officer with a training program at least once every 48 months that is approved by the commission and consists of:

(1) topics selected by the agency; and

(2) for an officer holding only a basic proficiency certificate, not more than 20 hours of education and training that contain curricula incorporating the learning objectives developed by the commission regarding:

(A) civil rights, racial sensitivity, and cultural diversity;

(B) de-escalation and crisis intervention techniques to facilitate interaction with persons with mental impairments; [and]

(C) de-escalation techniques to facilitate interaction with members of the public, including techniques for limiting the use of force resulting in bodily injury; and

(D) unless determined by the agency head to be inconsistent with the officer's assigned duties:

(i) the recognition and documentation of cases that involve child abuse or neglect, family violence, and sexual assault; and

(ii) issues concerning sex offender characteristics.

SECTION 4.05. Section 1701.402, Occupations Code, is amended by adding Subsection (n) to read

as follows:

(n) As a requirement for an intermediate proficiency certificate or an advanced proficiency certificate, an officer must complete the education and training program regarding de-escalation techniques to facilitate interaction with members of the public established by the commission under Section 1701.253(n).

SECTION 4.06. Not later than March 1, 2018, the Texas Commission on Law Enforcement shall develop and the Commission on Jail Standards shall approve the examination required by Section 511.00905, Government Code, as added by this article.

SECTION 4.07. (a) Not later than March 1, 2018, the Texas Commission on Law Enforcement shall establish or modify training programs as necessary to comply with Section 1701.253, Occupations Code, as amended by this article.

(b) The minimum curriculum requirements under Section 1701.253(j), Occupations Code, as amended by this article, apply only to a peace officer who first begins to satisfy those requirements on or after April 1, 2018.

SECTION 4.08. (a) Section 1701.310, Occupations Code, as amended by this article, takes effect January 1, 2018.

(b) A person in the position of county jailer on September 1, 2017, must comply with Section 1701.310(a), Occupations Code, as amended by this article, not later than August 31, 2021.

ARTICLE 5. MOTOR VEHICLE STOPS, RACIAL PROFILING, AND ISSUANCE OF CITATIONS

SECTION 5.01. Article 2.132, Code of Criminal Procedure, is amended by amending Subsections (b) and (d) and adding Subsection (h) to read as follows:

(b) Each law enforcement agency in this state shall adopt a detailed written policy on racial profiling. The policy must:

(1) clearly define acts constituting racial profiling;

(2) strictly prohibit peace officers employed by the agency from engaging in racial profiling;

(3) implement a process by which an individual may file a complaint with the agency if the individual believes that a peace officer employed by the agency has engaged in racial profiling with respect to the individual;

(4) provide public education relating to the agency's compliment and complaint process, including providing the telephone number, mailing address, and e-mail address to make a compliment or complaint with respect to each ticket, citation, or warning issued by a peace officer;

(5) require appropriate corrective action to be taken against a peace officer employed by the agency who, after an investigation, is shown to have engaged in racial profiling in violation of the agency's policy adopted under this article;

(6) require collection of information relating to motor vehicle stops in which a ticket, citation, or warning is issued and to arrests made as a result of those stops, including information

relating to:

- (A) the race or ethnicity of the individual detained;
- (B) whether a search was conducted and, if so, whether the individual detained consented to the search; [and]
- (C) whether the peace officer knew the race or ethnicity of the individual detained before detaining that individual;
- (D) whether the peace officer used physical force that resulted in bodily injury, as that term is defined by Section 1.07, Penal Code, during the stop;
- (E) the location of the stop; and
- (F) the reason for the stop; and

(7) require the chief administrator of the agency, regardless of whether the administrator is elected, employed, or appointed, to submit an annual report of the information collected under Subdivision (6) to:

- (A) the Texas Commission on Law Enforcement; and
- (B) the governing body of each county or municipality served by the agency, if the agency is an agency of a county, municipality, or other political subdivision of the state.

(d) On adoption of a policy under Subsection (b), a law enforcement agency shall examine the feasibility of installing video camera and transmitter-activated equipment in each agency law enforcement motor vehicle regularly used to make motor vehicle stops and transmitter-activated equipment in each agency law enforcement motorcycle regularly used to make motor vehicle stops. The agency also shall examine the feasibility of equipping each peace officer who regularly detains or stops motor vehicles with a body worn camera, as that term is defined by Section 1701.651, Occupations Code. If a law enforcement agency installs video or audio equipment or equips peace officers with body worn cameras as provided by this subsection, the policy adopted by the agency under Subsection (b) must include standards for reviewing video and audio documentation.

(h) A law enforcement agency shall review the data collected under Subsection (b)(6) to identify any improvements the agency could make in its practices and policies regarding motor vehicle stops.

SECTION 5.02. Article 2.133, Code of Criminal Procedure, is amended by amending Subsection (b) and adding Subsection (c) to read as follows:

(b) A peace officer who stops a motor vehicle for an alleged violation of a law or ordinance shall report to the law enforcement agency that employs the officer information relating to the stop, including:

(1) a physical description of any person operating the motor vehicle who is detained as a result of the stop, including:

- (A) the person's gender; and
- (B) the person's race or ethnicity, as stated by the person or, if the person does not state the person's race or ethnicity, as determined by the officer to the best of the officer's ability;

(2) the initial reason for the stop;

(3) whether the officer conducted a search as a result of the stop and, if so, whether the person detained consented to the search;

(4) whether any contraband or other evidence was discovered in the course of the search

and a description of the contraband or evidence;

(5) the reason for the search, including whether:

(A) any contraband or other evidence was in plain view;

(B) any probable cause or reasonable suspicion existed to perform the search; or

(C) the search was performed as a result of the towing of the motor vehicle or the arrest of any person in the motor vehicle;

(6) whether the officer made an arrest as a result of the stop or the search, including a statement of whether the arrest was based on a violation of the Penal Code, a violation of a traffic law or ordinance, or an outstanding warrant and a statement of the offense charged;

(7) the street address or approximate location of the stop; [and]

(8) whether the officer issued a verbal or written warning or a ticket or citation as a result of the stop; and

(9) whether the officer used physical force that resulted in bodily injury, as that term is defined by Section 1.07, Penal Code, during the stop.

(c) The chief administrator of a law enforcement agency, regardless of whether the administrator is elected, employed, or appointed, is responsible for auditing reports under Subsection (b)

to ensure that the race or ethnicity of the person operating the motor vehicle is being reported.

SECTION 5.03. Article 2.134(c), Code of Criminal Procedure, is amended to read as follows:

(c) A report required under Subsection (b) must be submitted by the chief administrator of the law enforcement agency, regardless of whether the administrator is elected, employed, or appointed, and must include:

(1) a comparative analysis of the information compiled under Article 2.133 to:

(A) evaluate and compare the number of motor vehicle stops, within the applicable jurisdiction, of persons who are recognized as racial or ethnic minorities and persons who are not recognized as racial or ethnic minorities; [and]

(B) examine the disposition of motor vehicle stops made by officers employed by the agency, categorized according to the race or ethnicity of the affected persons, as appropriate, including any searches resulting from stops within the applicable jurisdiction; and

(C) evaluate and compare the number of searches resulting from motor vehicle stops within the applicable jurisdiction and whether contraband or other evidence was discovered in the course of those searches; and

(2) information relating to each complaint filed with the agency alleging that a peace officer employed by the agency has engaged in racial profiling.

SECTION 5.04. Article 2.137, Code of Criminal Procedure, is amended to read as follows:

Art. 2.137. PROVISION OF FUNDING OR EQUIPMENT. (a) The Department of Public Safety shall adopt rules for providing funds or video and audio equipment to law enforcement agencies for the purpose of installing video and audio equipment in law enforcement motor vehicles and motorcycles or equipping peace officers with body worn cameras [as described by Article 2.135(a)(1)(A)], including specifying criteria to prioritize funding or equipment provided to law enforcement agencies. The criteria may include consideration of tax effort, financial hardship,

available revenue, and budget surpluses. The criteria must give priority to:

(1) law enforcement agencies that employ peace officers whose primary duty is traffic enforcement;

(2) smaller jurisdictions; and

(3) municipal and county law enforcement agencies.

(b) The Department of Public Safety shall collaborate with an institution of higher education to identify law enforcement agencies that need funds or video and audio equipment for the purpose of installing video and audio equipment in law enforcement motor vehicles and motorcycles or equipping peace officers with body worn cameras [as described by Article 2.135(a)(1)(A)]. The collaboration may include the use of a survey to assist in developing criteria to prioritize funding or equipment provided to law enforcement agencies.

(c) To receive funds or video and audio equipment from the state for the purpose of installing video and audio equipment in law enforcement motor vehicles and motorcycles or equipping peace officers with body worn cameras [as described by Article 2.135(a)(1)(A)], the governing body of a county or municipality, in conjunction with the law enforcement agency serving the county or municipality, shall certify to the Department of Public Safety that the law enforcement agency needs funds or video and audio equipment for that purpose.

(d) On receipt of funds or video and audio equipment from the state for the purpose of installing video and audio equipment in law enforcement motor vehicles and motorcycles or equipping peace officers with body worn cameras [as described by Article 2.135(a)(1)(A)], the governing body of a county or municipality, in conjunction with the law enforcement agency serving the county or municipality, shall certify to the Department of Public Safety that the law enforcement agency has taken the necessary actions to use and is using [installed] video and audio equipment and body worn cameras for those purposes [as described by Article 2.135(a)(1)(A) and is using the equipment as required by Article 2.135(a)(1)].

SECTION 5.05. Article 2.1385(a), Code of Criminal Procedure, is amended to read as follows:

(a) If the chief administrator of a local law enforcement agency intentionally fails to submit the incident-based data as required by Article 2.134, the agency is liable to the state for a civil penalty in an [the] amount not to exceed \$5,000 [of \$1,000] for each violation. The attorney general may sue to collect a civil penalty under this subsection.

SECTION 5.06. Article 2.135, Code of Criminal Procedure, is repealed.

SECTION 5.07. Articles 2.132 and 2.134, Code of Criminal Procedure, as amended by this article, apply only to a report covering a calendar year beginning on or after January 1, 2018.

SECTION 5.08. Not later than September 1, 2018, the Texas Commission on Law Enforcement shall:

(1) evaluate and change the guidelines for compiling and reporting information required under Article 2.134, Code of Criminal Procedure, as amended by this article, to enable the guidelines to better withstand academic scrutiny; and

(2) make accessible online:

(A) a downloadable format of any information submitted under Article 2.134(b), Code of Criminal

Procedure, that is not exempt from public disclosure under Chapter 552, Government Code; and
(B) a glossary of terms relating to the information to make the information readily understandable to the public. This Act takes effect September 1, 2017.

Senate Speaker of the House

President of the

I hereby certify that S.B. No. 1849 passed the Senate on May 11, 2017, by the following vote:
Yeas 31, Nays 0.

Secretary of the Senate

I hereby certify that S.B. No. 1849 passed the House on May 20, 2017, by the following vote:
Yeas 137, Nays 0, one present not voting.

ARTICLE 6. EFFECTIVE DATE

SECTION 6.01. Except as otherwise provided by this Act,

Approved:

Date

Governor

Chief Clerk of the House

**ADDISON
POLICE DEPARTMENT
RACIAL PROFILING POLICY**

167.00 BIASED-BASED POLICING (TBBP: 2.01.1)

The purpose of this order is to reaffirm the Town of Addison Police Department's commitment to unbiased policing in all its encounters with any person; to reinforce procedures that serve to ensure public confidence and mutual trust through the provision of services in a fair and equitable fashion; and to protect our officers from unwarranted accusations of misconduct when they act within the dictates of departmental policy and the law.

This policy is for internal use only and does not enhance an officer's civil or criminal liability in any way. It should not be construed as the creation of a higher standard of safety or care in an evidentiary sense, with respect to third party claims. Violations of this General Order, if proven, can only form the basis of a complaint by this Department and only in a non-judicial, administrative setting.

167.01 Policy

It is the policy of this department to police in a proactive manner and to aggressively investigate suspected violations of the law. Officers shall actively enforce local, state and federal laws in a responsible and professional manner, without regard to race, ethnicity or national origin. Officers are strictly prohibited from engaging in racial profiling as defined in this policy. Racial profiling is an unacceptable police tactic and will not be condoned.

This policy is adopted in compliance with the applicable requirements of the Texas Code of Criminal Procedure, which prohibits Texas peace officers from engaging in racial profiling.

167.02 Definitions

a. Racial Profiling -a law enforcement-initiated action based on an individual's race, ethnicity, or national origin, rather than on behavior or information identifying the individual as having engaged in criminal activity. Racial profiling pertains to persons who are viewed as suspects or potential suspects of criminal behavior. The term is not relevant as it pertains to witnesses, complainants, persons needing assistance, or other citizen contacts.

b. Race or Ethnicity -persons of a particular descent, including White, Black, Hispanic/Latino, Asian/Pacific Islander, Middle Eastern, and Alaska Native/American Indian.

c. Acts Constituting Racial Profiling- acts initiating law enforcement action, such as a traffic stop, a detention, a search, issuance of a citation, or an arrest based solely upon an individual's race, ethnicity, or national origin or on the basis of racial or ethnic stereotypes, rather than upon the individual's behavior, information identifying

the individual as having possibly engaged in criminal activity, or other lawful reasons for the law enforcement action.

d. Motor Vehicle Contact -means an occasion in which a peace officer stops a motor vehicle for an alleged violation of law or ordinance.

167.03 Prohibition

- a. Officers of the Addison Police Department are strictly prohibited from engaging in racial profiling. The prohibition against racial profiling does not preclude the use of race, ethnicity or national origin as factors in a detention decision by an officer. Race, ethnicity or national origin may be legitimate factors in such a decision when used as part of a description of a suspect or witness for whom an officer is searching.
- b. Officers of the Addison Police Department shall not engage in profiling based solely on gender, sexual orientation, religion, economic status, age, culture, or any other identifiable group.

167.04 Complaint Process

- a. No person shall be discouraged, intimidated or coerced from filing a complaint, or be discriminated against because they have filed a complaint.
- b. Any person who believes that a peace officer employed by the Addison Police Department has engaged in racial profiling with respect to that person, may file a complaint in accordance with the provisions of the Standard Operating Procedure (Professional Standards).
 1. An employee who is contacted regarding a complaint against an officer shall follow the procedures set forth in the Standard Operating Procedure (Professional Standards).
 2. Citizens who appear in person wishing to file a complaint shall be provided with a departmental brochure, "How to File a Compliment or Complaint." Brochures are maintained in the Addison Police Department lobby, and at Addison Town Hall.
- c. Any supervisor who becomes aware of an alleged or suspected violation of this policy shall report the alleged violation in accordance with the Standard Operating Procedure (Professional Standards).
- d. All complaints of racial profiling shall be investigated as thoroughly as possible. Final disposition and/or assignment of the investigation will be approved the Chief of Police (or designee). A log of all Racial Profiling Complaints will be maintained by Police Administration.

167.05 Compliment Process

Any person wishing to compliment an officer, is encouraged to do so by calling the main police department phone number, sending an email to the Police Administration email address listed on the Racial Profiling brochures, or appearing in person at the Addison Police Department.

167.06 Disciplinary and Corrective Actions

Any officer of this Department who is found, after investigation, to have engaged in racial profiling in violation of this policy may be subject to disciplinary action, up to and including termination. Disciplinary

or corrective actions may include diversity, sensitivity or other appropriate training or counseling, as determined by the Chief of Police.

167.07 Public Education

a. This Department shall provide education to the public concerning the racial profiling compliment and complaint process. The primary method of public education shall be through a brochure, "How to File a Compliment or Complaint" which are maintained in the lobby of the Addison Police Department, and at the Addison Town Hall. Other education methods may be utilized to inform the public, including news media, civic presentations, the Internet, and/or public meetings.

b. The Department will also include on every written warning, ticket, and citation, the following: "For information on how to file a compliment or complaint related to racial profiling, please visit www.addisontexas.net".

167.08 Collection of Information and Annual Report

a. For each motor vehicle contact related to an alleged violation of law or ordinance, for each motor vehicle contact in which a ticket, citation, or warning is issued, and/or for each arrest resulting from a motor vehicle contact, an officer involved in the stop shall collect the following information:

1. Information identifying the race or ethnicity of the person detained. The following codes will be used to identify the individual's race:

- i. White
- ii. Black
- iii. Hispanic or Latino
- iv. Asian or Pacific Islander
- v. Alaska Native or American Indian
- vi. Middle Eastern
- vii. Other

**Officers may not ask the individual to identify their race. If the officer is unable to determine the race or ethnicity of the person contacted, then the race shall be entered as "Other".

2. Whether the officer knew the race or ethnicity of the individual detained before detaining that individual;

3. Initial reason for stop;

- i. Violation of the law (other than Traffic)
- ii. Pre-existing knowledge (i.e. Warrants)

iii. Moving Traffic Violation

iv. Vehicle Traffic Violation (equipment, inspection, etc.)

4. If a search was conducted as a result of the stop?

i. If so, did the detained person consent to the search?

5. Was contraband or other evidence discovered as a result of the search?

6. Description of contraband or evidence discovered:

i. Illegal drugs / drug paraphernalia

ii. Currency

iii. Weapons

iv. Alcohol

v. Stolen Property

vi. Other

7. Reason for the search:

i. Consent

ii. Contraband/evidence in plain sight

iii. Probable cause or reasonable suspicion

iv. Inventory as a result of vehicle towing

v. Incident to Arrest/Warrant

8. Information on Arrests:

i. Did the officer make an arrest as a result of the stop or search?

ii. Reasons for arrest:

1) Violation of Penal Code

2) Violation of Traffic Law

3) Violation of City Ordinance

4) Outstanding Warrant

9. Street Address or Approximate Location of Stop

i. City street

ii. US Highway

iii. County Road

iv. Private Property or Other

****Officers shall use the final stop location when collecting this data****

10. Was a verbal/written warning or ticket/citation issued as a result of the stop?

11. Whether the officer used physical force that resulted in bodily injury (as defined by Sec. 1.07, Penal Code, meaning “physical pain, illness, or any impairment of physical condition), during the stop?

i. Location of the stop

ii. Reason for the stop

b. The information collected shall be entered in to a database by entering Racial Profiling data utilizing the in-car Mobile Data Computer (MDC), electronic ticket-writers, or the computers available in the Department. All contacts requiring Racial Profiling data collection must be entered. In the event the data is unable to be collected electronically, the data will be recorded on temporary forms and entered in the database at a later date.

c. The Support Operations Division Commander shall ensure all Racial Profiling Data is collected and reported to the Chief of Police. The data collected shall be compiled in an annual report covering the period January 1 through December 31 of each year, and shall be submitted to the governing body of the Town of Addison no later than March 1 of the following year. The report will include:

1. A comparative analysis of the information compiled (under Article 2.133):

i. Evaluate and compare the number of motor vehicle stops, within

the applicable jurisdiction, of persons who are recognized as racial or ethnic minorities and persons who are not recognized as racial or ethnic minorities;

ii. Examine the disposition of motor vehicle stops made by officers employed by the agency, categorized according to the race or ethnicity of the affected persons, as appropriate, including any searches resulting from stops within the applicable jurisdiction;

iii. Evaluate and compare the number of searches resulting from motor vehicle stops within the applicable jurisdiction and whether contraband or other evidence was discovered in the course of those searches (SEARCH ANALYSIS).

2. Information related to each complaint filed with the agency alleging that a peace officer employed by the agency has engaged in racial profiling.

3. Public education efforts concerning the racial profiling complaint process.

****Contacts are defined as motor vehicle stops related to an alleged violation of law or ordinance, OR motor vehicle stops that resulted in a ticket, citation, warning or arrest.**

d. The annual report shall not include identifying information about any individual stopped or arrested, and shall not include identifying information about any peace officer involved in a stop or arrest.

e. Racial Profiling Data will also be reported to the Texas Commission on Law Enforcement (TCOLE) by March 1 of each year, following the Commission's prescribed format.

167.09 Audio and Video Equipment

- a. Each motor vehicle regularly used by this department to make motor vehicle contacts shall be equipped with a mobile video camera system capable of recording video and audio.
- b. Every peace officer employed by the Town of Addison will be issued, or have available for use, a body-mounted camera capable of recording video and audio.
- c. Each motor vehicle contact made by an officer of this department capable of being recorded by video and audio, shall be recorded.
- d. Supervisors and Officers shall ensure that mobile video camera equipment, and/or audio equipment, is properly functioning prior to commencing their tour of duty. Police units with malfunctioning or inoperable mobile video camera equipment shall not be utilized under normal circumstances.
- e. Supervisors shall have the authority to assign patrol units with malfunctioning or inoperable mobile video equipment when situations dictate. Officers assigned to such units are required to have a properly functioning body-mounted camera capable of video and audio recording.

167.10 Review of Video and Audio Documentation

- a. Each audio and video recording shall be retained for a minimum period of ninety (90) days, unless a complaint is filed alleging that an officer has engaged in racial profiling with respect to a motor vehicle contact. The Commander of the Field Operations Bureau shall ensure that all audio and recordings are properly stored and retained in accordance with applicable laws and this policy.
- b. If a complaint is received alleging that an officer has engaged in racial profiling, the audio/video recording shall be forwarded to Police Administration, along with the complaint documentation. The Administration shall retain the video until final disposition of the complaint has been made.
- c. Supervisors shall review a randomly selected sampling of five (5) video and audio recordings, made recently by officers under their direct supervision and employed by the Department, in order to determine if patterns of racial profiling exist. These reviews shall be conducted quarterly and documented on the appropriate Quarterly Inspection Form. Written documentation shall include:
 - 1. The names of the officers whose contacts were reviewed;
 - 2. The date(s) of the videos reviewed;
 - 3. The date the actual review was conducted; and
 - 4. The name of the person conducting the review.
- d. The Field Operations Division Commander shall forward the required documentation to Police Administration.

e. Police Administration shall maintain a file of all video review documentation performed, in compliance with this policy.

f. In reviewing audio and video recordings, the supervisor shall seek to determine if the officer(s) reviewed have engaged in a pattern of racial profiling, this includes multiple acts constituting racial profiling for which there is no reasonable, credible explanation based on established police and law enforcement procedures.

167.11 Training

a. Each peace officer employed by the department shall complete the comprehensive education and training program on racial profiling established by the Texas Commission on Law Enforcement (TCOLE) not later than the second anniversary of the date the officer's license was issued or carried by the Addison Police Department.

b. The Chief of Police, in completing the training required by the Texas Education Code for chief administrators, shall complete the program on racial profiling established by the Bill Blackwood Law Enforcement Management Institute of Texas (LEMITE).



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For additional questions regarding the information presented in this report, please contact:

Del Carmen Consulting

817.681.7840

www.texasracialprofiling.com

www.delcarmenconsulting.com

Disclaimer: The author of this report, Alejandro del Carmen/del Carmen Consulting, is not liable for any omissions or errors committed in the acquisition, analysis, or creation of this report. Further, Dr. del Carmen/del Carmen Consulting is not responsible for the inappropriate use and distribution of information contained in this report. Further, no liability shall be incurred as a result of any harm that may be caused to individuals and/or organizations as a result of the information contained in this report.

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Council Meeting

7.

Meeting Date: 02/22/2022

Department: Finance

Pillars: Gold Standard in Financial Health

Milestones: Continue development and implementation of Long Term Financial Plan

AGENDA CAPTION:

Present and Discuss the **Finance Department Quarterly Financial Report of the Town of Addison for the Fiscal Year 2022 First Quarter Ended December 31, 2021.**

BACKGROUND:

The Town of Addison's financial policies require the publication of a financial report 60 days subsequent to the end of each fiscal quarter. This report covers the financial performance for the first quarter for Fiscal Year 2022 (October 1, 2021 - December 31, 2021). Enclosed in the report is an executive dashboard that provides a high-level look at some of the key financial indicators along with more detailed exhibits that demonstrate the current financial position for the various funds. The report includes information for the following funds: General, Hotel, Economic Development, Airport, Utility, and Stormwater funds.

Key highlights for the first quarter include:

- General Fund revenue totaled \$11.3 million which is 27.7 percent of the fiscal year budget.
- General Fund expenditures totaled \$10.2 million which is 25.0 percent of the fiscal year budget.
- Sales tax collections totaled \$4.1 million which is 29.7 percent of the fiscal year budget.
- The Hotel Fund had revenues of \$921 thousand and expenditures of \$835 thousand which are in line with historical averages.
- Performing Arts expenditures are at 69.2 percent due to the full payment of the WaterTower Theatre grant.
- Special Events revenues totaled 8.9 percent and expenses totaled 1.5 percent of the fiscal year budget because of the timing of events.
- Airport Fund operating revenue totaled \$1.6 million or 22.0 percent and operating expenditures totaled \$839 thousand or 15.7 percent of the fiscal year budget.
- Utility Fund operating revenue totaled \$2.8 million or 19.4 percent and expenditures totaled \$3.1 million or 21.0 percent of the fiscal year budget.
- Stormwater Fund revenues and expenditures are in line with historical

averages.

The Quarterly Investment Report for Quarter 1 of Fiscal Year 2022 is also being provided for informational purposes. This report has been prepared in accordance with state law and the Town's Financial Policies.

RECOMMENDATION:

Information only, no action required.

Attachments

Presentation - Quarterly Financial Report Fiscal Year 2022 First Quarter

Quarterly Financial Report - Fiscal Year 2022 First Quarter

Quarterly Investment Report - Fiscal Year 2022 First Quarter

Quarterly Financial Report Fiscal Year 2022 First Quarter

The logo for ADDISON, featuring the word "ADDISON" in a bold, blue, sans-serif font centered within a white circle. The circle is set against a blue background that is part of a larger graphic design on the right side of the slide, which includes a white diagonal line and a grey triangle in the top right corner.

February 22, 2022

Executive Dashboard – Key Revenue Sources

Executive Dashboard - 1st Quarter, 2022 Fiscal Year

Financial Indicators

Positive variance compared to historical trends

Positive

Negative variance of 3%-5% and more than \$50,000 compared to historical trends

Warning

Negative variance of >5% and more than \$50,000 compared to historical trends

Negative

Key Revenue Sources	FY2022 Budget	Actual through 12/31/21	% Annual Budget
Ad Valorem Taxes - General Fund	\$ 20,037,826	\$ 5,493,129	27.41%
Non-Property Taxes - General Fund	14,900,000	4,387,843	29.45%
Hotel Tax	4,155,000	797,813	19.20% ⁽¹⁾
Franchise Fees - General Fund	2,105,000	517,894	24.60%
Service/Permitting/License Fees - General Fund	3,108,670	690,553	22.21%
Fines and Penalties - All Funds	320,000	81,170	25.37%
Special Event Revenue - Hotel Fund	1,155,500	103,030	8.92% ⁽²⁾
Fuel Flowage Fees - Airport Fund	1,026,272	389,843	37.99%
Water and Sewer Charges - Utility Fund	14,239,755	2,755,826	19.35%

⁽¹⁾ Hotel tax revenue has not been collected from all hoteliers and reflects less hotel occupancy due to COVID-19

⁽²⁾ Special Events are low due to the timing of events

Executive Dashboard – Key Expenditures

Executive Dashboard - 1st Quarter, 2022 Fiscal Year

Financial Indicators

Positive variance compared to historical trends

Positive

Negative variance of 3%-5% and more than \$50,000 compared to historical trends

Warning

Negative variance of >5% and more than \$50,000 compared to historical trends

Negative

Key Expenditures	FY2022 Budget	Actual through 12/31/21	% Annual Budget
General Fund	\$ 40,722,447	\$ 10,180,080	25.00%
Hotel Fund	5,907,833	834,610	14.13%
Economic Development	2,010,012	306,098	15.23%
Airport Operations	5,349,415	838,918	15.68%
Utility Operations	14,661,013	3,126,477	21.33%

Personnel Information

Executive Dashboard - 1st Quarter, 2022 Fiscal Year

Staffing Indicators

Personnel Information:

Separations - Benefitted Positions				
Department	10/2021-12/2021			FY2022
	Part-Time Positions	Full-time positions	Total 1st Qtr	YTD
Airport	0	0	0	0
City Manager	0	0	0	0
Conference Centre	0	0	0	0
Development Services	0	0	0	0
Finance	0	1	1	1
Fire	0	0	0	0
General Services	0	0	0	0
Human Resources	0	1	1	1
Public Works	0	1	1	1
Marketing & Communications	0	0	0	0
Municipal Court	0	0	0	0
Parks	0	1	1	1
Police	0	2	2	2
Recreation	0	0	0	0
Special Events	0	0	0	0
Streets	0	0	0	0
Grand Total	0	6	6	6

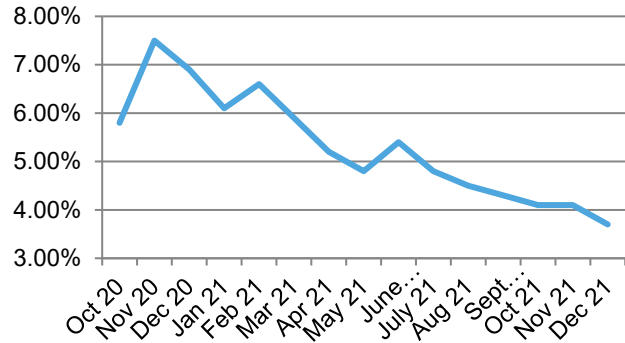
New Hires - Benefitted Positions				
Department	10/2021-12/2021			FY2022
	Part-Time Positions	Full-time positions	Total 1st Qtr	YTD
Airport	0	0	0	0
City Manager	0	0	0	0
Conference Centre	0	0	0	0
Development Services	0	1	1	1
Finance	0	0	0	0
Fire	0	0	0	0
General Services	0	0	0	0
Human Resources	0	0	0	0
Public Works	0	1	1	1
Marketing & Communications	0	0	0	0
Municipal Court	0	0	0	0
Parks	0	3	3	3
Police	0	3	3	3
Recreation	0	1	1	1
Special Events	0	0	0	0
Streets	0	4	4	4
Grand Total	0	13	13	13

Public Safety Sworn Positions	Budgeted FY 2022	Filled Positions	Percent Filled
Police	66	65	98%
Fire ⁽¹⁾	56	57	102%

⁽¹⁾ FY2022 budget includes 56 budgeted sworn positions plus overfill of 1 Firefighter (F3) position

Economic Indicators

DFW Unemployment Rate Last 12 months



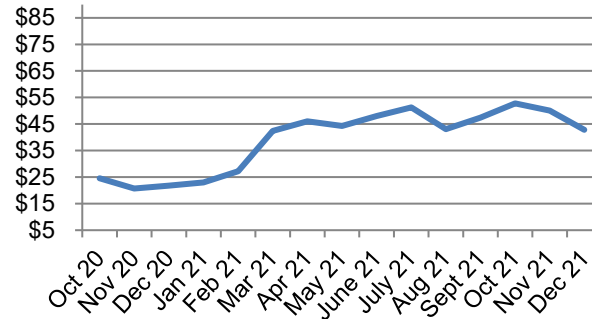
Occupancy Indicators:

Office Occupancy = 77.3%
Retail Occupancy = 91.9%



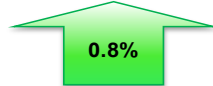
Source: CoStar (compares to prior year Q1)

Hotel Revenue Per Available Room



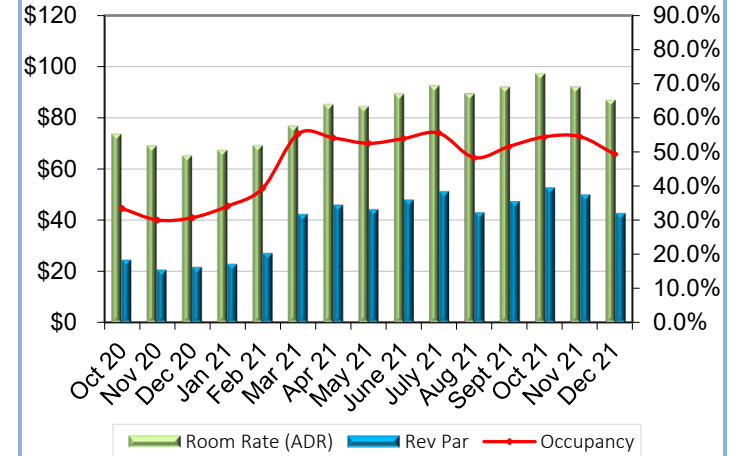
Hotel Indicators

Hotel Occupancy = 52.7%
RevPar = \$48.53

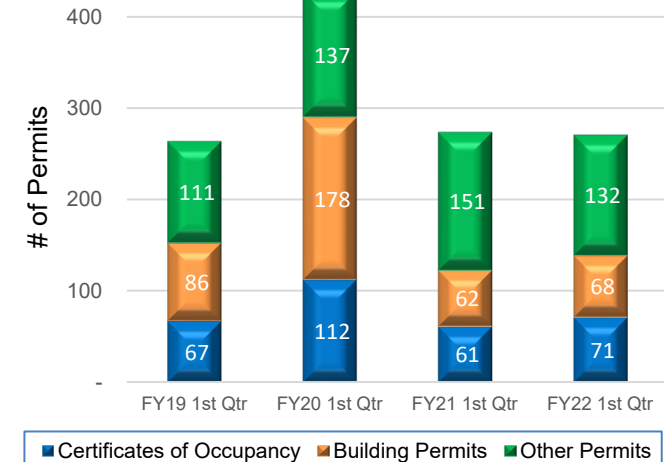


Source: STR Report (compares to prior year Q1)

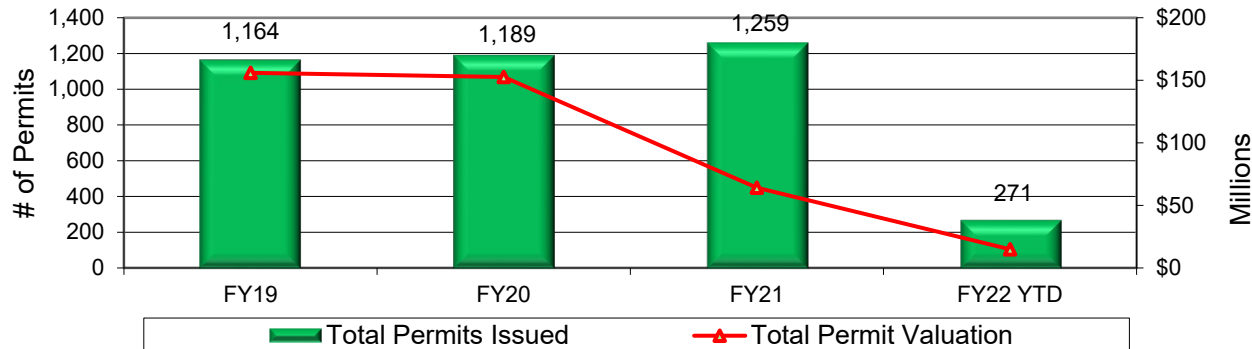
Addison Hotel Statistics



Quarterly Permit Activity, Year over Year

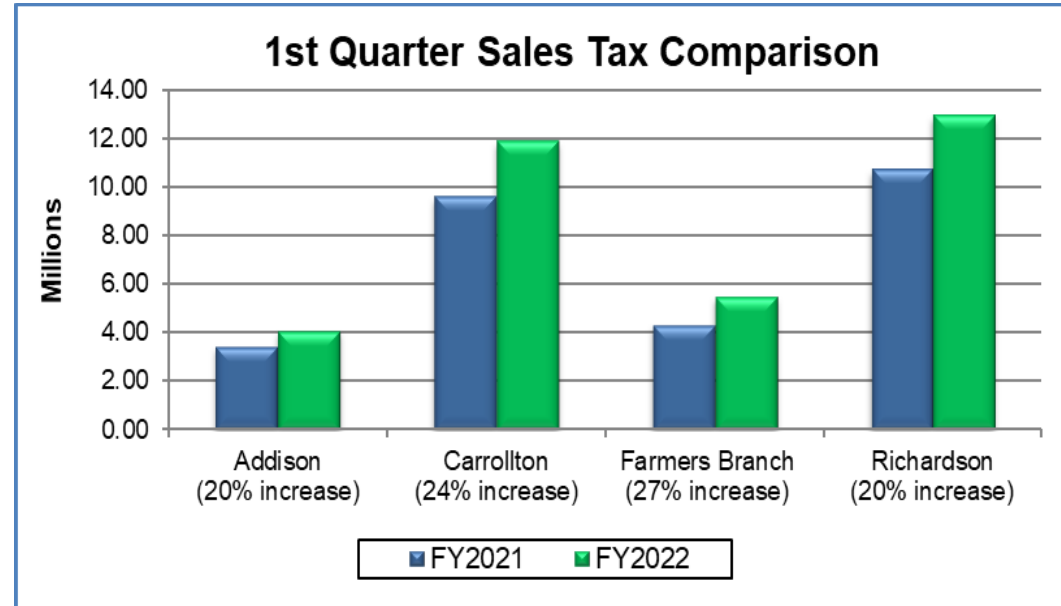


Total Permits Issued and Valuation

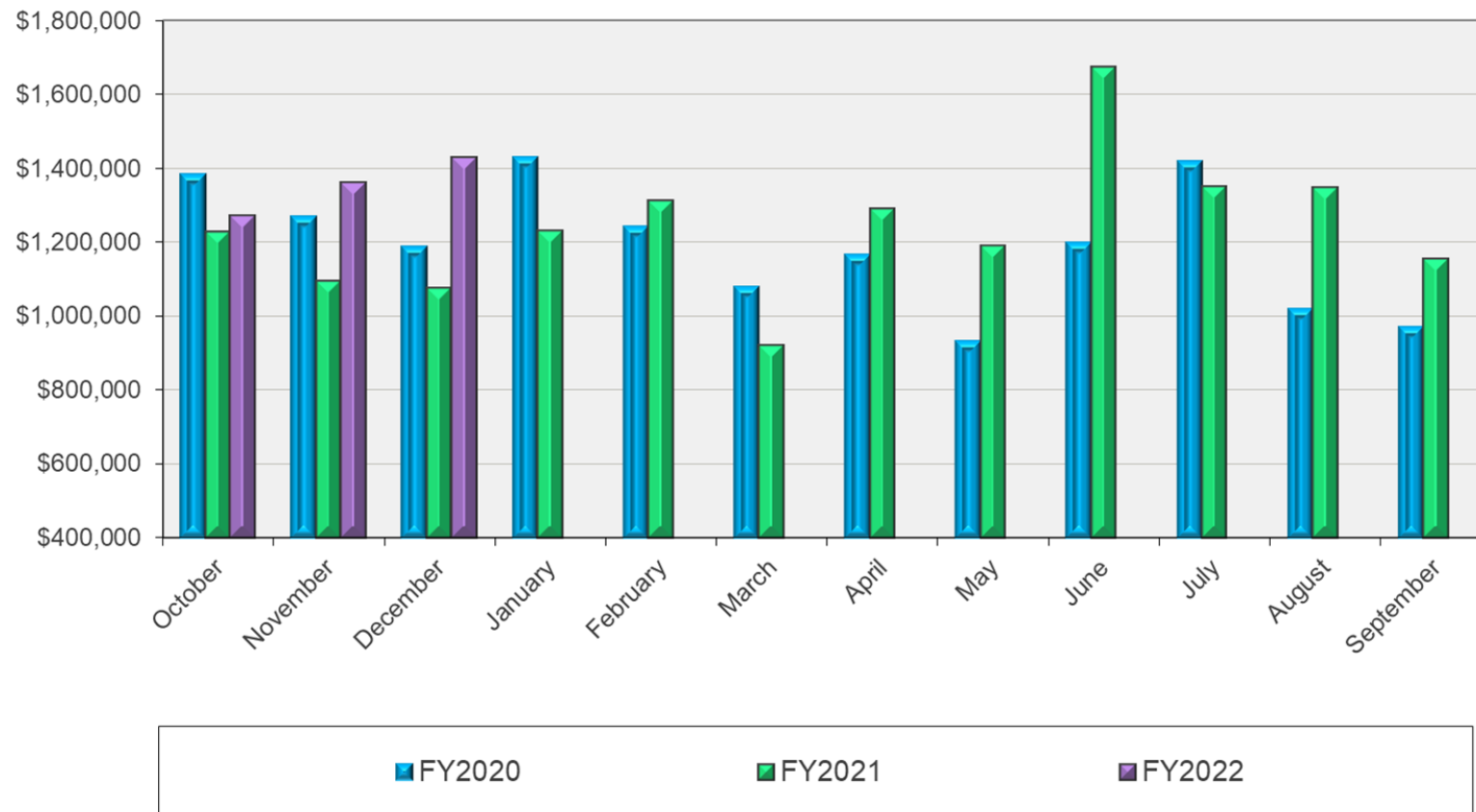


Economic Development Incentives:

Executed Agreements	Amount Paid FY22	Total Incentives Committed
6	\$0	\$527,333



Monthly Sales Tax Collections

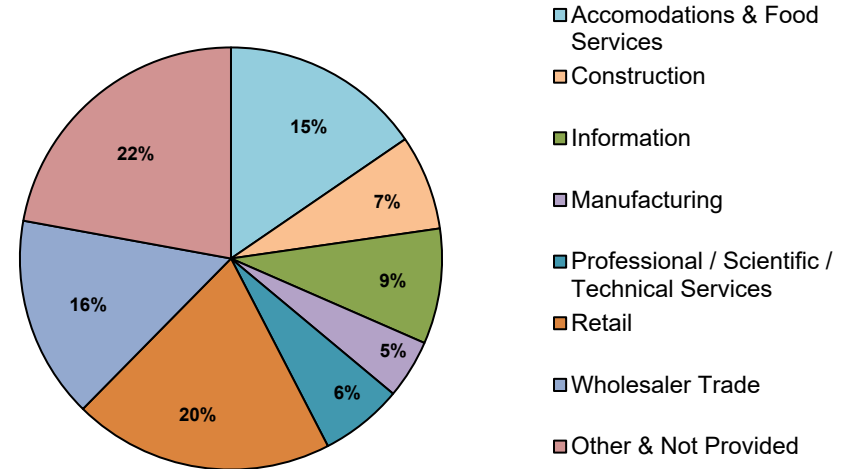


Sales Tax Collections

TOWN OF ADDISON
Schedule of Sales Tax Collections
For the quarter ending December 31, 2021

	FY2022 Monthly Collections	% Change from Prior Year	FY2021 Monthly Collections
October	\$ 1,273,977	3.6%	\$ 1,229,815
November	1,362,516	24.4%	1,095,667
December	1,430,169	32.8%	1,076,775
January		-100.0%	1,231,161
February		-100.0%	1,312,153
March		-100.0%	921,263
April		-100.0%	1,291,548
May		-100.0%	1,191,232
June		-100.0%	1,674,956
July		-100.0%	1,352,619
August		-100.0%	1,347,683
September		-100.0%	1,156,406
	\$ 4,066,663		\$ 14,881,277
Budget:	13,700,000	29.7%	13,530,766

Breakdown of Sales Tax by Economic Category



General Fund Revenue

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Revenues:					
Ad Valorem taxes:					
Current taxes	\$ 20,276,338	\$ 20,139,186	\$ 5,555,329	\$ 5,555,329	27.6%
Delinquent taxes	(159,726)	(151,360)	(67,946)	(67,946)	44.9% ⁽¹⁾
Penalty & interest	55,882	50,000	5,746	5,746	11.5%
Non-property taxes:					
Sales tax	14,881,277	13,700,000	4,066,663	4,066,663	29.7%
Alcoholic beverage tax	1,084,108	1,200,000	321,181	321,181	26.8%
Franchise / right-of-way use fees:					
Electric franchise	1,422,617	1,525,000	436,608	436,608	28.6%
Gas franchise	185,638	200,000	-	-	0.0% ⁽²⁾
Telecommunication access fees	211,969	250,000	49,086	49,086	19.6%
Cable franchise	126,482	130,000	32,200	32,200	24.8%
Street rental fees	-	-	-	-	0.0%
Licenses and permits:					
Business licenses and permits	175,825	129,700	27,125	27,125	20.9%
Building and construction permits	649,082	960,400	155,871	155,871	16.2%
Intergovernmental	1,000,000	-	-	-	0.0%
Service fees:					
General government	-	-	-	-	0.0%
Public safety	880,482	1,006,500	289,876	289,876	28.8%
Urban development	39,719	47,200	12,310	12,310	26.1%
Streets and sanitation	465,739	455,700	87,401	87,401	19.2%
Recreation	29,903	70,300	8,252	8,252	11.7%
Interfund	410,431	438,870	109,718	109,718	25.0%
Court fines	163,408	245,000	51,617	51,617	21.1%
Interest earnings	21,569	100,000	23,230	23,230	23.2%
Rental income	7,900	8,000	1,900	1,900	23.8%
Other	282,410	255,500	132,096	132,096	51.7%
Total Revenues	42,211,054	40,759,996	11,298,262	11,298,262	27.7%

⁽¹⁾ Represents prior year tax payment refunds

⁽²⁾ Franchise fee payment due in the 3rd quarter

General Fund Expenditures

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Expenditures:					
General Government:					
City Secretary	208,900	221,275	45,156	45,156	20.4%
City Manager	1,233,580	1,204,501	315,363	315,363	26.2%
Finance	1,821,579	1,764,710	430,979	430,979	24.4%
General Services	1,182,474	756,057	174,388	174,388	23.1%
Municipal Court	646,454	712,737	213,384	213,384	29.9%
Human Resources	670,310	740,209	152,878	152,878	20.7%
Information Technology	1,897,336	2,257,050	468,612	468,612	20.8%
Combined Services	1,073,544	1,362,625	338,445	338,445	24.8%
Council Projects	312,168	245,981	166,933	166,933	67.9% ⁽¹⁾
Public Safety:					
Police	10,288,614	10,536,045	2,594,455	2,594,455	24.6%
Emergency Communications	1,392,567	1,594,245	823,601	823,601	51.7% ⁽²⁾
Fire	8,705,865	9,086,845	2,327,449	2,327,449	25.6%
Development Services	1,560,348	1,807,802	418,156	418,156	23.1%
Streets	1,951,588	2,136,422	284,216	284,216	13.3%
Parks and Recreation:					
Parks	4,066,372	4,505,248	1,124,270	1,124,270	25.0%
Recreation	1,629,169	1,790,696	301,797	301,797	16.9%
Other financing uses:					
Transfers to other funds	3,460,950	-	-	-	0.0%
Total Expenditures	42,101,818	40,722,447	10,180,080	10,180,080	25.0%
Net Change in Fund Balance	109,236	37,549	1,118,182	1,118,182	
Fund Balance at Beginning of Year	20,134,439	20,243,675		20,243,675	
Fund Balance at End of Year	\$ 20,243,675	\$ 20,281,224		\$ 21,361,857	

⁽¹⁾ Includes primary NPO grant payment for non-profit grant funding

⁽²⁾ NTECC operations contribution for 2nd quarter posted in period 3

Hotel Fund

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Revenues:					
Hotel/Motel occupancy taxes	\$ 2,523,560	\$ 4,155,000	\$ 797,813	\$ 797,813	19.2% ⁽¹⁾
Proceeds from special events	897,005	1,155,500	103,030	103,030	8.9% ⁽²⁾
Rental income	86,403	85,000	17,342	17,342	20.4%
Interest and miscellaneous	1,072,594	2,024,836	3,177	3,177	0.2%
Total Revenues	4,579,563	7,420,336	921,361	921,361	12.4%
Expenditures:					
Addison theatre centre	261,323	275,208	49,836	49,836	18.1%
Conference centre	242,199	212,138	49,059	49,059	23.1%
General hotel operations	23,085	148,129	20,508	20,508	13.8%
Marketing	859,612	1,139,905	156,331	156,331	13.7%
Performing arts	375,989	329,089	227,839	227,839	69.2% ⁽³⁾
Special events	866,347	993,628	199,585	199,585	20.1% ⁽²⁾
Special events operations	2,001,576	2,425,736	35,451	35,451	1.5%
Attractions Capital Projects	4,090	-	-	-	0.0%
Other financing uses:					
Transfer to Economic Development Fund	384,000	384,000	96,000	96,000	25.0%
Total Expenditures	5,018,221	5,907,833	834,610	834,610	14.1%
Net Change in Fund Balance	(438,658)	1,512,503	86,751	86,751	
Fund Balance at Beginning of Year	3,149,259	2,710,601		2,710,601	
Fund Balance at End of Year	\$ 2,710,601	\$ 4,223,104		\$ 2,797,352	

⁽¹⁾ Hotel tax collections have not been received by all hoteliers

⁽²⁾ Special events revenues and expenses are low due to the timing of events

⁽³⁾ NPO primary grant payment for non-profit grant funding to Water Tower Theatre

Economic Development Fund

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Revenues:					
Ad Valorem taxes:	\$ 1,163,539	\$ 1,153,552	\$ 316,823	\$ 316,823	27.5%
Business license fee	27,165	50,000	12,650	12,650	25.3%
Interest income and other	2,249	20,000	2,407	2,407	12.0%
Transfers from General/Hotel Fund	384,000	384,000	96,000	96,000	25.0%
Total Revenues	1,576,953	1,607,552	427,880	427,880	26.6%
Expenditures:					
Personnel services	496,773	516,217	132,849	132,849	25.7%
Supplies	10,551	20,301	2,206	2,206	10.9%
Maintenance	24,407	29,602	9,076	9,076	30.7%
Contractual services	984,838	1,430,520	158,624	158,624	11.1%
Debt Service	16,997	13,372	3,343	3,343	25.0%
Total Expenditures	1,533,566	2,010,012	306,098	306,098	15.2%
Net Change in Fund Balance	43,387	(402,460)	121,782	121,782	
Fund Balance at Beginning of Year	1,968,832	2,012,219		2,012,219	
Fund Balance at End of Year	\$ 2,012,219	\$ 1,609,759		\$ 2,134,001	

Airport Fund

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Operating Revenues:					
Operating grants	\$ 107,000	\$ 50,000	\$ -	\$ -	0.0%
Service fees	108,408	294,000	26,638	26,638	9.1% ⁽¹⁾
Fuel flowage fees	1,064,377	1,026,272	389,843	389,843	38.0%
Rental income	4,415,499	5,635,534	1,108,104	1,108,104	19.7%
Interest income and other	168,982	56,040	28,145	28,145	50.2%
Total Operating Revenues:	5,864,266	7,061,846	1,552,729	1,552,729	22.0%
Operating Expenses:					
Personnel services	1,963,122	2,114,862	465,625	465,625	22.0%
Supplies	41,731	57,200	12,338	12,338	21.6%
Maintenance	368,803	745,148	85,168	85,168	11.4%
Contractual services	1,080,506	1,078,750	208,841	208,841	19.4%
Capital Replacement/Lease	467,258	35,000	-	-	0.0%
Debt service	749,767	1,118,455	66,946	66,946	6.0%
Capital Outlay	46,664	200,000	-	-	0.0%
Total Operating Expenses:	4,717,851	5,349,415	838,918	838,918	15.7%
Capital Projects (Cash Funded)	14,750	1,583,070	105,784	105,784	6.7%
Total Expenses:	4,732,601	6,932,485	944,702	944,702	13.6%
Net Change in Working Capital	1,131,665	129,361	608,027	608,027	
Working Capital at Beginning of Year	5,711,003	6,842,668		6,842,668	
Working Capital at End of Year	\$ 6,842,668	\$ 6,972,029		\$ 7,450,695	

⁽¹⁾ Percentage is below the quarterly threshold but actuals are in line with historical trends

Utility Fund

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Operating revenues:					
Water sales	\$ 7,518,421	\$ 7,738,852	\$ 1,624,884	\$ 1,624,884	21.0% ⁽¹⁾
Sewer charges	5,808,866	6,500,903	1,130,942	1,130,942	17.4% ⁽¹⁾
Tap fees	10,683	17,500	4,620	4,620	26.4%
Penalties	15,958	75,000	29,552	29,552	39.4%
Interest income and other	51,631	108,500	8,903	8,903	8.2%
Total Operating Revenues:	13,405,558	14,440,755	2,798,901	2,798,901	19.4%
Operating expenses:					
Personnel services	2,169,275	2,549,211	583,630	583,630	22.9%
Supplies	300,454	202,232	52,387	52,387	25.9%
Maintenance	527,646	1,107,385	191,149	191,149	17.3%
Contractual services					
Water purchases	3,242,450	4,224,277	1,060,415	1,060,415	25.1%
Wastewater treatment	3,486,904	3,727,622	882,977	882,977	23.7%
Other services	1,286,970	1,141,920	310,163	310,163	27.2%
Capital Replacement/Lease	581,857	181,584	45,396	45,396	25.0%
Debt service	1,517,681	1,526,782	361	361	0.0%
Capital outlay	142,533	-	-	-	0.0%
Total Operating Expenses:	13,255,770	14,661,013	3,126,477	3,126,477	21.3%
Capital Projects (Cash Funded)	87,037	121,576	-	-	0.0%
Total Expenses:	13,342,807	14,782,589	3,126,477	3,126,477	21.1%
Net Change in Working Capital	62,751	(341,834)	(327,576)	(327,576)	
Working Capital at Beginning of Year	6,431,088	6,493,839		6,493,839	
Working Capital at End of Year	\$ 6,493,839	\$ 6,152,006		\$ 6,166,263	

⁽¹⁾ Revenues represent a one-month lag in the collection of utility revenues and there is heavy seasonality with water revenue

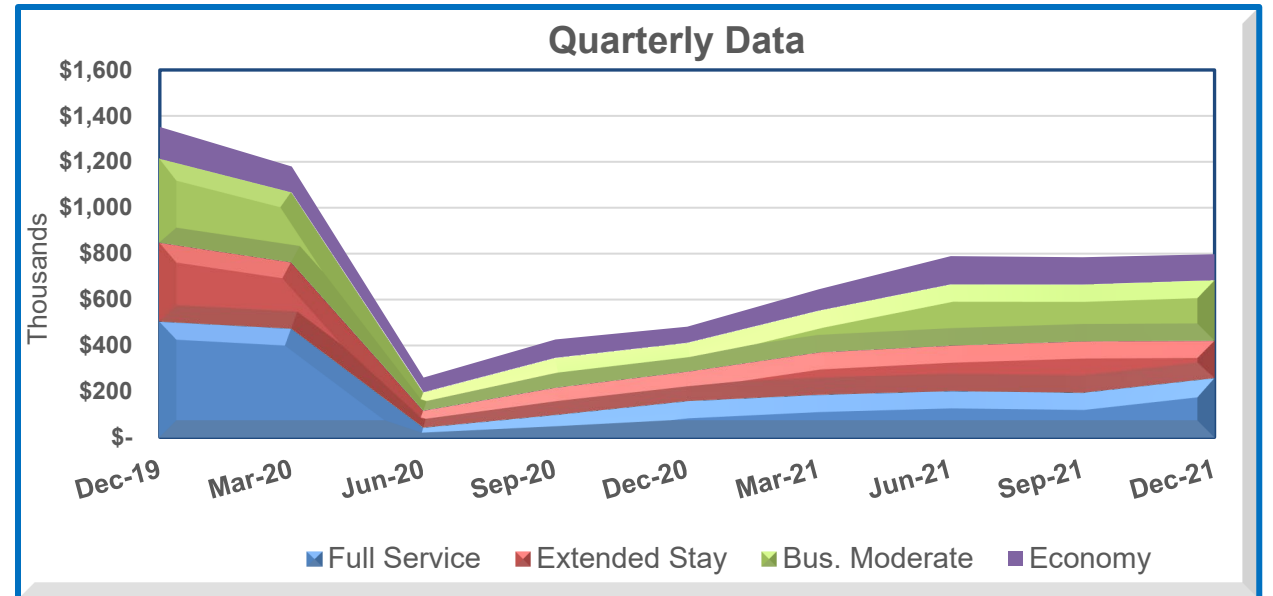
Stormwater Utility Fund

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Operating revenues:					
Drainage Fees	\$ 2,457,241	\$ 2,554,023	\$ 453,709	\$ 453,709	17.8% ⁽¹⁾
Interest income and other	94,662	20,000	11,761	11,761	58.8%
Total Operating Revenues:	2,551,902	2,574,023	465,469	465,469	18.1%
Operating expenses					
Personnel services	324,658	396,777	89,027	89,027	22.4%
Supplies	11,637	31,000	1,863	1,863	6.0%
Maintenance	59,377	151,240	41,433	41,433	27.4%
Contractual services	256,804	362,080	22,771	22,771	6.3%
Debt service	591,396	555,266	2,500	2,500	0.5%
Capital outlay	32,720	166,000	-	-	0.0%
Total Operating Expenses:	1,276,591	1,662,363	157,595	157,595	9.5%
Capital Projects (Cash Funded)	23,256	874,415	10,500	10,500	1.2%
Total Expenses:	1,299,847	2,536,778	168,095	168,095	6.6%
Net Change in Working Capital	1,252,055	37,245	297,375	297,375	
Working Capital at Beginning of Year	7,734,397	8,986,452		8,986,452	
Working Capital at End of Year	\$ 8,986,452	\$ 9,023,697		\$ 9,283,827	

⁽¹⁾ Fees represent a one-month lag in the collection of stormwater revenue but actuals are in line with historical trends

Hotel Occupancy Tax Collections

	Rooms		Oct. - Dec. 2021		22 to 21
	Number	%	Amount	%	% Diff.
Full Service					
Marriott Quorum	547	15%	\$ 154,905	19%	168%
⁽¹⁾ Renaissance	528	14%	104,218	13%	717%
	1,075	29%	259,123	32%	63%
Extended Stay					
Budget Suites	344	9%	9,654	1%	14%
⁽¹⁾ Mainstay Suites	70	2%	7,520	1%	0%
⁽¹⁾ Marriott Residence Inn	150	4%	-	0%	-100%
⁽¹⁾ Hyatt House	132	4%	-	0%	-100%
⁽¹⁾ Homewood Suites	120	3%	25,661	3%	5%
Home2Suites	132	4%	67,015	8%	185%
Springhill Suites	159	4%	53,138	7%	166%
	1,107	30%	162,989	20%	26%
Business Moderate					
Marriott Courtyard Quorum	176	5%	58,127	7%	215%
LaQuinta Inn	152	4%	42,454	5%	60%
Marriott Courtyard Midway	145	4%	40,443	5%	151%
Radisson - Addison	101	3%	21,778	3%	60%
Hilton Garden Inn	96	3%	36,123	5%	129%
Holiday Inn Express	97	3%	35,860	4%	117%
⁽¹⁾ Holiday Inn Beltway	102	3%	-	0%	0%
Best Western Plus	84	2%	27,703	3%	67%
	953	26%	262,489	33%	112%
Economy					
Motel 6	127	3%	29,925	4%	29%
Hampton Inn	158	4%	25,243	3%	97%
Red Roof Inn	105	3%	29,746	4%	69%
Quality Suites North/Galleria	78	2%	20,556	3%	41%
America's Best Value Inn	60	2%	7,742	1%	259%
	528	14%	113,212	14%	61%
TOTAL	3,663	100%	797,813	100%	65%



⁽¹⁾ Not yet received one or more payments for the quarter

Investment Report Summary

The logo for ADDISON, consisting of the word "ADDISON" in white capital letters inside a blue circle.

	Book Value	Market Value	Interest Revenue	Weighted Average Yield-to-Maturity
12/31/2021	120,896,570	120,014,074	150,182	0.54%
9/30/2021	123,010,270	122,796,526	126,466	0.51%
Change	(2,113,700)	(2,782,452)	23,716	0.04%
% Change	-1.72%	-2.27%	18.75%	7.72%

The Government Finance Officers Association (GFOA) presented the Town of Addison with two awards in 2021.

- ❑ Certificate of Achievement for Excellence in Financial Reporting
 - 45th Consecutive Award
- ❑ Distinguished Budget Presentation Award
 - 34th Consecutive Award





Department of Finance
Quarterly Review

For the Period Ended December 31, 2021

Town of Addison

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To: Wes Pierson, City Manager
From: Steven Glickman, Chief Financial Officer
Re: First Quarter Financial Review
Date: 2/22/2022

This is the first quarter report for the 2021-2022 fiscal year. Revenues and expenditures reflect activity from October 1, 2021 through December 31, 2021 or 25 percent of the fiscal year.

GENERAL FUND

- Fiscal year-to-date revenue totals \$11.3 million, which is 27.7 percent of the overall budget amount. Sales tax collections are at 29.7 percent of the fiscal year 2022 budget. Alcoholic beverage tax collections are at 28.6 percent of the fiscal year 2022 budget.
- Fiscal year-to-date expenditures and transfers total approximately \$10.2 million, which is 25.0 percent of budget. All departments are on pace with or below their respective budgets for fiscal year 2022.

HOTEL FUND

- Revenues through the first quarter total approximately \$921 thousand, 12.4 percent of the fiscal year 2022 budget. Hotel occupancy tax collections are 19.2 percent of budget for three months of collections. Collections have not been received by all hoteliers for December. Proceeds from Special Events are below budget due to timing of events; all significant events occur between May and September.
- Hotel Fund expenditures of \$835 thousand are 14.1 percent of budget, and \$62 thousand more than this time a year ago. Performing Arts expenditures are at 69.2 percent due to the full payment of the Water Tower Theater primary grant. Special events expenditures are at 1.5 percent due to timing of events.

AIRPORT FUND

- Operating revenue through the first quarter total approximately \$1.6 million or 22.0 percent of the fiscal year 2022 budget.
- Operating expenses total \$839 thousand, or 15.7 percent of fiscal year 2022 budget.
- Total year-to-date operating income for the Airport Fund is \$608 thousand.

UTILITY FUND

- Operating revenue through the first quarter totals \$2.8 million, or 19.4 percent of the fiscal year 2022 budget. With a one-month lag in the collection of utility revenues, 16.7 of the fiscal year has expired. Water revenues are at 21.0 percent of the fiscal year 2022 budget. There is heavy seasonality with water revenue. The year-to-date revenue and percent of budget is in line with the prior year.
- Operating expenses through the first quarter total approximately \$3.1 million, or 21.3 percent of the fiscal year 2022 budget. Water wholesale purchases and wastewater treatment expenses are more than this time a year ago due to the timing of payment for these services.

STORMWATER FUND

- Operating revenue through the first quarter total \$465 thousand, or 18.1 percent of the fiscal year 2022 budget. With a one-month lag in the collection of stormwater revenues, 16.7 percent of the fiscal year has expired.
- Operating expenses through the first quarter total approximately \$158 thousand, or 9.5 percent of the fiscal year 2022 budget. The percentage to budget is driven by our debt service payment, which is made in the second quarter of the fiscal year.

Executive Dashboard - 1st Quarter, 2022 Fiscal Year

Financial Indicators

Positive variance compared to historical trends

Positive

Negative variance of 3%-5% and more than \$50,000 compared to historical trends

Warning

Negative variance of >5% and more than \$50,000 compared to historical trends

Negative

Key Revenue Sources	FY2022 Budget	Actual through 12/31/21	% Annual Budget
Ad Valorem Taxes - General Fund	\$ 20,037,826	\$ 5,493,129	27.41%
Non-Property Taxes - General Fund	14,900,000	4,387,843	29.45%
Hotel Tax	4,155,000	797,813	19.20% ⁽¹⁾
Franchise Fees - General Fund	2,105,000	517,894	24.60%
Service/Permitting/License Fees - General Fund	3,108,670	690,553	22.21%
Fines and Penalties - All Funds	320,000	81,170	25.37%
Special Event Revenue - Hotel Fund	1,155,500	103,030	8.92% ⁽²⁾
Fuel Flowage Fees - Airport Fund	1,026,272	389,843	37.99%
Water and Sewer Charges - Utility Fund	14,239,755	2,755,826	19.35%

Key Expenditures	FY2022 Budget	Actual through 12/31/21	% Annual Budget
General Fund	\$ 40,722,447	\$ 10,180,080	25.00%
Hotel Fund	5,907,833	834,610	14.13%
Economic Development	2,010,012	306,098	15.23%
Airport Operations	5,349,415	838,918	15.68%
Utility Operations	14,661,013	3,126,477	21.33%

⁽¹⁾ Hotel tax revenue has not been collected from all hoteliers and reflects less hotel occupancy due to COVID-19

⁽²⁾ Special Events are low due to the timing of events

Executive Dashboard - 1st Quarter, 2022 Fiscal Year

Staffing Indicators

Personnel Information:

Separations - Benefitted Positions				
	10/2021-12/2021			FY2022
Department	Part-Time Positions	Full-time positions	Total 1st Qtr	YTD
Airport	0	0	0	0
City Manager	0	0	0	0
Conference Centre	0	0	0	0
Development Services	0	0	0	0
Finance	0	1	1	1
Fire	0	0	0	0
General Services	0	0	0	0
Human Resources	0	1	1	1
Public Works	0	1	1	1
Marketing & Communications	0	0	0	0
Municipal Court	0	0	0	0
Parks	0	1	1	1
Police	0	2	2	2
Recreation	0	0	0	0
Special Events	0	0	0	0
Streets	0	0	0	0
Grand Total	0	6	6	6

New Hires - Benefitted Positions				
	10/2021-12/2021			FY2022
Department	Part-Time Positions	Full-time positions	Total 1st Qtr	YTD
Airport	0	0	0	0
City Manager	0	0	0	0
Conference Centre	0	0	0	0
Development Services	0	1	1	1
Finance	0	0	0	0
Fire	0	0	0	0
General Services	0	0	0	0
Human Resources	0	0	0	0
Public Works	0	1	1	1
Marketing & Communications	0	0	0	0
Municipal Court	0	0	0	0
Parks	0	3	3	3
Police	0	3	3	3
Recreation	0	1	1	1
Special Events	0	0	0	0
Streets	0	4	4	4
Grand Total	0	13	13	13

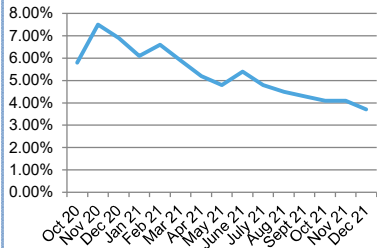
Public Safety Sworn Positions	Budgeted FY 2022	Filled Positions	Percent Filled
Police	66	65	98%
Fire ⁽¹⁾	56	57	102%

⁽¹⁾ FY2022 budget includes 56 budgeted sworn positions plus overfill of 1 Firefighter (F3) position

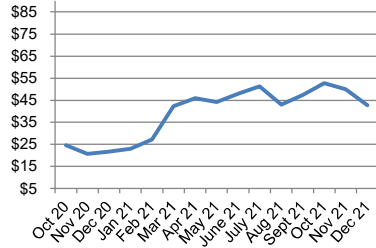
Executive Dashboard - 1st Quarter, 2022 Fiscal Year

Economic Indicators

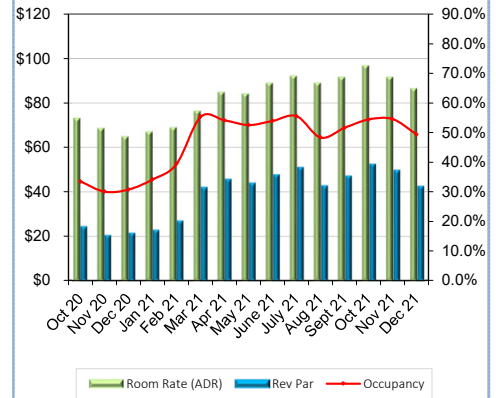
DFW Unemployment Rate Last 12 months



Hotel Revenue Per Available Room



Addison Hotel Statistics



Occupancy Indicators:

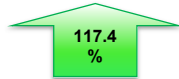
Office Occupancy = 77.3%

Retail Occupancy = 91.9%

Hotel Indicators

Hotel Occupancy = 52.7%

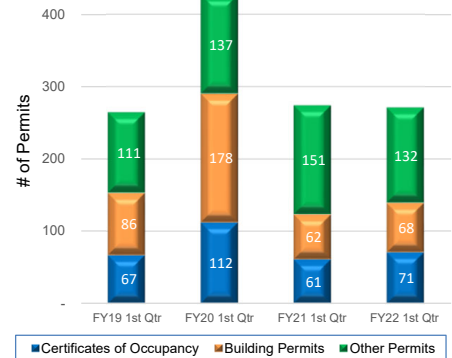
RevPar = \$48.53



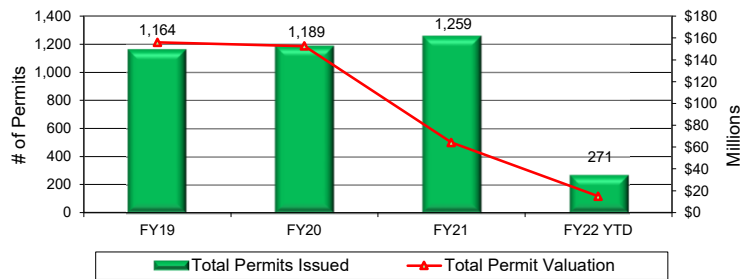
Source: CoStar (compares to prior year Q1)

Source: STR Report (compares to prior year Q1)

Quarterly Permit Activity, Year over Year



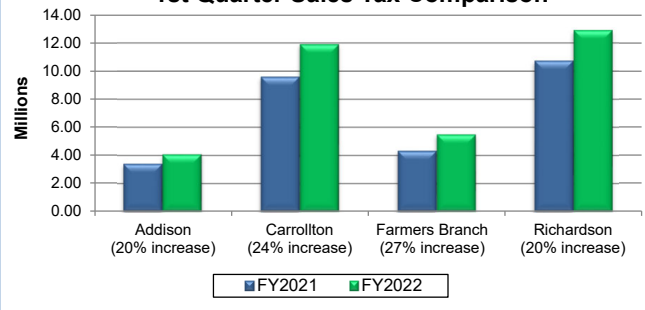
Total Permits Issued and Valuation



Economic Development Incentives:

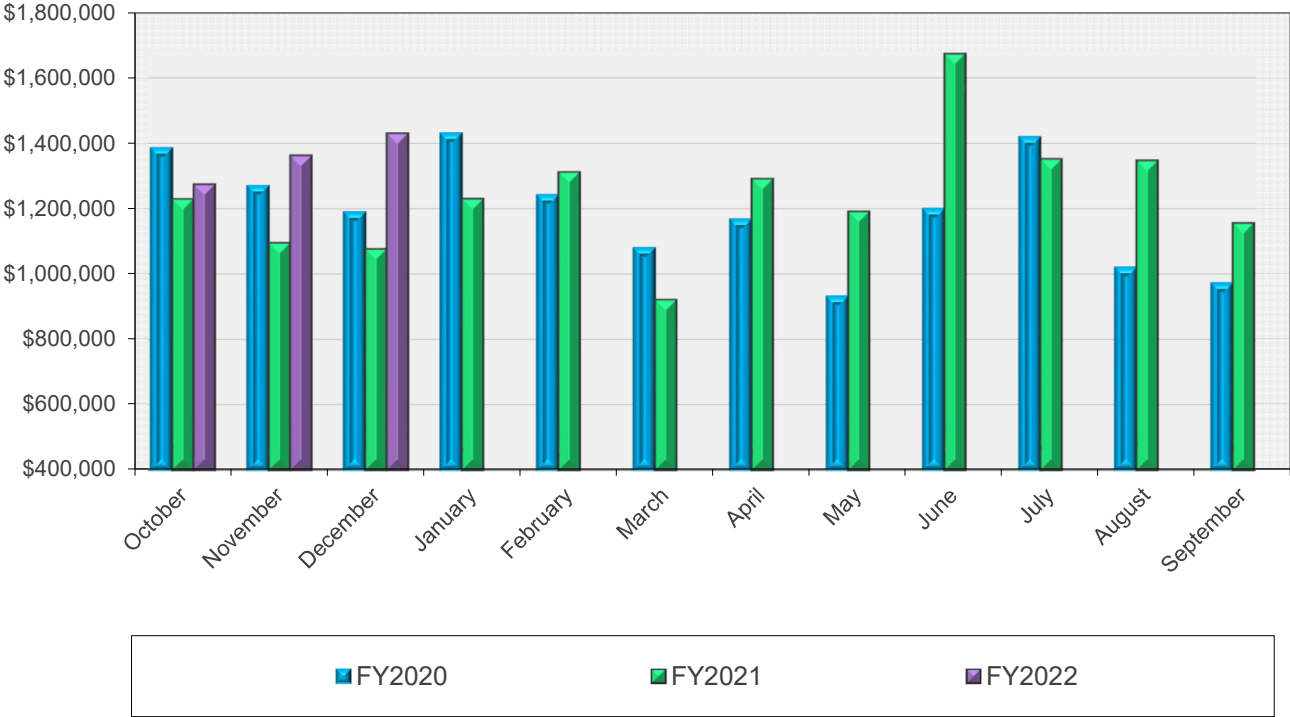
Executed Agreements	Amount Paid FY22	Total Incentives Committed
6	\$0	\$527,333

1st Quarter Sales Tax Comparison



Sales Tax Information

Monthly Sales Tax Collections



Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

TOWN OF ADDISON
 GENERAL FUND
 FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Revenues:					
Ad Valorem taxes:					
Current taxes	\$ 20,276,338	\$ 20,139,186	\$ 5,555,329	\$ 5,555,329	27.6%
Delinquent taxes	(159,726)	(151,360)	(67,946)	(67,946)	44.9% ⁽¹⁾
Penalty & interest	55,882	50,000	5,746	5,746	11.5%
Non-property taxes:					
Sales tax	14,881,277	13,700,000	4,066,663	4,066,663	29.7%
Alcoholic beverage tax	1,084,108	1,200,000	321,181	321,181	26.8%
Franchise / right-of-way use fees:					
Electric franchise	1,422,617	1,525,000	436,608	436,608	28.6%
Gas franchise	185,638	200,000	-	-	0.0% ⁽²⁾
Telecommunication access fees	211,969	250,000	49,086	49,086	19.6%
Cable franchise	126,482	130,000	32,200	32,200	24.8%
Street rental fees	-	-	-	-	0.0%
Licenses and permits:					
Business licenses and permits	175,825	129,700	27,125	27,125	20.9%
Building and construction permits	649,082	960,400	155,871	155,871	16.2%
Intergovernmental	1,000,000	-	-	-	0.0%
Service fees:					
General government	-	-	-	-	0.0%
Public safety	880,482	1,006,500	289,876	289,876	28.8%
Urban development	39,719	47,200	12,310	12,310	26.1%
Streets and sanitation	465,739	455,700	87,401	87,401	19.2%
Recreation	29,903	70,300	8,252	8,252	11.7%
Interfund	410,431	438,870	109,718	109,718	25.0%
Court fines	163,408	245,000	51,617	51,617	21.1%
Interest earnings	21,569	100,000	23,230	23,230	23.2%
Rental income	7,900	8,000	1,900	1,900	23.8%
Other	282,410	255,500	132,096	132,096	51.7%
Total Revenues	42,211,054	40,759,996	11,298,262	11,298,262	27.7%

⁽¹⁾ Represents prior year tax payment refunds

⁽²⁾ Franchise fee payment due in the 3rd quarter

Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

TOWN OF ADDISON
GENERAL FUND
FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Expenditures:					
General Government:					
City Secretary	208,900	221,275	45,156	45,156	20.4%
City Manager	1,233,580	1,204,501	315,363	315,363	26.2%
Finance	1,821,579	1,764,710	430,979	430,979	24.4%
General Services	1,182,474	756,057	174,388	174,388	23.1%
Municipal Court	646,454	712,737	213,384	213,384	29.9%
Human Resources	670,310	740,209	152,878	152,878	20.7%
Information Technology	1,897,336	2,257,050	468,612	468,612	20.8%
Combined Services	1,073,544	1,362,625	338,445	338,445	24.8%
Council Projects	312,168	245,981	166,933	166,933	67.9% ⁽¹⁾
Public Safety:					
Police	10,288,614	10,536,045	2,594,455	2,594,455	24.6%
Emergency Communications	1,392,567	1,594,245	823,601	823,601	51.7% ⁽²⁾
Fire	8,705,865	9,086,845	2,327,449	2,327,449	25.6%
Development Services	1,560,348	1,807,802	418,156	418,156	23.1%
Streets	1,951,588	2,136,422	284,216	284,216	13.3%
Parks and Recreation:					
Parks	4,066,372	4,505,248	1,124,270	1,124,270	25.0%
Recreation	1,629,169	1,790,696	301,797	301,797	16.9%
Other financing uses:					
Transfers to other funds	3,460,950	-	-	-	0.0%
Total Expenditures	42,101,818	40,722,447	10,180,080	10,180,080	25.0%
Net Change in Fund Balance	109,236	37,549	1,118,182	1,118,182	
Fund Balance at Beginning of Year	20,134,439	20,243,675		20,243,675	
Fund Balance at End of Year	\$ 20,243,675	\$ 20,281,224		\$ 21,361,857	

⁽¹⁾ Includes primary NPO grant payment for non-profit grant funding
⁽²⁾ NTECC operations contribution for 2nd quarter posted in period 3

Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

TOWN OF ADDISON
HOTEL FUND
FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Revenues:					
Hotel/Motel occupancy taxes	\$ 2,523,560	\$ 4,155,000	\$ 797,813	\$ 797,813	19.2% ⁽¹⁾
Proceeds from special events	897,005	1,155,500	103,030	103,030	8.9% ⁽²⁾
Rental income	86,403	85,000	17,342	17,342	20.4%
Interest and miscellaneous	1,072,594	2,024,836	3,177	3,177	0.2%
Total Revenues	4,579,563	7,420,336	921,361	921,361	12.4%
Expenditures:					
Addison theatre centre	261,323	275,208	49,836	49,836	18.1%
Conference centre	242,199	212,138	49,059	49,059	23.1%
General hotel operations	23,085	148,129	20,508	20,508	13.8%
Marketing	859,612	1,139,905	156,331	156,331	13.7%
Performing arts	375,989	329,089	227,839	227,839	69.2% ⁽³⁾
Special events	866,347	993,628	199,585	199,585	20.1% ⁽²⁾
Special events operations	2,001,576	2,425,736	35,451	35,451	1.5%
Attractions Capital Projects	4,090	-	-	-	0.0%
Other financing uses:					
Transfer to Economic Development Fund	384,000	384,000	96,000	96,000	25.0%
Total Expenditures	5,018,221	5,907,833	834,610	834,610	14.1%
Net Change in Fund Balance	(438,658)	1,512,503	86,751	86,751	
Fund Balance at Beginning of Year	3,149,259	2,710,601		2,710,601	
Fund Balance at End of Year	\$ 2,710,601	\$ 4,223,104		\$ 2,797,352	

⁽¹⁾ Hotel tax collections have not been received by all hoteliers
⁽²⁾ Special events revenues and expenses are low due to the timing of events
⁽³⁾ NPO primary grant payment for non-profit grant funding to Water Tower Theatre

Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

TOWN OF ADDISON
ECONOMIC DEVELOPMENT FUND
FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Revenues:					
Ad Valorem taxes:	\$ 1,163,539	\$ 1,153,552	\$ 316,823	\$ 316,823	27.5%
Business license fee	27,165	50,000	12,650	12,650	25.3%
Interest income and other	2,249	20,000	2,407	2,407	12.0%
Transfers from General/Hotel Fund	384,000	384,000	96,000	96,000	25.0%
Total Revenues	1,576,953	1,607,552	427,880	427,880	26.6%
Expenditures:					
Personnel services	496,773	516,217	132,849	132,849	25.7%
Supplies	10,551	20,301	2,206	2,206	10.9%
Maintenance	24,407	29,602	9,076	9,076	30.7%
Contractual services	984,838	1,430,520	158,624	158,624	11.1%
Debt Service	16,997	13,372	3,343	3,343	25.0%
Total Expenditures	1,533,566	2,010,012	306,098	306,098	15.2%
Net Change in Fund Balance	43,387	(402,460)	121,782	121,782	
Fund Balance at Beginning of Year	1,968,832	2,012,219		2,012,219	
Fund Balance at End of Year	\$ 2,012,219	\$ 1,609,759		\$ 2,134,001	

Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

AIRPORT FUND
FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Operating Revenues:					
Operating grants	\$ 107,000	\$ 50,000	\$ -	\$ -	0.0%
Service fees	108,408	294,000	26,638	26,638	9.1% ⁽¹⁾
Fuel flowage fees	1,064,377	1,026,272	389,843	389,843	38.0%
Rental income	4,415,499	5,635,534	1,108,104	1,108,104	19.7%
Interest income and other	168,982	56,040	28,145	28,145	50.2%
Total Operating Revenues:	5,864,266	7,061,846	1,552,729	1,552,729	22.0%
Operating Expenses:					
Personnel services	1,963,122	2,114,862	465,625	465,625	22.0%
Supplies	41,731	57,200	12,338	12,338	21.6%
Maintenance	368,803	745,148	85,168	85,168	11.4%
Contractual services	1,080,506	1,078,750	208,841	208,841	19.4%
Capital Replacement/Lease	467,258	35,000	-	-	0.0%
Debt service	749,767	1,118,455	66,946	66,946	6.0%
Capital Outlay	46,664	200,000	-	-	0.0%
Total Operating Expenses:	4,717,851	5,349,415	838,918	838,918	15.7%
Capital Projects (Cash Funded)	14,750	1,583,070	105,784	105,784	6.7%
Total Expenses:	4,732,601	6,932,485	944,702	944,702	13.6%
Net Change in Working Capital	1,131,665	129,361	608,027	608,027	
Working Capital at Beginning of Year	5,711,003	6,842,668		6,842,668	
Working Capital at End of Year	\$ 6,842,668	\$ 6,972,029		\$ 7,450,695	

(1) Percentage is below the quarterly threshold but actuals are in line with historical trends

Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

UTILITY FUND
FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Operating revenues:					
Water sales	\$ 7,518,421	\$ 7,738,852	\$ 1,624,884	\$ 1,624,884	21.0% ⁽¹⁾
Sewer charges	5,808,866	6,500,903	1,130,942	1,130,942	17.4% ⁽¹⁾
Tap fees	10,683	17,500	4,620	4,620	26.4%
Penalties	15,958	75,000	29,552	29,552	39.4%
Interest income and other	51,631	108,500	8,903	8,903	8.2%
Total Operating Revenues:	13,405,558	14,440,755	2,798,901	2,798,901	19.4%
Operating expenses:					
Personnel services	2,169,275	2,549,211	583,630	583,630	22.9%
Supplies	300,454	202,232	52,387	52,387	25.9%
Maintenance	527,646	1,107,385	191,149	191,149	17.3%
Contractual services					
Water purchases	3,242,450	4,224,277	1,060,415	1,060,415	25.1%
Wastewater treatment	3,486,904	3,727,622	882,977	882,977	23.7%
Other services	1,286,970	1,141,920	310,163	310,163	27.2%
Capital Replacement/Lease	581,857	181,584	45,396	45,396	25.0%
Debt service	1,517,681	1,526,782	361	361	0.0%
Capital outlay	142,533	-	-	-	0.0%
Total Operating Expenses:	13,255,770	14,661,013	3,126,477	3,126,477	21.3%
Capital Projects (Cash Funded)	87,037	121,576	-	-	0.0%
Total Expenses:	13,342,807	14,782,589	3,126,477	3,126,477	21.1%
Net Change in Working Capital	62,751	(341,834)	(327,576)	(327,576)	
Working Capital at Beginning of Year	6,431,088	6,493,839		6,493,839	
Working Capital at End of Year	\$ 6,493,839	\$ 6,152,006		\$ 6,166,263	

⁽¹⁾ Revenues represent a one-month lag in the collection of utility revenues and there is heavy seasonality with water revenue

Positive variance compared to historical trends
 Negative variance of 3%-5% and more than \$50,000 compared to historical trends
 Negative variance of >5% and more than \$50,000 compared to historical trends

Positive
 Warning
 Negative

STORMWATER UTILITY FUND
 FY2022 QUARTERLY STATEMENT OF REVENUES AND EXPENDITURES COMPARED TO BUDGET
With Comparative Information from Prior Fiscal Year

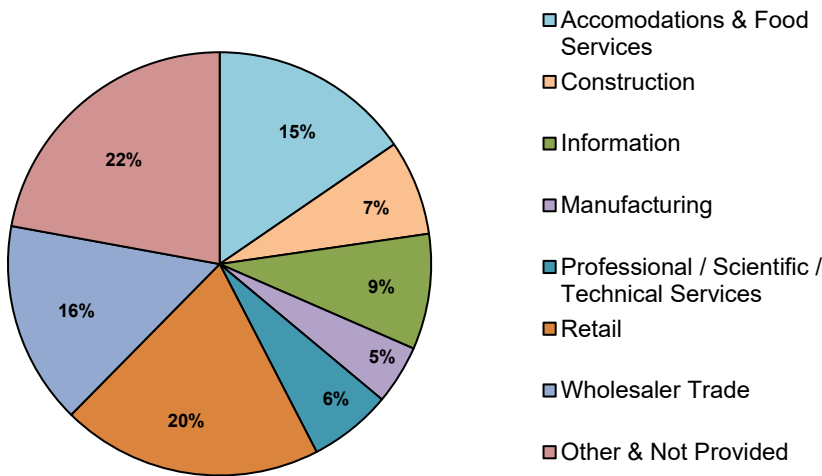
CATEGORY	FY 2020-21 ACTUAL PRIOR YEAR	FY 2021-22 REVISED BUDGET	FY 2021-22 ACTUAL 1ST QTR	FY 2021-22 ACTUAL YTD	ACTUAL YTD as % of Budget
Operating revenues:					
Drainage Fees	\$ 2,457,241	\$ 2,554,023	\$ 453,709	\$ 453,709	17.8% ⁽¹⁾
Interest income and other	94,662	20,000	11,761	11,761	58.8%
Total Operating Revenues:	2,551,902	2,574,023	465,469	465,469	18.1%
Operating expenses					
Personnel services	324,658	396,777	89,027	89,027	22.4%
Supplies	11,637	31,000	1,863	1,863	6.0%
Maintenance	59,377	151,240	41,433	41,433	27.4%
Contractual services	256,804	362,080	22,771	22,771	6.3%
Debt service	591,396	555,266	2,500	2,500	0.5%
Capital outlay	32,720	166,000	-	-	0.0%
Total Operating Expenses:	1,276,591	1,662,363	157,595	157,595	9.5%
Capital Projects (Cash Funded)	23,256	874,415	10,500	10,500	1.2%
Total Expenses:	1,299,847	2,536,778	168,095	168,095	6.6%
Net Change in Working Capital	1,252,055	37,245	297,375	297,375	
Working Capital at Beginning of Year	7,734,397	8,986,452		8,986,452	
Working Capital at End of Year	\$ 8,986,452	\$ 9,023,697		\$ 9,283,827	

⁽¹⁾ Fees represent a one-month lag in the collection of stormwater revenue but actuals are in line with historical trends

TOWN OF ADDISON
Schedule of Sales Tax Collections
For the quarter ending December 31, 2021

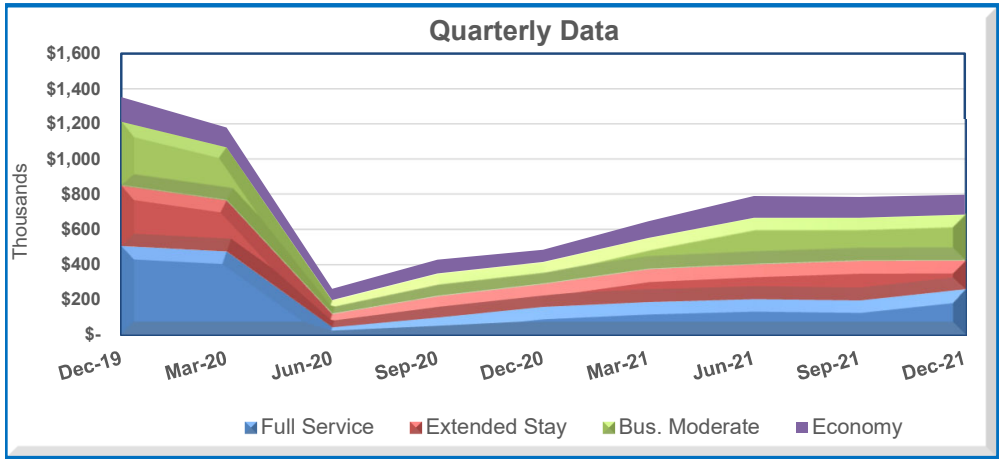
	FY2022		% Change	FY2021	
	Monthly Collections		from	Monthly Collections	
			Prior Year		
October	\$	1,273,977	3.6%	\$	1,229,815
November		1,362,516	24.4%		1,095,667
December		1,430,169	32.8%		1,076,775
January			-100.0%		1,231,161
February			-100.0%		1,312,153
March			-100.0%		921,263
April			-100.0%		1,291,548
May			-100.0%		1,191,232
June			-100.0%		1,674,956
July			-100.0%		1,352,619
August			-100.0%		1,347,683
September			-100.0%		1,156,406
	\$	4,066,663		\$	14,881,277
Budget:		13,700,000	29.7%		13,530,766

Breakdown of Sales Tax by Economic Category



TOWN OF ADDISON
HOTEL OCCUPANCY TAX COLLECTION
Hotels By Service Type for the Quarter and Year-to-Date Ended December 31, 2021
With Comparative Information from Prior Fiscal Year

	Rooms		Oct. - Dec. 2021		22 to 21
	Number	%	Amount	%	% Diff.
Full Service					
Marriott Quorum	547	15%	\$ 154,905	19%	168%
⁽¹⁾ Renaissance	528	14%	104,218	13%	717%
	1,075	29%	259,123	32%	63%
Extended Stay					
Budget Suites	344	9%	9,654	1%	14%
⁽¹⁾ Mainstay Suites	70	2%	7,520	1%	0%
⁽¹⁾ Marriott Residence Inn	150	4%	-	0%	-100%
⁽¹⁾ Hyatt House	132	4%	-	0%	-100%
⁽¹⁾ Homewood Suites	120	3%	25,661	3%	5%
Home2Suites	132	4%	67,015	8%	185%
Springhill Suites	159	4%	53,138	7%	166%
	1,107	30%	162,989	20%	26%
Business Moderate					
Marriott Courtyard Quorum	176	5%	58,127	7%	215%
LaQuinta Inn	152	4%	42,454	5%	60%
Marriott Courtyard Midway	145	4%	40,443	5%	151%
Radisson - Addison	101	3%	21,778	3%	60%
Hilton Garden Inn	96	3%	36,123	5%	129%
Holiday Inn Express	97	3%	35,860	4%	117%
⁽¹⁾ Holiday Inn Beltway	102	3%	-	0%	0%
Best Western Plus	84	2%	27,703	3%	67%
	953	26%	262,489	33%	112%
Economy					
Motel 6	127	3%	29,925	4%	29%
Hampton Inn	158	4%	25,243	3%	97%
Red Roof Inn	105	3%	29,746	4%	69%
Quality Suites North/Galleria	78	2%	20,556	3%	41%
America's Best Value Inn	60	2%	7,742	1%	259%
	528	14%	113,212	14%	61%
TOTAL	3,663	100%	797,813	100%	65%



⁽¹⁾ Not yet received one or more payments for the quarter

Investment Portfolio Summary

Town of Addison



For the Quarter Ended

December 31, 2021

For the Quarter Ended
December 31, 2021

This report is prepared for the **Town of Addison** (the "Entity") in accordance with Chapter 2256 of the Texas Public Funds Investment Act ("PFIA"). Section 2256.023(a) of the PFIA states that: "Not less than quarterly, the investment officer shall prepare and submit to the governing body of the entity a written report of the investment transactions for all funds covered by this chapter for the preceding reporting period." This report is signed by the Entity's investment officers and includes the disclosures required in the PFIA. To the extent possible, market prices have been obtained from independent pricing sources.

The investment portfolio complied with the PFIA and the Entity's approved Investment Policy and Strategy throughout the period. All investment transactions made in the portfolio during this period were made on behalf of the Entity and were made in full compliance with the PFIA and the approved Investment Policy.

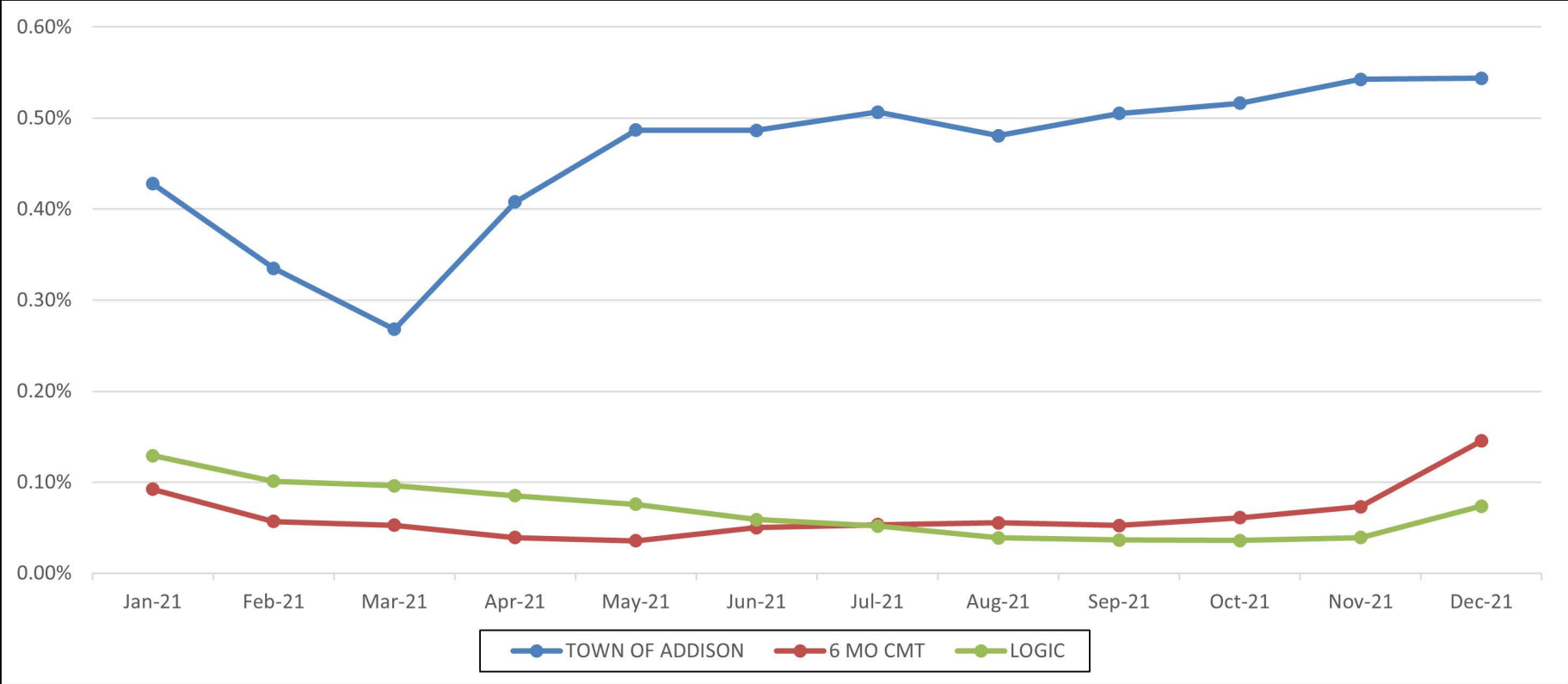
Officer Names and Titles:


Name: Steven Clickman, CPA

Title: Chief Financial Officer


Name: Amanda D. Turner, CPA

Title: Controller



Category	CUSIP	Settle Date	Sec. Type	Sec. Description	CPN (%)	Mty Date	Next Call	Call Type	Par Value	Purch Price	Orig Cost	Book Value	Days to Mty	WAM	YTM (%)
HTM	64971WDP2	4/16/2021	MUNICIPAL	NYC Transit Fin Authority	3.780	2/1/2026	Anytime	M-W Call	700,000.00	108.848	761,936.00	753,067.61	1493	9.30	1.839
HTM	70914PME9	6/9/2021	MUNICIPAL	Penn St GO	4.650	2/15/2026	Anytime	Sink/M-W Call	1,800,000.00	110.848	1,995,264.00	1,956,267.31	824	13.33	0.700
HTM	894673TA0	5/6/2021	MUNICIPAL	Tredyffrin/Easttown School	1.159	2/15/2026			275,000.00	100.900	277,475.00	277,141.45	1507	3.45	0.965
HTM	067167YR1	4/29/2021	MUNICIPAL	Barbers Hill TX ISD GO	4.000	2/15/2026			500,000.00	114.513	572,565.00	562,558.04	1507	7.01	0.900
HTM	473142QY6	5/6/2021	MUNICIPAL	Jefferson Cnty Consol Sch Distr	1.200	3/1/2026			300,000.00	100.000	300,000.00	300,000.00	1521	3.77	1.200
HTM	61945DAZ4	4/22/2021	MUNICIPAL	Mosaic District Dev Authority	1.711	3/1/2026	Anytime	M-W Call	250,000.00	102.882	257,205.00	256,199.91	1521	3.22	1.100
HTM	485106UX4	4/21/2021	MUNICIPAL	Kansas City	1.475	4/1/2026	Anytime	M-W Call	1,000,000.00	101.945	1,019,450.00	1,016,783.97	1552	13.05	1.070
HTM	46873TAD5	9/29/2021	MUNICIPAL	Jackson Energy Authority	3.400	4/1/2026	4/1/2023	Continuous	1,925,000.00	104.653	2,014,570.25	2,009,711.63	1552	25.80	2.307
HTM	6817126Z3	5/21/2021	MUNICIPAL	Omaha GO	0.983	4/15/2026			750,000.00	100.396	752,970.00	752,605.02	1566	9.75	0.900
HTM	3133EMXQ0	4/28/2021	AGCY CALL	FFCB	0.940	4/28/2026	4/28/2022	Continuous	1,000,000.00	100.000	1,000,000.00	1,000,000.00	1579	13.06	0.940
HTM	3130AMDE9	5/12/2021	AGCY CALL	FHLB	0.500	5/12/2026	2/12/2022	Quarterly	1,500,000.00	100.000	1,500,000.00	1,500,000.00	1593	19.76	1.191
HTM	83754LAX5	9/23/2021	MUNICIPAL	SD Educational Enhancement	1.495	6/1/2026	Anytime	M-W Call	1,000,000.00	100.815	1,008,150.00	1,007,688.59	1613	13.44	1.315
HTM	3130AMMJ8	6/9/2021	AGCY CALL	FHLB	0.400	6/9/2026	6/9/2023	One Time	1,000,000.00	100.000	1,000,000.00	1,000,000.00	1621	13.41	1.053
HTM	3130AMMW9	6/10/2021	AGCY CALL	FHLB	0.500	6/10/2026	3/10/2022	Quarterly	1,500,000.00	100.000	1,500,000.00	1,500,000.00	1622	20.12	1.194
HTM	56042RJ52	7/8/2021	MUNICIPAL	Maine Health & Higher Edu	1.304	7/1/2026	Anytime	M-W Call	200,000.00	101.229	202,458.00	202,225.81	1643	2.75	1.050
HTM	86666PAE8	11/8/2021	MUNICIPAL	Sun City Fire District AZ Maricopa Cnty COPs	1.707	7/1/2026			500,000.00	100.740	503,700.00	503,586.14	1643	6.84	1.541
HTM	3130AN5K2	7/20/2021	AGCY CALL	FHLB	0.300	7/20/2026	7/20/2022	One Time	1,500,000.00	100.000	1,500,000.00	1,500,000.00	1662	20.62	1.254
HTM	795451AK9	8/12/2021	CD	Sallie Mae Bank	1.100	8/11/2026			245,000.00	100.000	245,000.00	245,000.00	1684	3.41	1.100
HTM	38149MZJ5	9/8/2021	CD	Goldman Sachs Bank	1.050	9/8/2026			245,000.00	100.000	245,000.00	245,000.00	1712	3.47	1.050
HTM	856285XL0	9/28/2021	CD	State Bank of India	1.150	9/28/2026			245,000.00	100.000	245,000.00	245,000.00	1732	3.51	1.150
HTM	3130AP6D2	9/30/2021	AGCY CALL	FHLB	1.000	9/30/2026	3/30/2022	Quarterly	1,000,000.00	100.000	1,000,000.00	1,000,000.00	1734	14.34	1.000
									118,292,146.16	102.981	121,818,027.41	120,896,570.47		694.59	0.544

TOWN OF ADDISON
Change in Value
From 09/30/2021 to 12/31/2021

CUSIP	Security Type	Security Description	CPN	Maturity	9/30/2021			12/31/2021		
					Book Value	Market Value	YTM	Book Value	Market Value	YTM
LOGIC	LGIP	LOGIC		1/1/2022	12,890,238.52	12,890,238.52	0.036%	7,426,986.83	7,426,986.83	0.074%
TEXPOOL	LGIP	TexPool		1/1/2022	1,000,080.52	1,000,080.52	0.028%	1,000,173.67	1,000,173.67	0.038%
TEXSTAR	LGIP	TexSTAR		1/1/2022	1,000,043.39	1,000,043.39	0.010%	1,000,071.91	1,000,071.91	0.014%
TXCLASS	LGIP	Texas CLASS		1/1/2022	28,591,241.60	28,591,241.60	0.044%	28,595,247.08	28,595,247.08	0.069%
473142QU4	MUNICIPAL	Jefferson Cnty Consol Sch Distr	0.300%	3/1/2022	110,000.00	110,001.10	0.300%	110,000.00	110,000.00	0.300%
114727VY3	MUNICIPAL	Broome Cnty GO	1.000%	4/29/2022	1,363,471.80	1,363,350.52	0.300%	1,361,098.80	1,360,783.90	0.300%
56042RH96	MUNICIPAL	Maine Health Tax	0.359%	7/1/2022	200,000.00	200,110.00	0.359%	200,000.00	200,058.00	0.359%
052403GX4	MUNICIPAL	Austin Comm Clg, TX	5.000%	8/1/2022	1,945,206.53	1,949,737.50	0.487%	1,924,128.77	1,925,381.25	0.487%
207580AN1	MUNICIPAL	CT Green Bank Rev Bonds	0.350%	11/15/2022	124,000.00	124,024.80	0.350%	124,000.00	123,760.68	0.350%
3133EFUJ4	AGCY BULET	FFCB	2.150%	12/29/2022	3,058,392.50	3,074,472.00	0.577%	3,046,674.01	3,059,829.00	0.577%
882669BR4	MUNICIPAL	TX Pub Fin Auth	0.286%	2/1/2023	2,000,000.00	2,001,000.00	0.286%	2,000,000.00	1,992,020.00	0.286%
473142QV2	MUNICIPAL	Jefferson Cnty Consol Sch Distr	0.450%	3/1/2023	260,000.00	259,911.60	0.450%	260,000.00	259,051.00	0.450%
3130ADR99	AGCY BULET	FHLB	2.750%	3/10/2023	4,623,017.71	4,666,131.00	0.837%	4,601,739.66	4,618,872.00	0.837%
91435LAG2	CD	Greenstate Credit Union	3.050%	5/15/2023	249,487.83	250,829.76	0.600%	248,031.37	248,502.48	0.600%
427908EE4	MUNICIPAL	County of Hernando	0.365%	6/1/2023	250,000.00	250,347.50	0.365%	250,000.00	249,152.50	0.365%
034577AK2	CD	ANECA Federal Credit Union	3.200%	6/21/2023	257,154.69	258,315.25	0.552%	255,540.58	255,787.11	0.552%
56042RJ29	MUNICIPAL	Maine Health Tax	0.509%	7/1/2023	250,000.00	250,077.50	0.509%	250,000.00	249,345.00	0.509%
73358W4V3	MUNICIPAL	Port Auth of NY & NJ Rev Bonds	1.086%	7/1/2023	1,012,720.13	1,013,160.00	0.356%	1,010,905.91	1,005,330.00	0.356%
742404AK2	MUNICIPAL	Princeton Theological	0.593%	7/1/2023	-	-	0.000%	1,052,353.41	1,046,655.00	0.593%
3135G05R0	AGCY CALL	FNMA	0.300%	8/10/2023	2,996,378.28	3,001,392.00	0.365%	2,996,864.58	2,987,694.00	0.365%
3130AJZJ1	AGCY CALL	FHLB	0.320%	8/25/2023	2,665,816.23	2,666,629.34	0.337%	2,665,927.92	2,660,632.00	0.337%
207580AP6	MUNICIPAL	CT Green Bank Rev Bonds	0.550%	11/15/2023	300,000.00	299,718.00	0.550%	300,000.00	297,720.00	0.550%
084244HX3	MUNICIPAL	Berkeley Cnty Rev	0.886%	12/1/2023	1,020,347.92	1,018,504.20	0.410%	1,019,156.21	1,010,393.90	0.410%
64763FTY5	MUNICIPAL	New Orleans GO	5.000%	12/1/2023	393,873.72	394,333.20	0.620%	389,982.21	387,680.40	0.620%
882669BS2	MUNICIPAL	TX Pub Fin Auth	0.503%	2/1/2024	1,000,000.00	999,440.00	0.503%	1,000,000.00	989,500.00	0.503%
473142QW0	MUNICIPAL	Jefferson Cnty Consol Sch Distr	0.650%	3/1/2024	200,000.00	199,492.00	0.650%	200,000.00	197,926.00	0.650%
20772GE61	MUNICIPAL	State of Connecticut	5.730%	3/15/2024	1,358,575.82	1,354,938.15	0.500%	1,343,005.91	1,323,861.20	0.500%
3130AND72	AGCY CALL	FHLB	0.430%	4/29/2024	1,000,000.00	999,297.00	0.430%	1,000,000.00	993,685.00	0.430%
427908EF1	MUNICIPAL	County of Hernando	0.589%	6/1/2024	250,000.00	250,467.50	0.589%	250,000.00	248,192.50	0.589%
56042RJ37	MUNICIPAL	Maine Health Tax	0.711%	7/1/2024	250,000.00	249,610.00	0.711%	250,000.00	248,147.50	0.711%
86666PAC2	MUNICIPAL	Sun City Fire District AZ Maricopa Cr	1.010%	7/1/2024	-	-	0.000%	601,309.42	596,862.00	1.010%
79770GJA5	MUNICIPAL	San Francisco & CA County Redevel	1.060%	8/1/2024	-	-	0.000%	457,608.20	457,111.20	1.060%
927781VU5	MUNICIPAL	Virginia ST Clg Bldg Auth Rev	4.750%	9/1/2024	844,963.78	841,492.80	0.620%	837,303.90	826,121.00	0.620%
05580AD68	CD	BMW Bank of North America	0.650%	9/17/2024	245,000.00	245,073.01	0.650%	245,000.00	243,831.11	0.650%
87165FA20	CD	Synchrony Bank	0.650%	9/17/2024	245,000.00	245,073.01	0.650%	245,000.00	243,831.11	0.650%
64966H4M9	MUNICIPAL	City of New York	5.047%	10/1/2024	6,062,811.68	6,018,755.60	0.559%	6,001,106.97	5,929,350.80	0.559%
3130APAF2	AGCY CALL	FHLB	0.520%	10/7/2024	-	-	0.000%	1,000,000.00	988,203.00	0.520%
20775C6C3	MUNICIPAL	Connecticut St Housing Auth	2.097%	11/15/2024	313,066.80	307,650.00	0.684%	312,028.80	303,849.00	0.684%
207580AQ4	MUNICIPAL	CT Green Bank Rev Bonds	0.850%	11/15/2024	275,000.00	274,903.75	0.850%	275,000.00	272,096.00	0.850%
3130AMJX1	AGCY CALL	FHLB	0.650%	12/9/2024	1,500,000.00	1,500,177.00	0.650%	1,500,000.00	1,469,322.00	0.650%
365820HB8	MUNICIPAL	Garfield Heights GO	3.100%	12/15/2024	261,809.90	255,935.00	1.582%	260,906.68	253,750.00	1.582%
3133EMZ70	AGCY CALL	FFCB	0.480%	2/10/2025	999,007.33	996,130.00	0.510%	999,080.68	981,688.00	0.510%
57419TDX6	MUNICIPAL	Maryland Community Dev	2.198%	3/1/2025	277,603.63	273,180.55	0.784%	276,690.97	269,592.45	0.784%
473142QX8	MUNICIPAL	Jefferson Cnty Consol Sch Distr	0.950%	3/1/2025	280,000.00	278,768.00	0.950%	280,000.00	275,758.00	0.950%
3133EM3E0	AGCY CALL	FFCB	0.610%	5/23/2025	999,900.68	998,627.00	0.613%	999,907.49	979,925.00	0.613%

CUSIP	Security Type	Security Description	CPN	Maturity	Book Value	Market Value	YTM	Book Value	Market Value	YTM
57604TAB2	MUNICIPAL	State of Mass Transportation	5.203%	6/1/2025	1,154,813.58	1,148,490.00	0.900%	1,144,396.61	1,126,220.00	0.900%
882874KQ2	MUNICIPAL	Texas Woman's University	4.000%	7/1/2025	355,525.19	354,834.90	0.530%	352,845.07	351,691.20	0.530%
20772KJX8	MUNICIPAL	State of Connecticut	2.098%	7/1/2025	246,063.25	244,627.95	0.820%	245,334.60	240,073.65	0.820%
91476PDR6	MUNICIPAL	University Okla	3.023%	7/1/2025	306,837.48	305,403.00	2.383%	306,397.35	303,042.00	2.383%
3136G4A45	AGCY CALL	FNMA	0.710%	7/22/2025	1,499,942.66	1,496,175.00	0.711%	1,499,946.38	1,477,146.00	0.711%
345102PG6	MUNICIPAL	Foothill-De Anza Community	0.906%	8/1/2025	705,093.78	701,932.00	0.713%	704,764.91	692,440.00	0.713%
235308D75	MUNICIPAL	Dallas ISD	4.000%	8/15/2025	3,096,004.59	3,085,720.00	0.700%	3,073,909.70	3,016,145.00	0.700%
150461M57	MUNICIPAL	City of Cedar Park, TX	2.950%	8/15/2025	2,569,062.49	2,551,915.20	0.630%	2,555,696.74	2,511,464.80	0.630%
3136G4N74	AGCY CALL	FNMA	0.560%	8/21/2025	1,500,000.00	1,486,755.00	0.560%	1,500,000.00	1,467,841.50	0.560%
3136G4V59	AGCY CALL	FNMA	0.625%	8/27/2025	1,500,000.00	1,492,473.00	0.625%	1,500,000.00	1,471,320.00	0.625%
3134GWUG9	AGCY CALL	FHLMC	0.570%	9/24/2025	2,000,000.00	1,976,870.00	0.570%	2,000,000.00	1,954,654.00	0.570%
088632CT9	MUNICIPAL	Bi-State Development Agency	1.344%	10/1/2025	1,546,109.17	1,539,396.00	0.990%	1,544,810.72	1,519,540.50	0.990%
23542JQP3	MUNICIPAL	City of Dallas Waterwork	1.007%	10/1/2025	1,365,238.04	1,354,495.50	0.720%	1,364,296.26	1,338,417.00	0.720%
64971M5K4	MUNICIPAL	NYC Transit Fin Authority	5.075%	11/1/2025	605,052.55	597,547.60	0.978%	599,930.23	586,456.00	0.978%
207580AR2	MUNICIPAL	CT Green Bank Rev Bonds	1.200%	11/15/2025	200,000.00	200,308.00	1.200%	200,000.00	198,040.00	1.200%
3130AP2G9	AGCY CALL	FHLB	0.750%	12/30/2025	1,000,000.00	994,860.00	0.750%	1,000,000.00	982,226.00	0.750%
650009S20	MUNICIPAL	NY Thruway Authority	2.406%	1/1/2026	421,624.10	419,068.00	1.100%	420,376.43	411,944.00	1.100%
68189TBA3	MUNICIPAL	Omaha NE Spl Oblg	6.400%	2/1/2026	876,002.49	870,302.40	1.150%	865,791.87	856,819.20	1.150%
419791YT9	MUNICIPAL	Hawaii St	5.330%	2/1/2026	1,778,182.98	1,777,545.00	0.950%	1,762,406.18	1,731,900.00	0.950%
64971WDP2	MUNICIPAL	NYC Transit Fin Authority	3.780%	2/1/2026	756,210.75	743,757.00	1.839%	753,067.61	738,192.00	1.839%
70914PME9	MUNICIPAL	Penn St GO	4.650%	2/15/2026	1,973,747.20	1,964,880.00	0.700%	1,956,267.31	1,936,728.00	0.700%
894673TA0	MUNICIPAL	Tredyffrin/Easttown School	1.159%	2/15/2026	277,269.44	273,363.75	0.965%	277,141.45	270,426.75	0.965%
067167YR1	MUNICIPAL	Barbers Hill TX ISD GO	4.000%	2/15/2026	566,286.70	562,655.00	0.900%	562,558.04	553,285.00	0.900%
473142QY6	MUNICIPAL	Jefferson Cnty Consol Sch Distr	1.200%	3/1/2026	300,000.00	298,620.00	1.200%	300,000.00	295,392.00	1.200%
61945DAZ4	MUNICIPAL	Mosaic District Dev Authority	1.711%	3/1/2026	256,564.08	250,672.50	1.100%	256,199.91	247,890.00	1.100%
485106UX4	MUNICIPAL	Kansas City	1.475%	4/1/2026	1,017,749.86	998,640.00	1.070%	1,016,783.97	993,880.00	1.070%
46873TAD5	MUNICIPAL	Jackson Energy Authority	3.400%	4/1/2026	2,014,464.84	2,013,761.75	2.307%	2,009,711.63	1,991,932.25	2.307%
6817126Z3	MUNICIPAL	Omaha GO	0.983%	4/15/2026	752,754.57	746,272.50	0.900%	752,605.02	738,270.00	0.900%
3133EMXQ0	AGCY CALL	FFCB	0.940%	4/28/2026	1,000,000.00	997,441.00	0.940%	1,000,000.00	988,446.00	0.940%
3130AMDE9	AGCY CALL	FHLB	0.500%	5/12/2026	1,500,000.00	1,497,684.00	1.191%	1,500,000.00	1,489,759.50	1.191%
83754LAX5	MUNICIPAL	SD Educational Enhancement	1.495%	6/1/2026	1,008,112.38	999,460.00	1.315%	1,007,688.59	980,220.00	1.315%
3130AMMJ8	AGCY CALL	FHLB	0.400%	6/9/2026	1,000,000.00	996,314.00	1.053%	1,000,000.00	988,467.00	1.053%
3130AMMW9	AGCY CALL	FHLB	0.500%	6/10/2026	1,500,000.00	1,498,276.50	1.194%	1,500,000.00	1,492,101.00	1.194%
56042RJ52	MUNICIPAL	Maine Health & Higher Edu	1.304%	7/1/2026	202,346.75	199,658.00	1.050%	202,225.81	198,930.00	1.050%
86666PAE8	MUNICIPAL	Sun City Fire District AZ Maricopa Cr	1.541%	7/1/2026	-	-	0.000%	503,586.14	496,135.00	1.541%
3130AN5K2	AGCY CALL	FHLB	0.300%	7/20/2026	1,500,000.00	1,501,315.50	1.254%	1,500,000.00	1,496,338.50	1.254%
795451AK9	CD	Sallie Mae Bank	1.100%	8/11/2026	245,000.00	246,601.32	1.100%	245,000.00	243,417.06	1.100%
38149MZJ5	CD	Goldman Sachs Bank	1.050%	9/8/2026	245,000.00	245,811.20	1.050%	245,000.00	242,685.98	1.050%
856285XL0	CD	State Bank of India	1.150%	9/28/2026	245,000.00	246,850.98	1.150%	245,000.00	243,673.57	1.150%
3130AP6D2	AGCY CALL	FHLB	1.000%	9/30/2026	1,000,000.00	993,113.00	1.000%	1,000,000.00	986,793.00	1.000%
Total for Pooled Funds					123,010,269.91	122,796,526.22	0.505%	120,896,570.47	120,014,074.04	0.544%

TOWN OF ADDISON
Earned Income
From 09/30/2021 to 12/31/2021

CUSIP	Security Type	Security Description	Beg Accrued	Interest Purchased	Interest Earned	Interest Rec'd	End Accrued	Accr/Amort	Interest Rev
LOGIC	LGIP	LOGIC	-	-	944.93	944.93	-	-	944.93
TEXPOOL	LGIP	TexPool	-	-	93.15	93.15	-	-	93.15
TEXSTAR	LGIP	TexSTAR	-	-	28.52	28.52	-	-	28.52
TXCLASS	LGIP	Texas CLASS	-	-	4,005.48	4,005.48	-	-	4,005.48
473142QU4	MUNICIPAL	Jefferson Cnty Consol Sch Distr	27.50	-	82.50	-	110.00	-	82.50
114727VY3	MUNICIPAL	Broome Cnty GO	5,733.79	-	3,395.01	-	9,128.80	(2,373.00)	1,022.01
56042RH96	MUNICIPAL	Maine Health Tax	263.25	-	179.49	-	442.74	-	179.49
052403GX4	MUNICIPAL	Austin Comm Clg	15,625.00	-	23,437.50	-	39,062.50	(21,077.76)	2,359.74
207580AN1	MUNICIPAL	CT Green Bank Rev Bonds	168.79	-	108.51	221.82	55.48	-	108.51
3133EFUJ4	AGCY BULET	FFCB	16,483.33	-	16,125.00	32,250.00	358.33	(11,718.49)	4,406.51
882669BR4	MUNICIPAL	TX Pub Fin Auth	953.35	-	1,430.01	-	2,383.36	-	1,430.01
473142QV2	MUNICIPAL	Jefferson Cnty Consol Sch Distr	97.50	-	292.50	-	390.00	-	292.50
3130ADRG9	AGCY BULET	FHLB	7,218.75	-	30,937.50	-	38,156.25	(21,278.05)	9,659.45
91435LAG2	CD	Greenstate Credit Union	581.86	-	1,830.00	1,824.98	586.88	(1,456.46)	373.54
427908EE4	MUNICIPAL	County of Hernando	385.27	-	228.12	537.36	76.03	-	228.12
034577AK2	CD	ANECA Federal Credit Union	2,180.98	-	1,968.00	3,946.78	202.20	(1,614.11)	353.89
56042RJ29	MUNICIPAL	Maine Health Tax	466.58	-	318.12	-	784.70	-	318.12
73358W4V3	MUNICIPAL	Port Auth of NY & NJ Rev Bonds	2,715.01	-	2,715.00	-	5,430.01	(1,814.22)	900.78
3135G05R0	AGCY CALL	FNMA	1,275.00	-	2,250.00	-	3,525.00	486.30	2,736.30
3130AJZJ1	AGCY CALL	FHLB	853.32	-	2,133.33	-	2,986.65	111.69	2,245.02
207580AP6	MUNICIPAL	CT Green Bank Rev Bonds	641.67	-	412.50	843.33	210.84	-	412.50
084244HX3	MUNICIPAL	Berkeley Cnty Rev	2,982.88	-	2,237.16	4,474.30	745.74	(1,191.71)	1,045.45
64763FTY5	MUNICIPAL	New Orleans GO	6,000.00	-	4,500.00	9,000.00	1,500.00	(3,891.51)	608.49
882669BS2	MUNICIPAL	TX Pub Fin Auth	838.36	-	1,257.51	-	2,095.87	-	1,257.51
473142QW0	MUNICIPAL	Jefferson Cnty Consol Sch Distr	108.32	-	324.99	-	433.31	-	324.99
20772GE61	MUNICIPAL	State of Connecticut	3,068.76	-	17,261.64	-	20,330.40	(15,569.91)	1,691.73
3130AND72	AGCY CALL	FHLB	740.55	-	1,074.99	-	1,815.54	-	1,074.99
427908EF1	MUNICIPAL	County of Hernando	621.73	-	368.13	867.14	122.72	-	368.13
56042RJ37	MUNICIPAL	Maine Health Tax	651.77	-	444.39	-	1,096.16	-	444.39
927781VU5	MUNICIPAL	Virginia ST Clg Bldg Auth Rev	2,988.53	-	8,965.62	-	11,954.15	(7,659.88)	1,305.74
05580AD68	CD	BMW Bank of North America	66.35	-	398.13	-	464.48	-	398.13
87165FA20	CD	Synchrony Bank	66.35	-	398.13	-	464.48	-	398.13
64966H4M9	MUNICIPAL	City of New York	140,306.59	-	70,153.29	140,306.60	70,153.28	(61,704.71)	8,448.58
20775C6C3	MUNICIPAL	Connecticut St Housing Auth	2,376.60	-	1,572.75	3,145.50	803.85	(1,038.00)	534.75
207580AQ4	MUNICIPAL	CT Green Bank Rev Bonds	909.02	-	584.37	1,194.72	298.67	-	584.37
3130AMJX1	AGCY CALL	FHLB	3,033.33	-	2,437.50	4,875.00	595.83	-	2,437.50
365820HB8	MUNICIPAL	Garfield Heights GO	2,281.94	-	1,937.49	3,875.00	344.43	(903.22)	1,034.27
3133EMZ70	AGCY CALL	FFCB	680.00	-	1,200.00	-	1,880.00	73.35	1,273.35
57419TDX6	MUNICIPAL	Maryland Community Dev	485.39	-	1,456.17	-	1,941.56	(912.66)	543.51
473142QX8	MUNICIPAL	Jefferson Cnty Consol Sch Distr	221.68	-	665.01	-	886.69	-	665.01
3133EM3E0	AGCY CALL	FFCB	643.89	-	1,524.99	1,525.00	643.88	6.81	1,531.80
57604TAB2	MUNICIPAL	State of Mass Transportation	17,343.32	-	13,007.49	26,015.00	4,335.81	(10,416.97)	2,590.52
91476PDR6	MUNICIPAL	University Okla	2,267.25	-	2,267.25	-	4,534.50	(440.13)	1,827.12
882874KQ2	MUNICIPAL	Texas Woman's University	4,865.00	-	3,150.00	-	8,015.00	(2,680.12)	469.88

CUSIP	Security Type	Security Description	Beg Accrued	Interest Purchased	Interest Earned	Interest Rec'd	End Accrued	Accr/Amort	Interest Rev
20772KJX8	MUNICIPAL	State of Connecticut	1,232.59	-	1,232.58	-	2,465.17	(728.65)	503.93
3136G4A45	AGCY CALL	FNMA	2,041.25	-	2,662.50	-	4,703.75	3.72	2,666.22
345102PG6	MUNICIPAL	Foothill-De Anza Community	1,057.00	-	1,585.50	-	2,642.50	(328.87)	1,256.63
235308D75	MUNICIPAL	Dallas ISD	14,055.57	-	27,500.01	-	41,555.58	(22,094.89)	5,405.12
150461M57	MUNICIPAL	City of Cedar Park	8,895.91	-	17,405.01	-	26,300.92	(13,365.75)	4,039.26
3136G4N74	AGCY CALL	FNMA	933.33	-	2,100.00	-	3,033.33	-	2,100.00
3136G4V59	AGCY CALL	FNMA	885.42	-	2,343.75	-	3,229.17	-	2,343.75
3134GWUG9	AGCY CALL	FHLMC	221.67	-	2,850.00	-	3,071.67	-	2,850.00
088632CT9	MUNICIPAL	Bi-State Development Agency	10,248.00	-	5,124.00	10,248.00	5,124.00	(1,298.45)	3,825.55
23542JQP3	MUNICIPAL	City of Dallas Waterwork	6,797.28	-	3,398.64	6,797.25	3,398.67	(941.78)	2,456.86
64971M5K4	MUNICIPAL	NYC Transit Fin Authority	10,995.85	-	6,597.51	13,195.00	4,398.36	(5,122.32)	1,475.19
207580AR2	MUNICIPAL	CT Green Bank Rev Bonds	933.33	-	600.00	1,226.67	306.66	-	600.00
3130AP2G9	AGCY CALL	FHLB	20.83	-	1,875.00	-	1,895.83	-	1,875.00
650009S20	MUNICIPAL	NY Thruway Authority	2,406.00	-	2,406.00	-	4,812.00	(1,247.67)	1,158.33
68189TBA3	MUNICIPAL	Omaha NE Spl Oblg	8,480.00	-	12,720.00	-	21,200.00	(10,210.62)	2,509.38
419791YT9	MUNICIPAL	Hawaii St	13,325.00	-	19,987.50	-	33,312.50	(15,776.80)	4,210.70
64971WDP2	MUNICIPAL	NYC Transit Fin Authority	4,410.00	-	6,615.00	-	11,025.00	(3,143.14)	3,471.86
70914PME9	MUNICIPAL	Penn St GO	10,462.50	-	20,925.00	-	31,387.50	(17,479.89)	3,445.11
894673TA0	MUNICIPAL	Tredyffrin/Easttown School	407.25	-	796.80	-	1,204.05	(127.99)	668.81
067167YR1	MUNICIPAL	Barbers Hill TX ISD GO	2,555.57	-	5,000.01	-	7,555.58	(3,728.66)	1,271.35
473142QY6	MUNICIPAL	Jefferson Cnty Consol Sch Distr	300.00	-	900.00	-	1,200.00	-	900.00
61945DAZ4	MUNICIPAL	Mosaic District Dev Authority	356.47	-	1,069.38	-	1,425.85	(364.17)	705.21
485106UX4	MUNICIPAL	Kansas City	6,514.60	-	3,687.51	6,555.56	3,646.55	(965.89)	2,721.62
46873TAD5	MUNICIPAL	Jackson Energy Authority	32,725.00	-	16,362.51	32,725.00	16,362.51	(4,753.21)	11,609.30
6817126Z3	MUNICIPAL	Omaha GO	3,399.56	-	1,843.14	3,686.25	1,556.45	(149.55)	1,693.59
3133EMXQ0	AGCY CALL	FFCB	3,994.98	-	2,349.99	4,700.00	1,644.97	-	2,349.99
3130AMDE9	AGCY CALL	FHLB	2,875.00	-	1,875.00	3,750.00	1,000.00	-	1,875.00
83754LAX5	MUNICIPAL	SD Educational Enhancement	622.91	-	3,737.49	3,114.58	1,245.82	(423.79)	3,313.70
3130AMMJ8	AGCY CALL	FHLB	1,233.32	-	999.99	2,000.00	233.31	-	999.99
3130AMMW9	AGCY CALL	FHLB	2,291.67	-	1,979.17	3,750.00	520.84	-	1,979.17
56042RJ52	MUNICIPAL	Maine Health & Higher Edu	956.26	-	651.99	-	1,608.25	(120.94)	531.05
3130AN5K2	AGCY CALL	FHLB	887.50	-	1,125.00	-	2,012.50	-	1,125.00
795451AK9	CD	Sallie Mae Bank	381.68	-	673.74	-	1,055.42	-	673.74
38149MZJ5	CD	Goldman Sachs Bank	171.50	-	643.14	-	814.64	-	643.14
856285XL0	CD	State Bank of India	31.31	-	704.37	-	735.68	-	704.37
3130AP6D2	AGCY CALL	FHLB	27.78	-	2,499.99	-	2,527.77	-	2,499.99
3130APAF2	AGCY CALL	FHLB	-	-	1,213.33	-	1,213.33	-	1,213.33
742404AK2	MUNICIPAL	Princeton Theological	-	12,543.06	7,981.94	-	20,525.00	(6,763.11)	1,218.83
86666PAC2	MUNICIPAL	Sun City Fire District AZ Maricopa Cn	-	91.58	970.78	-	1,062.36	(76.58)	894.20
86666PAE8	MUNICIPAL	Sun City Fire District AZ Maricopa Cn	-	118.54	1,256.54	-	1,375.08	(113.86)	1,142.68
79770GJA5	MUNICIPAL	San Francisco & CA County Redevelc	-	-	260.06	-	260.06	(44.45)	215.61
Total for Pooled Funds			406,352.45	12,753.18	426,612.04	331,722.92	513,994.75	(276,430.07)	150,181.97

Portfolio Review and Analysis Prepared for:

Town of Addison

Dallas, TX

As of Date: 12/31/2021

Pricing as of: 12/31/2021

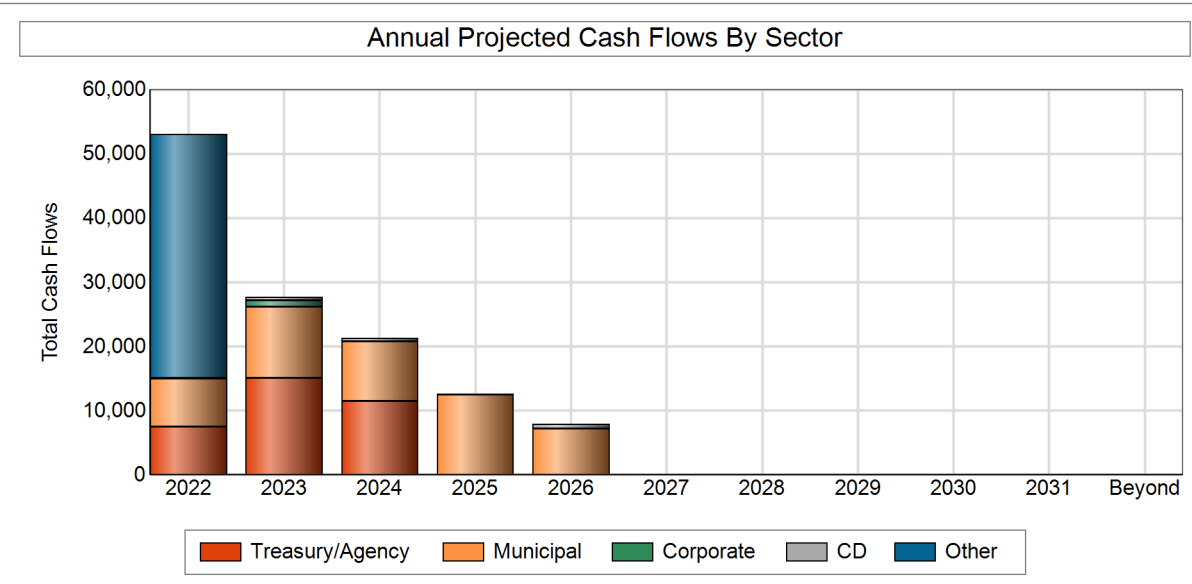
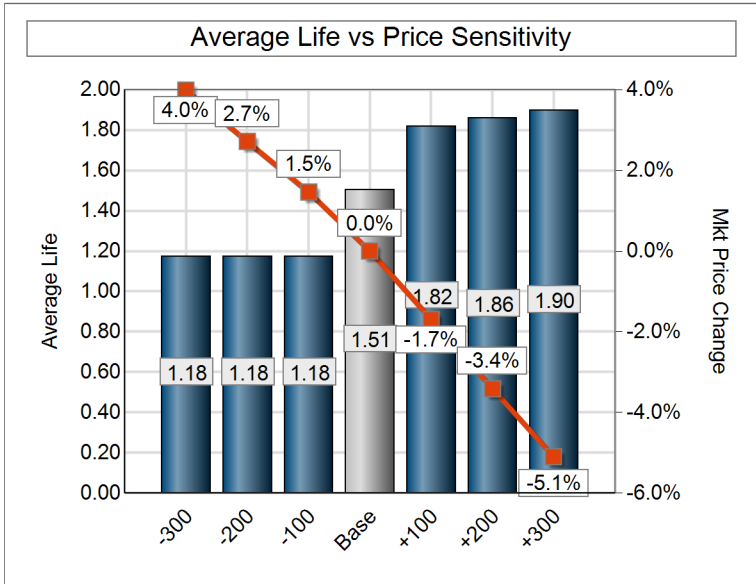
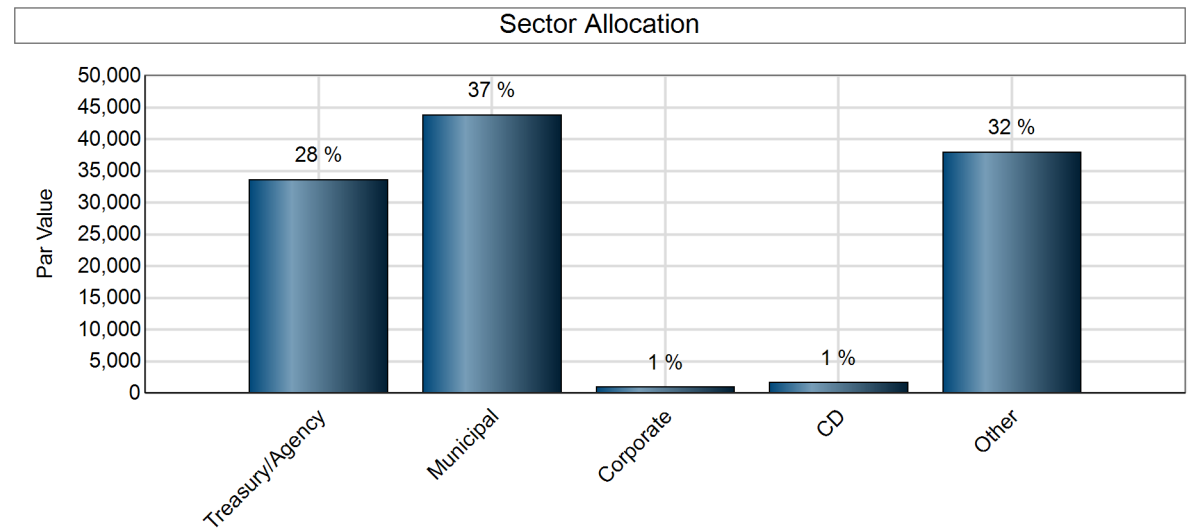
Date of Analysis: 01/06/2022

Account Executive: Luke Donenfeld

For Distribution to Institutional Clients Only

Portfolio Overview

Book Value	120,897
# Securities	82
Average Size (Book)	1,474
Book Yield	0.46
Market Yield	0.74
\$ Gain/Loss	-806
% Gain/Loss	-0.7%
Average Life	1.51
Average Life +300bps	1.90
% Price Change +100bps	-1.69
% Price Change +300bps	-5.10
Effective Duration	1.65
Effective Convexity	-0.05
AFS / HTM%	100% / 0%
Fixed / Floating	100% / 0%



Historical Portfolio Overview

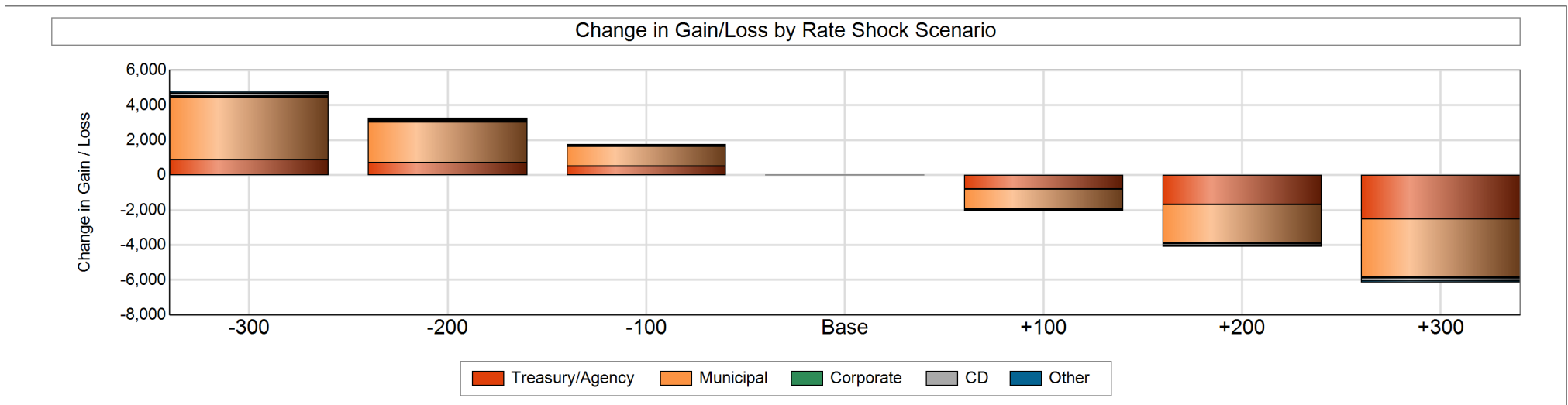
	12/31/2021	11/30/2021	10/31/2021	09/30/2021
Book Value	120,897	118,994	122,171	123,010
Book Yield	0.46	0.46	0.44	0.43
Book Yield (+100)	0.49	0.49	0.47	0.44
Book Yield (+300)	0.55	0.54	0.52	0.50
Book Yield (-100)	0.53	0.53	0.50	0.47
WAL	1.51	1.50	1.45	1.34
WAL (+100)	1.82	1.90	1.87	1.80
WAL (+300)	1.90	1.98	1.95	1.95
WAL (-100)	1.18	1.23	1.21	1.24
% Price Change (+100)	-1.7%	-1.8%	-1.7%	-1.7%
% Price Change (+300)	-5.1%	-5.3%	-5.2%	-5.2%
% Price Change (-100)	1.5%	1.5%	1.5%	1.4%
Effective Duration	1.65	1.69	1.67	1.58
Effective Convexity	-0.05	-0.15	-0.20	-0.47
\$ Gain/Loss	-806	-546	-522	-129
% Gain/Loss	-0.7%	-0.5%	-0.4%	-0.1%
Principal Cash Flow 2 yrs out	66%	69%	71%	76%
Principal Cash Flow 2 yrs out (+100)	58%	58%	59%	62%
Principal Cash Flow 2 yrs out (+300)	56%	56%	57%	58%
Principal Cash Flow 2 yrs out (-100)	76%	76%	76%	76%

Portfolio Sector Summary

Sector	Portfolio	Par			Book		Market		% Price Change			Gain/(Loss)		Effective			AFS
	%	Value	Cpn	Maturity	Value	Yield	Value	Yield	-100	+100	+300	\$	%	WAL	Dur	Cvx	%
Agency Bullet (2)	6.3%	7,500	2.51	1.1 yrs	7,648	0.74	7,673	0.45	1.1	-1.1	-3.3	25	0.3%	1.12	1.11	0.02	100 %
Callable Agency (14)	17.5%	20,667	0.55	3.0 yrs	20,662	0.57	20,388	1.22	1.7	-2.7	-8.1	-274	-1.3%	1.99	2.56	-0.15	100 %
Agency Step (4)	4.6%	5,500	0.46	4.4 yrs	5,500	0.62	5,454	1.31	1.4	-3.2	-10.9	-46	-0.8%	1.18	2.55	-1.09	100 %
Treasury/Agency (20)	28.5%	33,667	0.97	2.8 yrs	33,810	0.62	33,515	1.06	1.5	-2.4	-7.5	-295	-0.9%	1.66	2.23	-0.26	100 %
GM REV (1)	0.3%	315	4.00	3.5 yrs	353	0.68	352	0.77	3.4	-3.2	-9.4	-1	-0.3%	3.50	3.24	0.13	100 %
Taxable GO (21)	20.4%	24,153	3.72	3.0 yrs	25,866	0.66	25,631	0.97	2.5	-2.4	-7.1	-235	-0.9%	2.58	2.46	0.09	100 %
Taxable REV (31)	16.4%	19,424	2.07	3.0 yrs	20,064	0.62	19,803	1.17	2.5	-2.5	-7.5	-261	-1.3%	2.51	2.46	0.02	100 %
Municipal (53)	37.1%	43,892	2.99	3.0 yrs	46,283	0.65	45,786	1.06	2.5	-2.5	-7.3	-497	-1.1%	2.55	2.47	0.06	100 %
Services (1)	0.8%	1,000	4.11	1.5 yrs	1,052	0.60	1,045	1.08	1.5	-1.5	-4.3	-7	-0.7%	1.50	1.46	0.03	100 %
Corporate (1)	0.8%	1,000	4.11	1.5 yrs	1,052	0.60	1,045	1.08	1.5	-1.5	-4.3	-7	-0.7%	1.50	1.46	0.03	100 %
NCD (7)	1.4%	1,711	1.55	3.2 yrs	1,729	0.82	1,723	0.79	3.2	-3.0	-8.8	-6	-0.3%	3.19	3.09	0.13	100 %
CD (7)	1.4%	1,711	1.55	3.2 yrs	1,729	0.82	1,723	0.79	3.2	-3.0	-8.8	-6	-0.3%	3.19	3.09	0.13	100 %
Cash & Other (1)	32.1%	38,022	0.07	0.1 yrs	38,022	0.07	38,022	0.07	0.1	-0.1	-0.3	0	0.0%	0.08	0.09	0.00	100 %
Other (1)	32.1%	38,022	0.07	0.1 yrs	38,022	0.07	38,022	0.07	0.1	-0.1	-0.3	0	0.0%	0.08	0.09	0.00	100 %
Total (82)	100.0%	118,292	1.47	2.0 yrs	120,897	0.46	120,091	0.74	1.5	-1.7	-5.1	-806	-0.7%	1.51	1.65	-0.05	100 %

Shocked Analysis

	-300	-200	-100	Base	+100	+200	+300
Par Value	118,292	118,292	118,292	118,292	118,292	118,292	118,292
Book Value	120,897	120,897	120,897	120,897	120,897	120,897	120,897
Market Value	124,885	123,353	121,852	120,091	118,057	115,994	113,964
Gain/(Loss)	3,988	2,457	955	-806	-2,839	-4,903	-6,933
Gain/(Loss) %	3.3%	2.0%	0.8%	-0.7%	-2.4%	-4.1%	-5.7%
Gain/(Loss) % - Chg from Base	4.0%	2.7%	1.5%	0.0%	-1.7%	-3.4%	-5.1%
Book Price	102.20	102.20	102.20	102.20	102.20	102.20	102.20
Book Yield	0.53	0.53	0.53	0.46	0.49	0.52	0.55
Market Price	105.57	104.28	103.01	101.52	99.80	98.06	96.34
Market Yield	-2.79	-1.72	-0.61	0.74	1.71	2.73	3.73
WAL	1.18	1.18	1.18	1.51	1.82	1.86	1.90



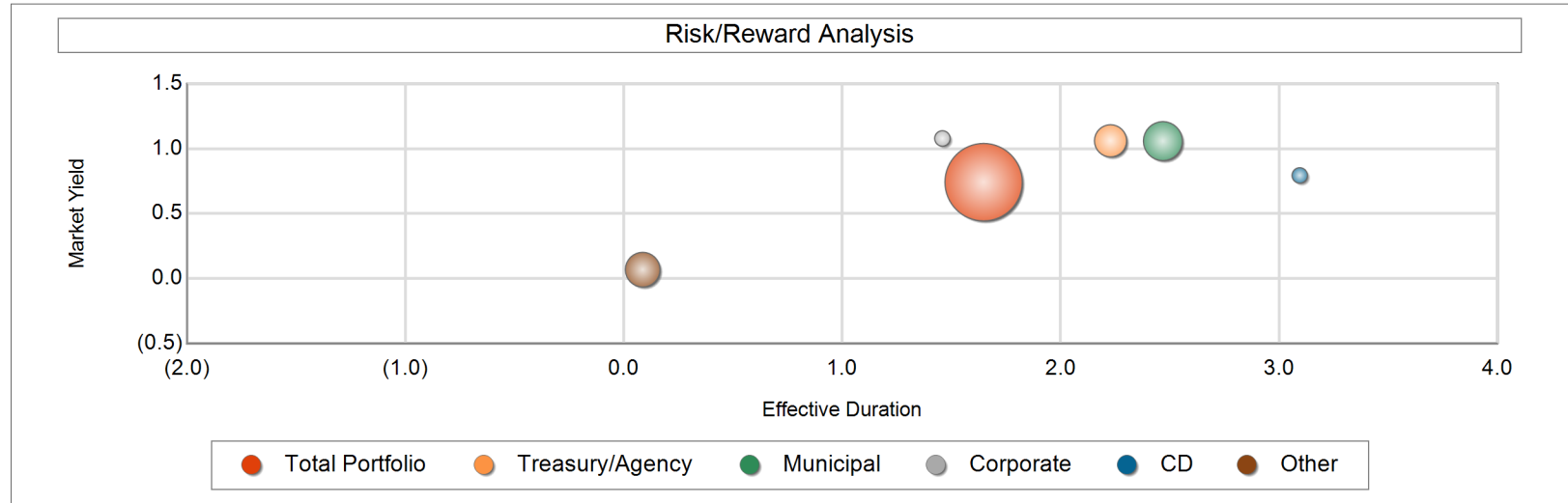
Price Risk & Market Value Change by Sector

Sector
Treasury/Agency
Municipal
Corporate
CD
Other
Total
Scenario Market Val
Scenario Gain/Loss

AFS						
	% Change in Market Value from Level					Eff
	-100	Mkt Value	+100	+200	+300	Dur
Treasury/Agency	1.5%	33,515	-2.4%	-5.0%	-7.5%	2.2%
Municipal	2.5%	45,786	-2.5%	-4.9%	-7.3%	2.5%
Corporate	1.5%	1,045	-1.5%	-2.9%	-4.4%	1.5%
CD	3.2%	1,723	-3.0%	-6.0%	-8.8%	3.1%
Other	0.1%	38,022	-0.1%	-0.2%	-0.3%	0.1%
Total	1.5%	120,091	-1.7%	-3.4%	-5.1%	1.6%
Scenario Market Val	121,852	120,091	118,057	115,994	113,964	
Scenario Gain/Loss	955	-806	-2,839	-4,903	-6,933	

HTM						
	% Change in Market Value from Level					Eff
	-100	Mkt Value	+100	+200	+300	Dur
Treasury/Agency						
Municipal						
Corporate						
CD						
Other						
Total						
Scenario Market Val						
Scenario Gain/Loss						

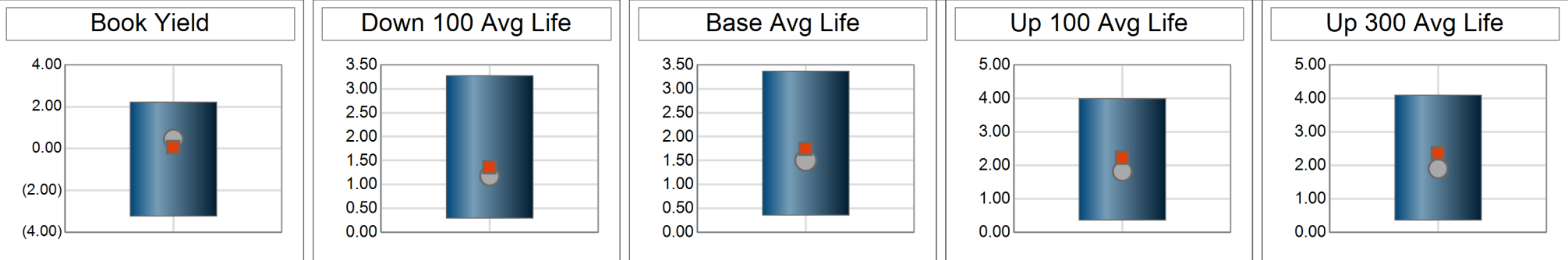
Total Portfolio (AFS + HTM):	
% Change in Market Val from Level	
-200 bps	2.7%
-100 bps	1.5%
Level Market Val	120,091
+100 bps	-1.7%
+200 bps	-3.4%
+300 bps	-5.1%
+400 bps	
Effective Duration	1.65
Effective Convexity	-0.05



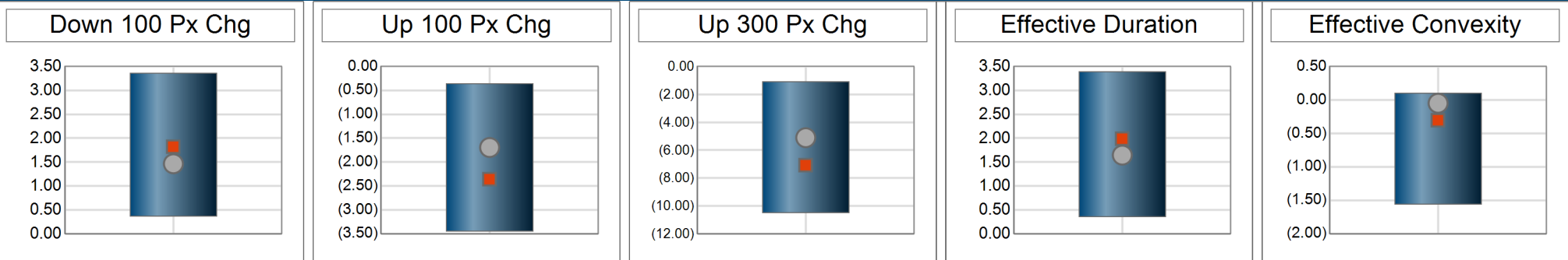
Peer Group Comparison

Peer	As of Date	Book Value	G/L %	Book Yield	Average Life			% Price Change			Effective		UST Agy	Fix MBS	Fix ARM	Fix CMO	Flt CMO	Agy CMBS	Sm Bus.	Prv Label	Muni	Corp	CD &		% Fixed	% AFS
					Base	-100	+300	-100	+100	+300	Dur	Cvx											Oth			
1	09/21	110,143	0.0	0.43	0.9	0.6	1.8	0.8	-1.6	-4.9	1.3	-1.0	44%	0%	0%	0%	0%	0%	0%	0%	0%	0%	55%	100%	100%	
2	09/21	101,494	0.0	0.78	1.7	1.4	3.0	1.2	-2.7	-8.1	2.3	-1.6	50%	0%	0%	0%	0%	0%	0%	0%	17%	20%	13%	99%	100%	
3	10/21	142,561	-0.1	0.33	1.2	1.0	1.4	1.2	-1.3	-4.0	1.3	-0.1	89%	0%	0%	0%	0%	0%	0%	0%	4%	0%	7%	100%	100%	
4	11/21	98,932	-0.8	0.89	1.8	0.7	3.3	1.6	-2.8	-8.8	2.6	-0.7	98%	0%	0%	0%	0%	0%	0%	0%	0%	0%	2%	100%	100%	
5	11/21	183,897	0.7	1.59	2.6	2.6	2.7	2.6	-2.5	-7.3	2.6	0.1	67%	0%	0%	0%	0%	0%	0%	0%	10%	12%	11%	100%	100%	
6	11/21	49,455	0.2	0.48	0.5	0.4	0.6	0.5	-0.6	-1.7	0.5	0.0	2%	0%	0%	0%	0%	0%	0%	0%	0%	7%	92%	100%	100%	
7	10/21	49,225	0.4	0.64	0.4	0.4	0.5	0.4	-0.5	-1.4	0.5	-0.1	3%	0%	0%	0%	0%	0%	0%	0%	2%	4%	92%	100%	100%	
8	10/21	48,396	0.3	0.99	2.5	2.5	2.6	2.6	-2.5	-7.2	2.5	0.1	63%	0%	0%	0%	0%	1%	0%	9%	7%	16%	3%	96%	100%	
9	09/21	46,875	3.7	2.24	3.4	3.3	3.8	3.4	-3.4	-9.9	3.4	-0.1	47%	3%	0%	0%	0%	0%	0%	0%	6%	28%	15%	97%	100%	
10	09/21	39,662	-0.6	0.83	2.0	1.1	4.0	1.8	-3.1	-9.7	2.7	-1.4	67%	2%	0%	2%	0%	0%	0%	0%	0%	13%	17%	94%	100%	
11	11/21	202,145	-1.0	0.31	1.7	0.6	2.5	1.5	-2.3	-6.9	2.2	-0.3	79%	0%	0%	0%	0%	0%	0%	0%	21%	0%	0%	100%	100%	
12	09/21	36,897	-0.1	1.20	2.1	1.0	4.1	1.9	-3.4	-10.4	3.0	-1.5	67%	0%	0%	0%	0%	0%	0%	0%	1%	0%	32%	99%	100%	
13	12/21	31,578	-1.7	0.86	2.7	0.8	3.9	2.4	-3.3	-10.1	3.2	-0.2	99%	0%	0%	0%	0%	0%	0%	0%	0%	0%	1%	100%	100%	
14	12/21	20,613	-1.4	0.77	2.4	0.5	3.5	2.0	-3.1	-9.3	2.9	-0.2	99%	0%	0%	0%	0%	0%	0%	0%	0%	0%	1%	100%	100%	
15	09/21	20,315	-0.3	1.07	2.6	2.0	3.6	2.6	-3.3	-9.7	3.1	-0.5	54%	0%	0%	0%	0%	0%	0%	0%	1%	6%	39%	100%	100%	
16	09/21	15,237	0.8	1.55	2.9	2.9	2.9	2.8	-2.7	-7.9	2.8	0.1	0%	0%	0%	0%	0%	0%	0%	0%	40%	31%	30%	100%	100%	
17	09/21	13,837	1.7	1.68	2.5	2.5	2.6	2.5	-2.4	-7.1	2.5	0.1	0%	0%	0%	0%	0%	0%	0%	0%	25%	38%	37%	100%	100%	
18	09/21	229,718	1.1	1.18	0.8	0.8	1.2	0.9	-1.1	-3.3	1.0	-0.4	34%	0%	0%	0%	0%	0%	0%	0%	0%	5%	61%	100%	100%	
19	12/21	11,000	-1.4	0.81	2.7	0.3	4.0	1.8	-3.4	-10.5	3.2	-0.6	100%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	100%	100%	
20	12/21	7,541	-0.4	1.31	3.3	3.0	3.5	3.2	-3.3	-9.5	3.3	0.1	17%	0%	0%	0%	0%	0%	0%	0%	0%	3%	79%	100%	100%	
21	12/21	4,442	-2.0	0.67	2.8	0.5	3.9	2.3	-3.5	-10.4	3.3	-0.1	100%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	100%	100%	
22	09/21	490	0.8	2.14	0.4	0.4	0.4	0.4	-0.4	-1.1	0.4	0.0	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	100%	50%	0%	
23	12/21	248,708	-0.1	1.93	2.2	2.1	2.2	2.2	-2.1	-6.2	2.2	0.1	100%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	100%	100%	
24	11/21	294,911	-0.6	-0.75	1.0	0.4	1.6	0.8	-1.5	-4.6	1.4	-0.4	64%	0%	0%	0%	0%	0%	0%	0%	29%	7%	0%	100%	100%	
25	10/21	426,138	-0.3	-3.23	2.3	2.2	3.1	2.3	-2.4	-7.3	2.4	-0.1	56%	0%	0%	0%	0%	0%	0%	0%	33%	12%	0%	100%	100%	
Avg		97,368	0.0	0.83	2.0	1.3	2.7	1.8	-2.4	-7.1	2.3	-0.3	56%	0%	0%	0%	0%	0%	0%	0%	8%	8%	28%	97%	96%	
Port		120,897	-0.7	0.46	1.5	1.2	1.9	1.5	-1.7	-5.1	1.6	0.0	28%	0%	0%	0%	0%	0%	0%	0%	38%	1%	33%	100%	100%	
Diff		23,528	-0.6	-0.37	-0.5	-0.2	-0.8	-0.4	0.7	2.0	-0.6	0.3	-28%	0%	0%	0%	0%	0%	0%	0%	30%	-7%	5%	3%	4%	

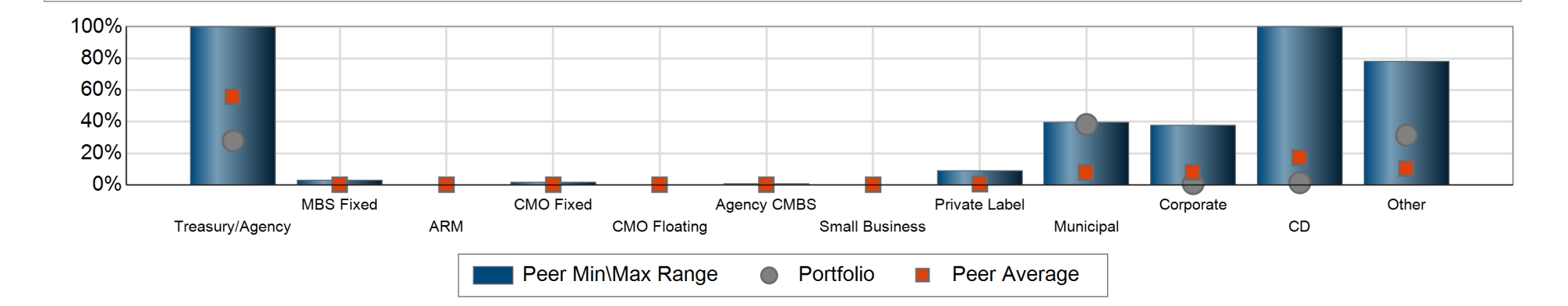
Yield and Average Life Peer Comparison



Price Risk Peer Comparison



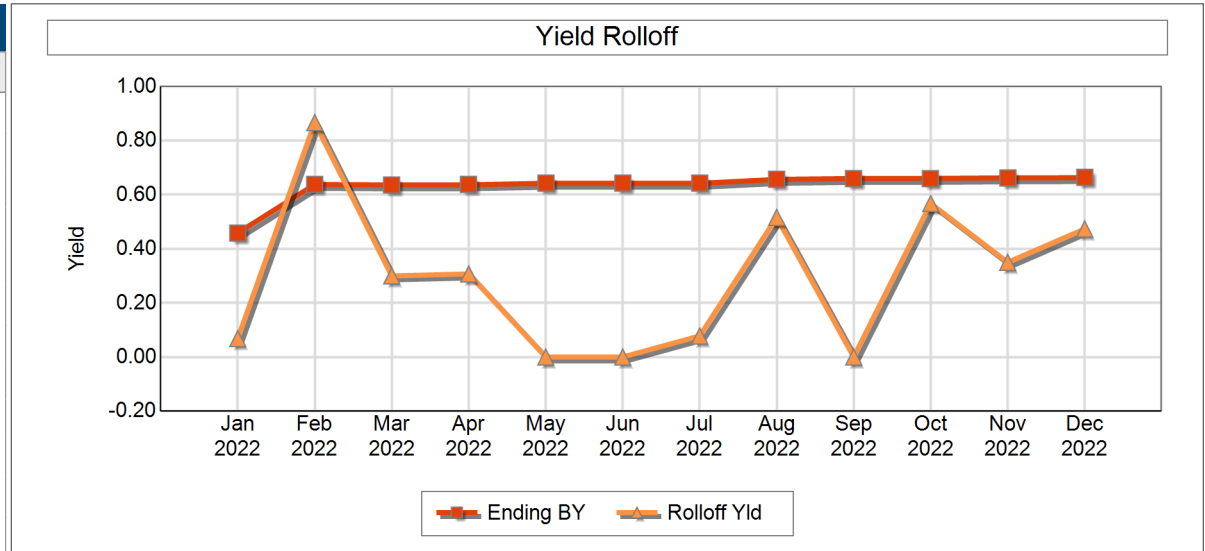
Portfolio vs. Peer Allocations



Each box contains the range of your peer group, the top and bottom of the boxes being the max and min. The red box is the avg. of your peers within the range and the gray dot represents your position.

Cash Flow and Yield Rolloff - Next 12 Months

Yield Rolloff				
Month	Begin BY	Principal CF	Rolloff Yld	Ending BY
Jan 2022	0.46	38,022	0.07	0.64
Feb 2022	0.64	506	0.87	0.64
Mar 2022	0.64	110	0.30	0.64
Apr 2022	0.64	1,358	0.31	0.64
May 2022	0.64	0	0.00	0.64
Jun 2022	0.64	0	0.00	0.64
Jul 2022	0.64	2,000	0.08	0.66
Aug 2022	0.66	1,875	0.52	0.66
Sep 2022	0.66	0	0.00	0.66
Oct 2022	0.66	1,765	0.57	0.66
Nov 2022	0.66	124	0.35	0.66
Dec 2022	0.66	5,667	0.47	0.68

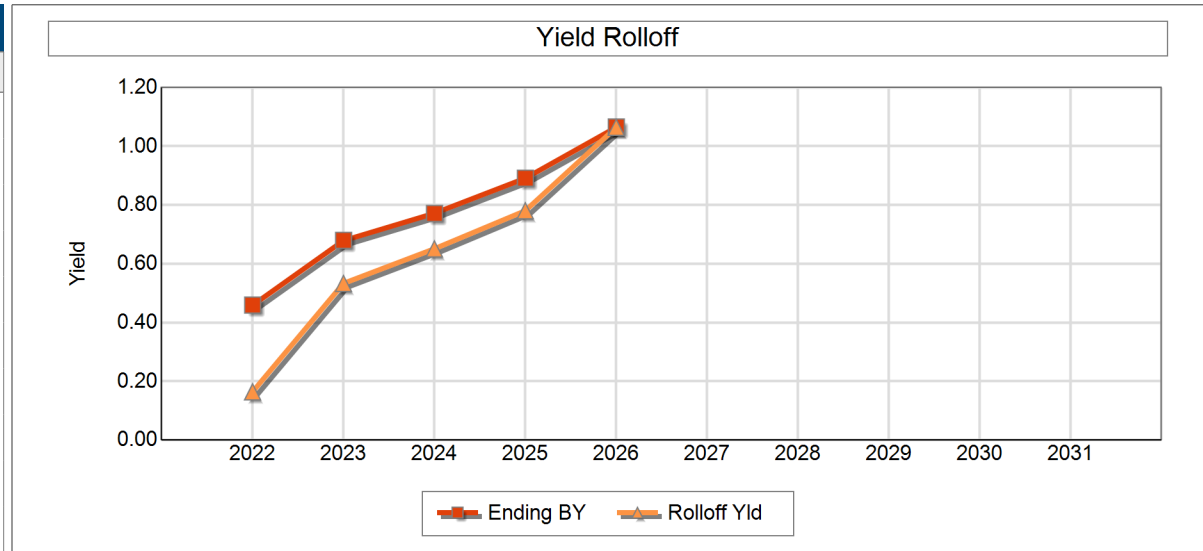


Shocked Principal and Interest Cash Flow Analysis

Year	-300	-200	-100	Base	+100	+200	+300
Jan 2022	47,759	47,759	47,759	38,085	38,085	38,085	38,085
Feb 2022	3,800	3,800	3,800	805	805	805	805
Mar 2022	4,756	4,756	4,756	253	253	253	253
Apr 2022	2,578	2,578	2,578	1,581	1,581	1,581	1,581
May 2022	20	20	20	27	27	27	27
Jun 2022	91	91	91	100	100	100	100
Jul 2022	2,060	2,060	2,060	2,068	268	268	268
Aug 2022	5,153	5,153	5,153	2,165	2,165	2,165	2,165
Sep 2022	1,132	1,132	1,132	143	143	143	143
Oct 2022	1,967	1,967	1,967	1,974	1,974	1,974	1,974
Nov 2022	144	144	144	155	155	155	155
Dec 2022	3,091	3,091	3,091	5,772	3,102	3,102	3,102

Cash Flow and Yield Rolloff - Next 10 Years

Yield Rolloff				
Year	Begin BY	Principal CF	Rolloff Yld	Ending BY
2022	0.46	51,427	0.16	0.68
2023	0.68	26,471	0.53	0.77
2024	0.77	20,475	0.65	0.89
2025	0.89	12,077	0.78	1.06
2026	1.06	7,842	1.06	
2027				
2028				
2029				
2030				
2031				



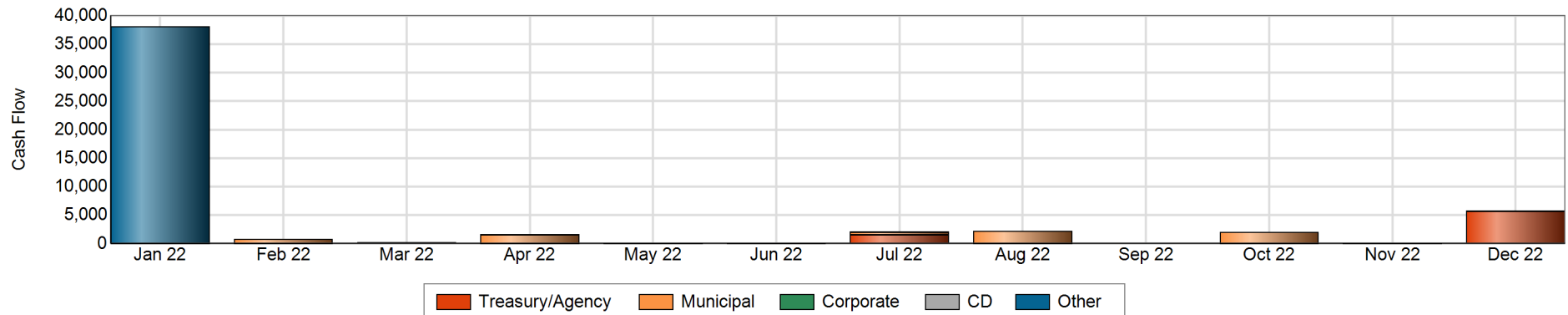
Shocked Principal and Interest Cash Flow Analysis

Year	-300	-200	-100	Base	+100	+200	+300
2022	72,552	72,552	72,552	53,128	48,658	48,658	48,658
2023	19,623	19,623	19,623	27,734	23,275	20,834	20,834
2024	9,756	9,756	9,756	21,321	14,927	15,644	13,072
2025	12,587	12,587	12,587	12,587	22,221	22,560	23,350
2026	7,950	7,950	7,950	7,950	14,006	15,521	17,462

Sector Cash Flow and Yield Rolloff - Next 12 Months

		Jan 22	Feb 22	Mar 22	Apr 22	May 22	Jun 22	Jul 22	Aug 22	Sep 22	Oct 22	Nov 22	Dec 22	Bk Yld Chg
Treasury/Agency	Cash Flow	10	20	76	7	7	44	1,510	20	76	7	11	5,715	
	End BY	0.62	0.62	0.62	0.62	0.62	0.62	0.63	0.63	0.63	0.63	0.63	0.67	0.05
Municipal	Cash Flow	29	783	172	1,573	20	52	537	2,143	62	1,966	144	52	
	End BY	0.65	0.64	0.64	0.65	0.65	0.65	0.67	0.68	0.68	0.68	0.68	0.68	0.04
Corporate	Cash Flow	21	0	0	0	0	0	21	0	0	0	0	0	
	End BY	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.60	0.00
CD	Cash Flow	1	2	5	1	1	5	1	2	5	1	1	5	
	End BY	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.00
Other	Cash Flow	38,025	0	0	0	0	0	0	0	0	0	0	0	
	End BY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	Cash Flow	38,085	805	253	1,581	27	100	2,068	2,165	143	1,974	155	5,772	
	End BY	0.64	0.64	0.64	0.64	0.64	0.64	0.66	0.66	0.66	0.66	0.66	0.68	0.04

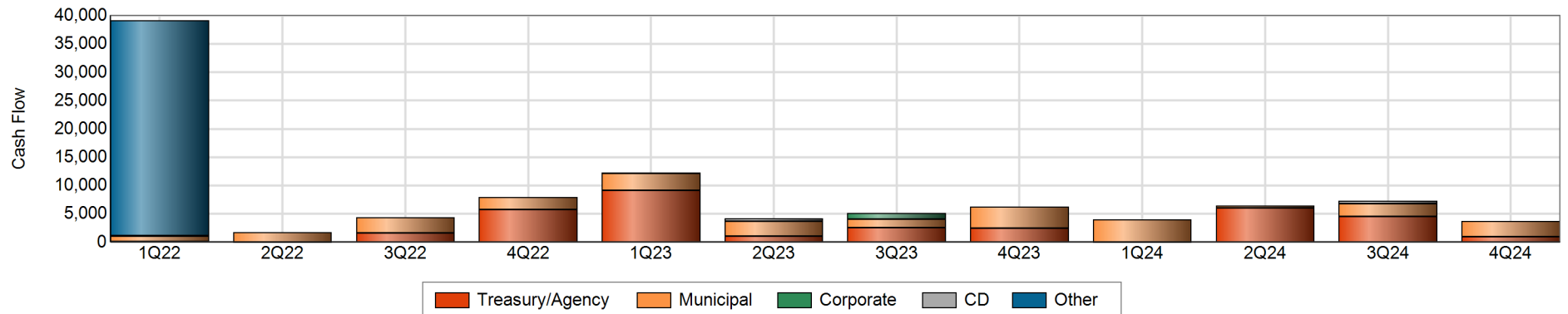
Total Cash Flows By Sector



Sector Cash Flow and Yield Rolloff - Next 3 Years

		1Q22	2Q22	3Q22	4Q22	1Q23	2Q23	3Q23	4Q23	1Q24	2Q24	3Q24	4Q24	Bk Yld Chg
Treasury/Agency	Cash Flow	106	58	1,606	5,733	9,103	1,025	2,537	2,514	31	6,015	4,517	1,003	
	End BY	0.62	0.62	0.63	0.67	0.66	0.67	0.66	0.68	0.68	0.71	1.00	4.00	3.38
Municipal	Cash Flow	984	1,645	2,741	2,162	3,100	2,653	1,544	3,713	3,945	380	2,282	2,647	
	End BY	0.64	0.65	0.68	0.68	0.70	0.76	0.77	0.80	0.85	0.85	0.85	0.88	0.24
Corporate	Cash Flow	21	0	21	0	21	0	1,021	0	0	0	0	0	
	End BY	0.60	0.60	0.60	0.60	0.60	0.60	0.00	0.00	0.00	0.00	0.00	0.00	-0.60
CD	Cash Flow	7	6	8	6	7	491	6	0	6	0	496	0	
	End BY	0.82	0.82	0.82	0.82	0.82	0.92	0.92	0.92	0.92	0.92	1.10	1.10	0.28
Other	Cash Flow	38,025	0	0	0	0	0	0	0	0	0	0	0	
	End BY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	Cash Flow	39,143	1,708	4,375	7,901	12,231	4,169	5,107	6,227	3,982	6,395	7,295	3,650	
	End BY	0.64	0.64	0.66	0.68	0.69	0.73	0.74	0.77	0.80	0.83	0.87	0.89	0.25

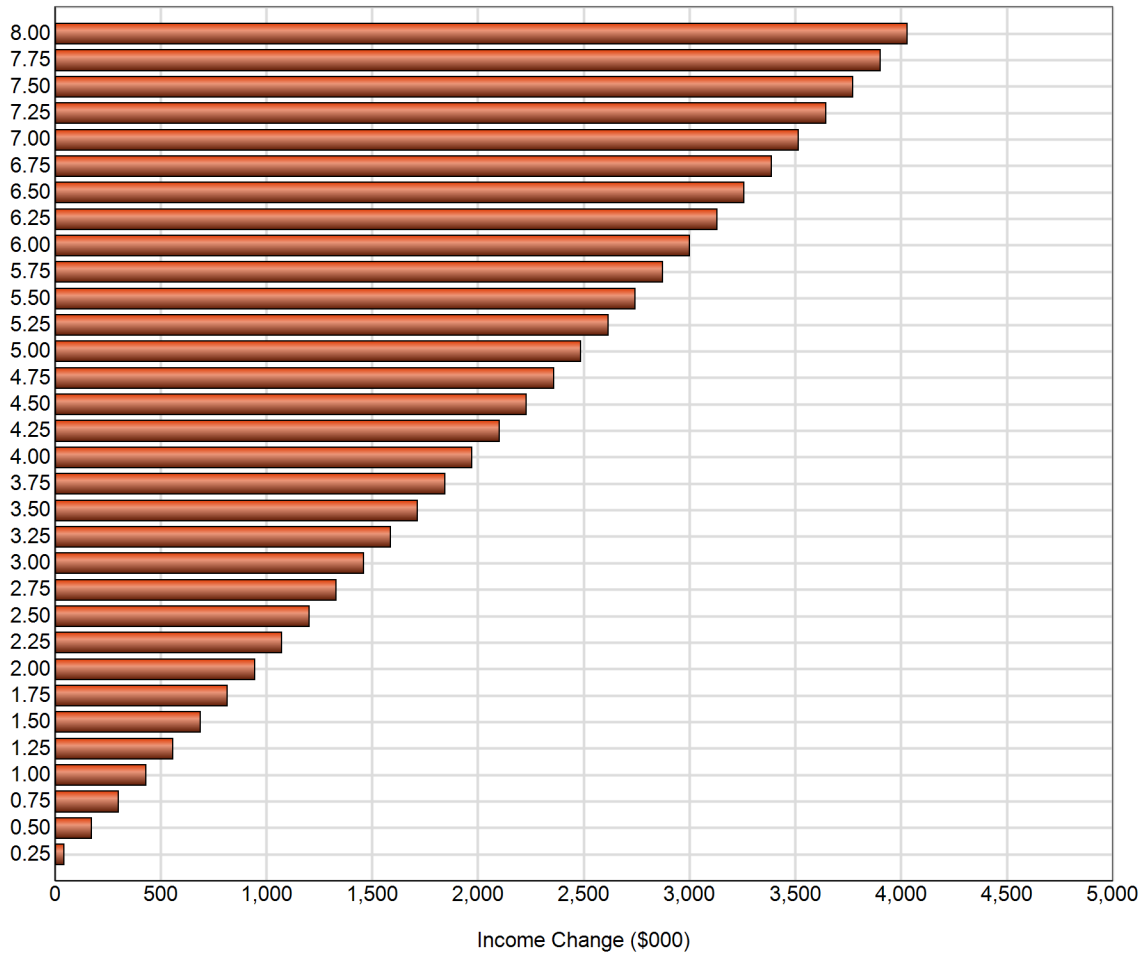
Total Cash Flows By Sector



Gap Analysis - Potential Income Impact of Principal Reinvestment

Principal Maturing(12 Mo.Horizon): \$51,427
 Current Book Yield of Principal Maturing: 0.16%
 Est. Annual Income at Current Book Yield: \$84

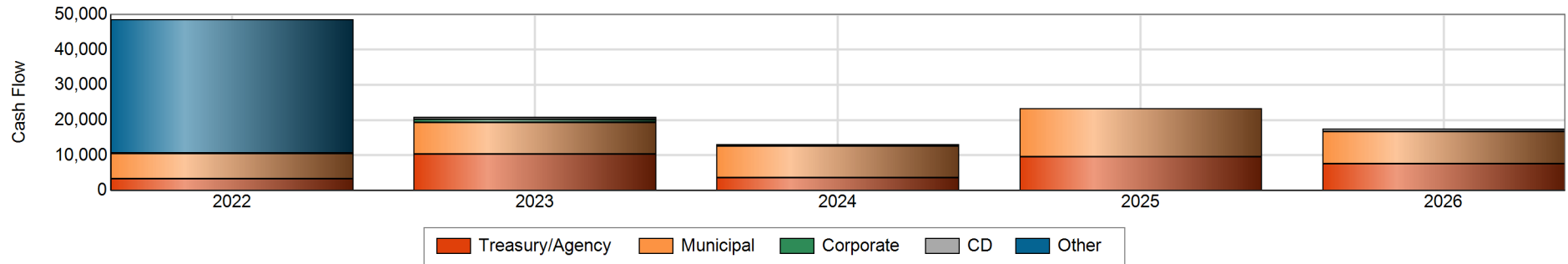
Estimated Impact on Income at Various Reinvestment Yields



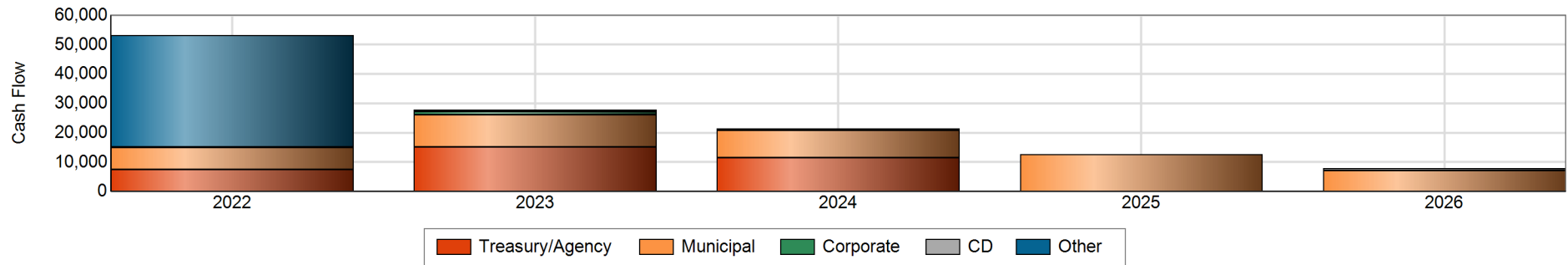
Assumed Reinvestment Yield	Estimated Annualized Income	Income Difference from Current Book	Resulting Book Yield
0.25	129	44	0.50
0.50	257	173	0.60
0.75	386	301	0.71
1.00	514	430	0.82
1.25	643	558	0.93
1.50	771	687	1.04
1.75	900	816	1.15
2.00	1,029	944	1.26
2.25	1,157	1,073	1.37
2.50	1,286	1,201	1.47
2.75	1,414	1,330	1.58
3.00	1,543	1,458	1.69
3.25	1,671	1,587	1.80
3.50	1,800	1,716	1.91
3.75	1,929	1,844	2.02
4.00	2,057	1,973	2.13
4.25	2,186	2,101	2.23
4.50	2,314	2,230	2.34
4.75	2,443	2,358	2.45
5.00	2,571	2,487	2.56
5.25	2,700	2,615	2.67
5.50	2,828	2,744	2.78
5.75	2,957	2,873	2.89
6.00	3,086	3,001	3.00
6.25	3,214	3,130	3.10
6.50	3,343	3,258	3.21
6.75	3,471	3,387	3.32
7.00	3,600	3,515	3.43
7.25	3,728	3,644	3.54
7.50	3,857	3,773	3.65
7.75	3,986	3,901	3.76
8.00	4,114	4,030	3.87

Shocked Cash Flows By Sector - Next 10 Years

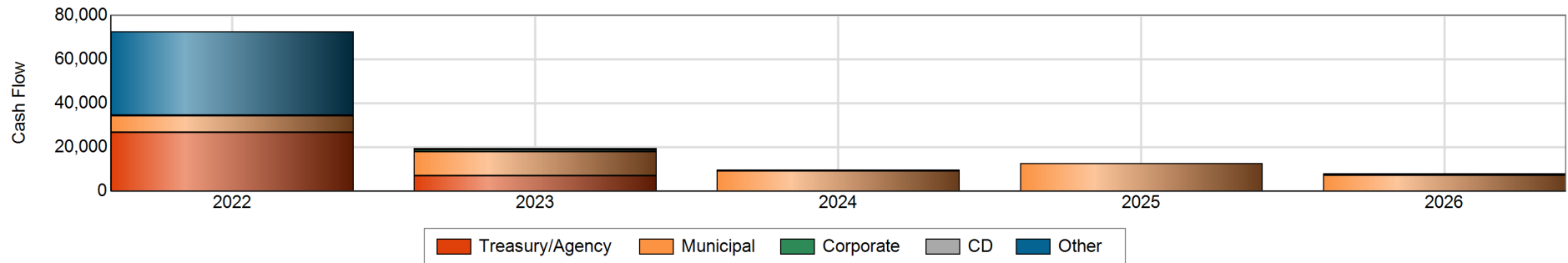
+300 Scenario



Base Scenario

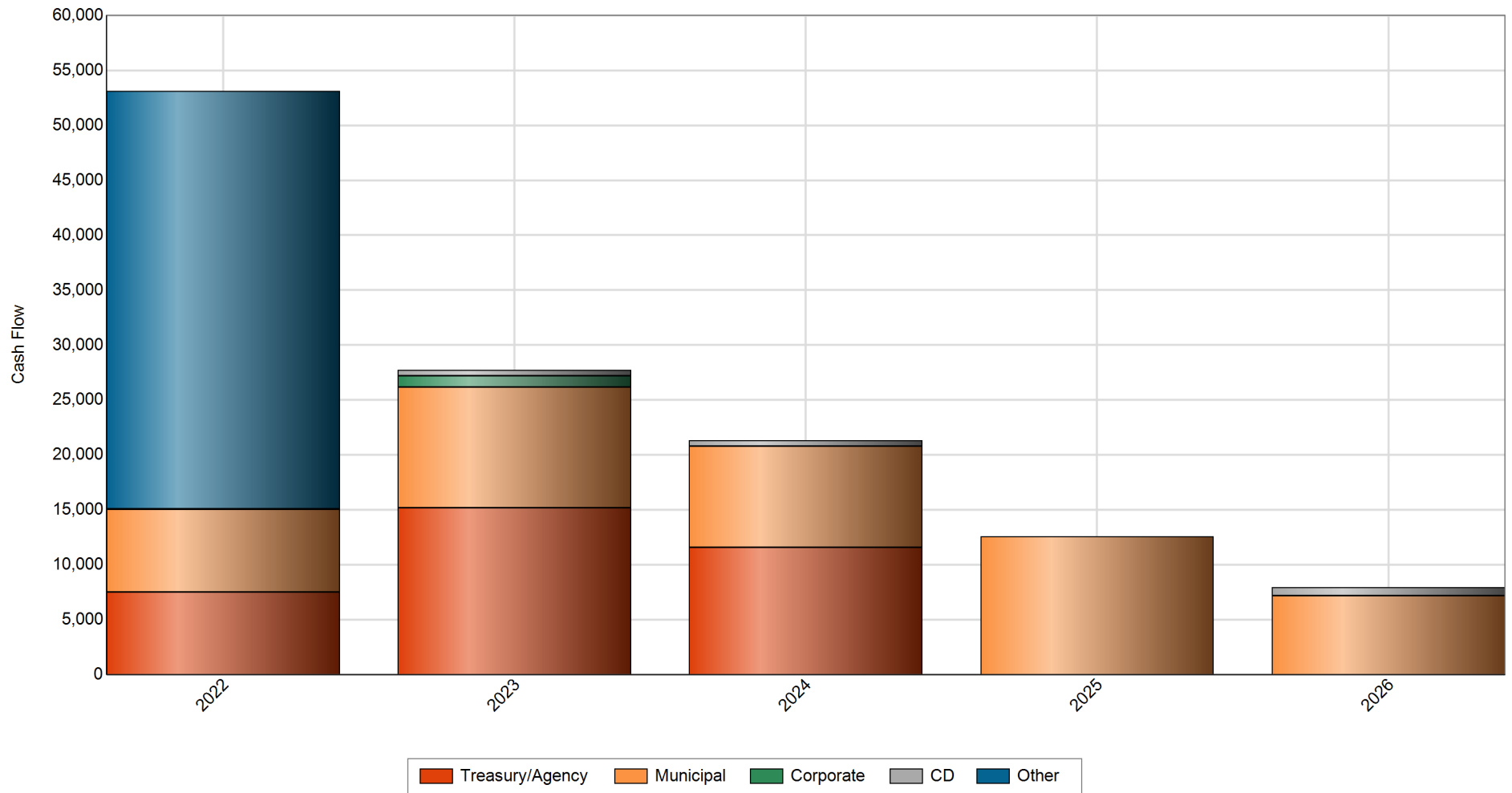


-300 Scenario



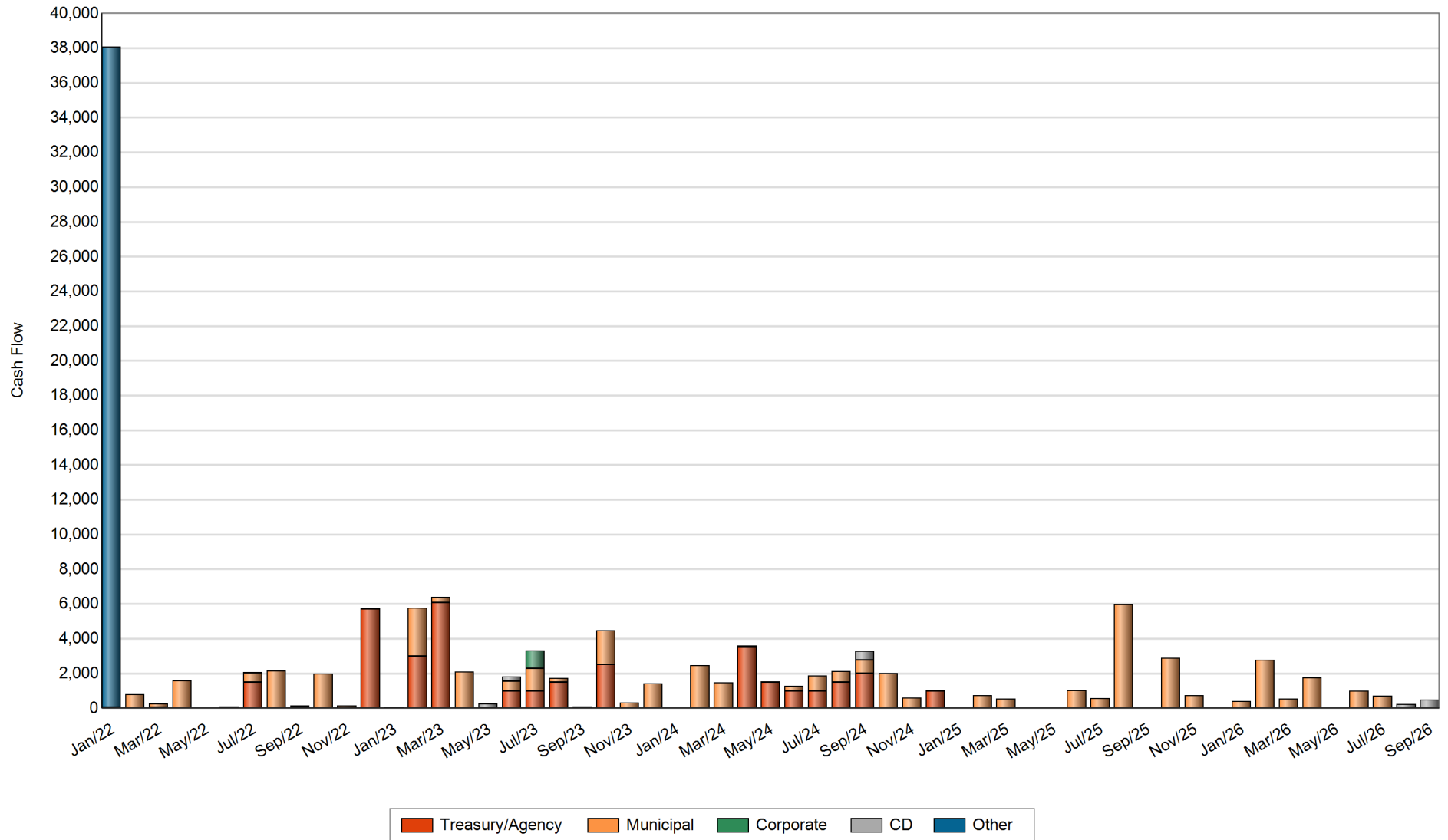
Cash Flows By Sector - Next 30 Years

Base Scenario



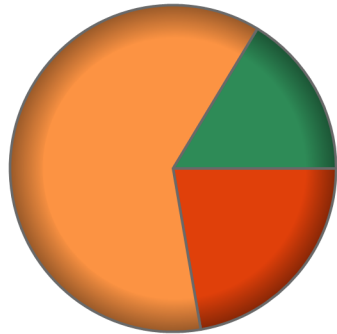
Cash Flow Projection By Month - Next 5 Years

Total Monthly Cash Flows By Sector



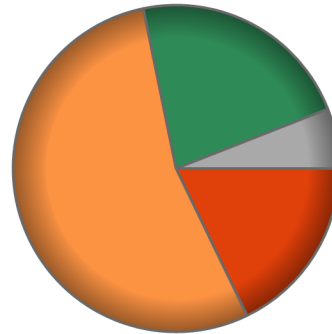
Agency - Summary

Subsector



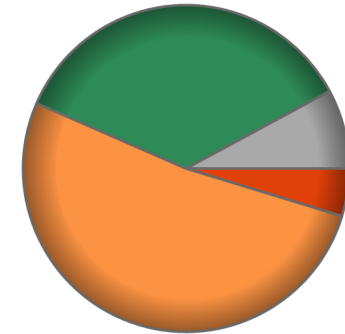
Agency Bullet (22%) Agency Step (16%)
 Callable Agency (61%)

Issuer



FFCB (18%) FNMA (22%) Other (6%)
 FHLB (54%)

Call Type



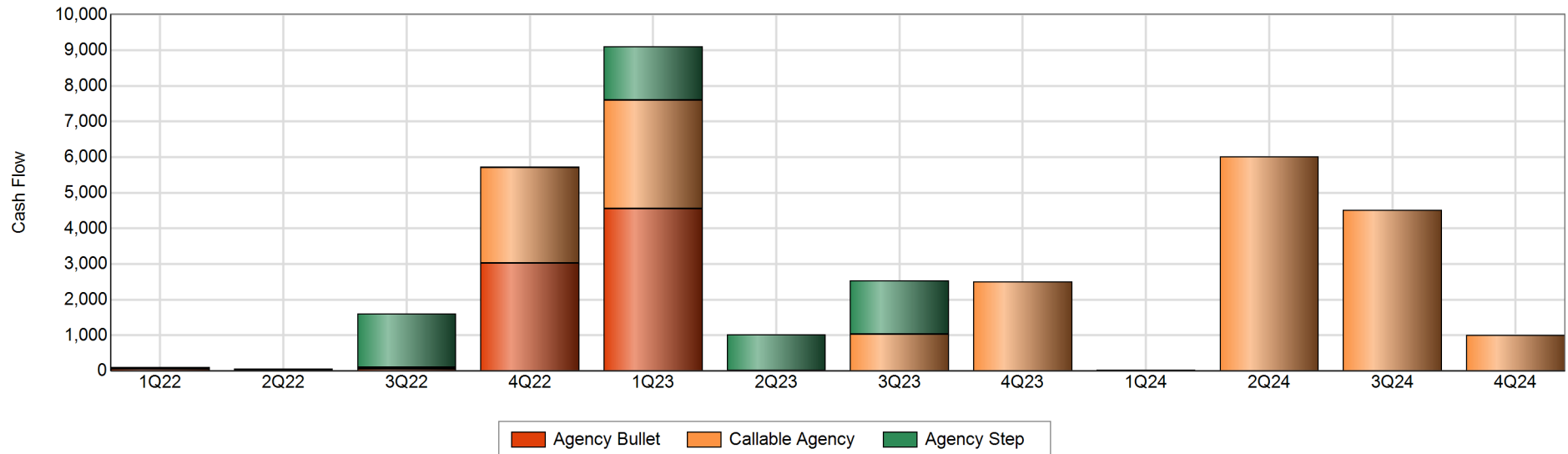
Monthly (6%) Anytime (22%)
 Quarterly (63%) One time (10%)

Subsector	Sector %	Par Value	Cpn	Maturity Next Call	Book		Market		G/L \$	G/L %	WAL	% Px Δ	Dur	Cvx	AFS %
					Price Value	Yield	Price Value	Yield	Base + 300	Base + 300	Base + 300	-100 +300	Base	Base	
Agency Bullet (2)	22.3%	7,500	2.51	1.1 yrs	101.98 7,648	0.74	102.31 7,673	0.45	25 -227	0.3% -3.0%	1.12 1.12	1.1 -3.3	1.11	0.02	100.0%
Callable Agency (14)	61.4%	20,667	0.55	3.0 yrs 0.3 yrs	99.98 20,662	0.57	98.65 20,388	1.22	-274 -1,932	-1.3% -9.4%	1.99 3.00	1.7 -8.1	2.56	-0.15	100.0%
Agency Step (4)	16.3%	5,500	0.46	4.4 yrs 0.5 yrs	100.00 5,500	0.62	99.17 5,454	1.31	-46 -641	-0.8% -11.7%	1.18 4.45	1.4 -10.9	2.55	-1.09	100.0%
Total (20)	100.0%	33,667	0.97	2.8 yrs 0.3 yrs	100.43 33,810	0.62	99.55 33,515	1.06	-295 -2,800	-0.9% -8.3%	1.66 2.82	1.5 -7.5	2.23	-0.26	100.0%

Agency - Sector Cash Flow and Yield Rolloff - Next 3 Years

		1Q22	2Q22	3Q22	4Q22	1Q23	2Q23	3Q23	4Q23	1Q24	2Q24	3Q24	4Q24	Bk Yld Chg
Agency Bullet	Cash Flow	62	32	62	3,032	4,562	0	0	0	0	0	0	0	
	End BY	0.74	0.74	0.74	0.84	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.74
Callable Agency	Cash Flow	42	15	42	2,685	3,038	15	1,033	2,514	31	6,015	4,517	1,003	
	End BY	0.57	0.57	0.57	0.61	0.65	0.65	0.66	0.68	0.68	0.71	1.00	0.57	0.00
Agency Step	Cash Flow	2	10	1,502	16	1,504	1,010	1,504	0	0	0	0	0	
	End BY	0.62	0.62	0.74	0.74	0.69	0.89	0.00	0.00	0.00	0.00	0.00	0.00	-0.62
Total	Cash Flow	106	58	1,606	5,733	9,103	1,025	2,537	2,514	31	6,015	4,517	1,003	
	End BY	0.62	0.62	0.63	0.67	0.66	0.67	0.66	0.68	0.68	0.71	1.00	4.00	3.38

Total Cash Flows By Subsector



Callable Agency Rate Shock Analysis – Projected Par Value Redeemed at NEXT Call Date – Monthly

	-100	-75	-50	-25	0	25	50	75	100
Dec 21	-	-	-	-	-	-	-	-	-
Jan 22	7,167	5,167	-	-	-	-	-	-	-
Feb 22	3,000	1,500	-	-	-	-	-	-	-
Mar 22	3,000	1,000	-	-	-	-	-	-	-
Apr 22	2,000	2,000	-	-	-	-	-	-	-
May 22	-	-	-	-	-	-	-	-	-
Jun 22	-	-	-	-	-	-	-	-	-
Jul 22	-	-	-	-	-	-	-	-	-
Aug 22	3,000	3,000	3,000	-	-	-	-	-	-
Sep 22	1,000	1,000	-	-	-	-	-	-	-
Oct 22	-	-	-	-	-	-	-	-	-
Nov 22	-	-	-	-	-	-	-	-	-

Callable Agency Rate Shock Analysis – Projected Par Value Redeemed at NEXT Call Date – Quarterly

	-100	-75	-50	-25	0	25	50	75	100
4Q21	-	-	-	-	-	-	-	-	-
1Q22	13,167	7,667	-	-	-	-	-	-	-
2Q22	2,000	2,000	-	-	-	-	-	-	-
3Q22	4,000	4,000	3,000	-	-	-	-	-	-
4Q22	-	-	-	-	-	-	-	-	-
1Q23	1,500	1,500	-	-	-	-	-	-	-
2Q23	-	-	-	-	-	-	-	-	-
3Q23	-	-	-	-	-	-	-	-	-
4Q23	-	-	-	-	-	-	-	-	-
1Q24	-	-	-	-	-	-	-	-	-
2Q24	-	-	-	-	-	-	-	-	-
3Q24	-	-	-	-	-	-	-	-	-
4Q24	-	-	-	-	-	-	-	-	-
1Q25	-	-	-	-	-	-	-	-	-
2Q25	-	-	-	-	-	-	-	-	-
3Q25	-	-	-	-	-	-	-	-	-

Shocked Agency Projected Call Schedule - Next 12 Months

	-300	-200	-100	Base	+100	+200	+300
Dec 21	-	-	-	-	-	-	-
Jan 22	9,667	9,667	9,667	-	-	-	-
Feb 22	3,000	3,000	3,000	-	-	-	-
Mar 22	4,500	4,500	4,500	-	-	-	-
Apr 22	1,000	1,000	1,000	-	-	-	-
May 22	-	-	-	-	-	-	-
Jun 22	-	-	-	-	-	-	-
Jul 22	1,500	1,500	1,500	1,500	-	-	-
Aug 22	3,000	3,000	3,000	-	-	-	-
Sep 22	1,000	1,000	1,000	-	-	-	-
Oct 22	-	-	-	-	-	-	-
Nov 22	-	-	-	-	-	-	-

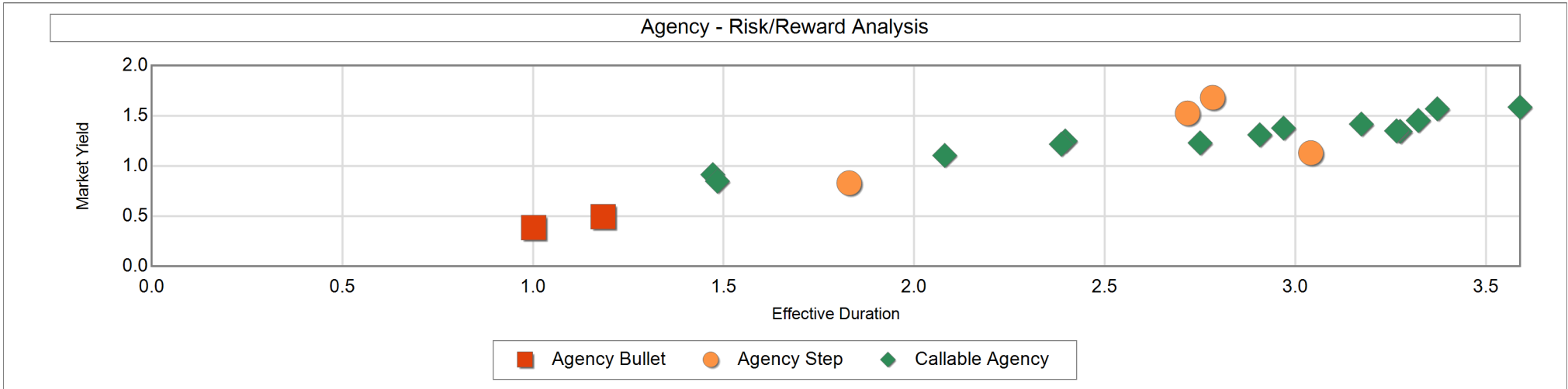
Agency Projected Call Report - CUSIP Level

Sec No.	CUSIP	Issuer	Cpn	Cpn Type	Maturity	Par Value	Next Call	Call Type	Mkt Price	Gain/Loss	Projected Shocked Call Dates						
											-300	-200	-100	Base	+100	+200	+300
30	3130AND72	FHLB	0.43	Fixed	04/29/24	1,000	01/29/22	Quarterly	98.942	-11	01/22	01/22	01/22	07/23	04/24	04/24	04/24
34	3130APAF2	FHLB	0.52	Fixed	10/07/24	1,000	04/07/22	Quarterly	98.779	-12	01/22	01/22	01/22	10/23	10/24	10/24	10/24
36	3130AMJX1	FHLB	0.65	Fixed	12/09/24	1,500	02/09/22	Monthly	98.947	-16	01/22	01/22	01/22	10/23	12/24	12/24	12/24
17	3130AJZJ1	FHLB	0.32	Fixed	08/25/23	2,667	01/13/22	Anytime	99.418	-15	01/22	01/22	01/22	12/22	08/23	08/23	08/23
44	3133EMZ70	FFCB	0.48	Fixed	02/10/25	1,000	01/13/22	Anytime	98.317	-16	01/22	01/22	01/22	04/24	02/25	02/25	02/25
48	3133EM3E0	FFCB	0.61	Fixed	05/23/25	1,000	01/13/22	Anytime	98.405	-16	01/22	01/22	01/22	04/24	05/25	05/25	05/25
49	3136G4A45	FNMA	0.71	Fixed	07/22/25	1,500	01/22/22	Quarterly	98.481	-23	01/22	01/22	01/22	04/24	07/25	07/25	07/25
53	3136G4V59	FNMA	0.63	Fixed	08/27/25	1,500	02/27/22	Quarterly	98.123	-28	02/22	02/22	02/22	05/24	08/25	08/25	08/25
43	3130AMDE9	FHLB	0.50	Step	05/12/26	1,500	02/12/22	Quarterly	98.978	-15	02/22	02/22	02/22	08/23	11/24	05/26	05/26
45	3130AMMW	FHLB	0.63	Step	06/10/26	1,500	03/10/22	Quarterly	98.969	-15	03/22	03/22	03/22	03/23	06/26	06/26	06/26
57	3134GWUG9	FHLMC	0.57	Fixed	09/24/25	2,000	03/24/22	Quarterly	97.922	-42	03/22	03/22	03/22	09/24	09/25	09/25	09/25
65	3130AP6D2	FHLB	1.00	Fixed	09/30/26	1,000	03/30/22	Quarterly	98.281	-17	03/22	03/22	03/22	12/24	09/26	09/26	09/26
61	3133EMXQ0	FFCB	0.94	Fixed	04/28/26	1,000	04/28/22	Anytime	98.411	-16	04/22	04/22	04/22	07/24	04/26	04/26	04/26
24	3130AN5K2	FHLB	0.30	Step	07/20/26	1,500	07/20/22	One time	99.705	-4	07/22	07/22	07/22	07/22	07/26	07/26	07/26
18	3135G05R0	FNMA	0.30	Fixed	08/10/23	3,000	08/10/22	Quarterly	99.392	-15	08/22	08/22	08/22	02/23	08/23	08/23	08/23
58	3130AP2G9	FHLB	0.75	Fixed	12/30/25	1,000	09/30/22	Quarterly	98.273	-17	09/22	09/22	09/22	06/24	12/25	12/25	12/25
56	3136G4N74	FNMA	0.56	Fixed	08/21/25	1,500	02/21/23	Quarterly	97.951	-31	02/23	02/23	02/23	08/24	08/25	08/25	08/25

Agency Projected Call Report - CUSIP Level

Sec No.	CUSIP	Issuer	Cpn		Maturity	Par Value	Next Call	Call Type	Mkt Price	Gain/Loss	Projected Shocked Call Dates						
			Cpn	Type							-300	-200	-100	Base	+100	+200	+300
	50 3130AMMJ8	FHLB	0.40	Step	06/09/26	1,000	06/09/23	One time	98.955	-10	06/23	06/23	06/23	06/23	06/26	06/26	06/26
			0.53			26,167			98.760	-319							

Agency - Risk/Reward Analysis



Top 5 Market Yields

CUSIP	Security Description	Subsector	Mkt Value	Mkt Yld	Eff. Dur	% Px Δ Up 300
3130AMMW	FHLB 0 5/8 06/10/26	Agency Step	1,485	1.68%	2.78	-11.1
3130AP6D2	FHLB 1 09/30/26	Callable Agency	983	1.59%	3.59	-12.1
3133EMXQ0	FFCB 0.94 04/28/26	Callable Agency	984	1.57%	3.37	-11.2
3130AMDE9	FHLB 0 1/2 05/12/26	Agency Step	1,485	1.53%	2.72	-10.5
3130AP2G9	FHLB 0 3/4 12/30/25	Callable Agency	983	1.46%	3.32	-10.6

Bottom 5 Market Yields

CUSIP	Security Description	Subsector	Mkt Value	Mkt Yld	Eff. Dur	% Px Δ Up 300
3133EFUJ4	FFCB 2.15 12/29/22	Agency Bullet	3,053	0.39%	1.00	-2.9
3130ADRG9	FHLB 2 3/4 03/10/23	Agency Bullet	4,620	0.50%	1.18	-3.5
3130AN5K2	FHLB 0.3 07/20/26	Agency Step	1,496	0.83%	1.83	-11.0
3135G05R0	FNMA 0.3 08/10/23	Callable Agency	2,982	0.85%	1.48	-4.7
3130AJZJ1	FHLB 0.32 08/25/23	Callable Agency	2,651	0.92%	1.47	-4.8

Top 5 Price Volatility (% price change +300)

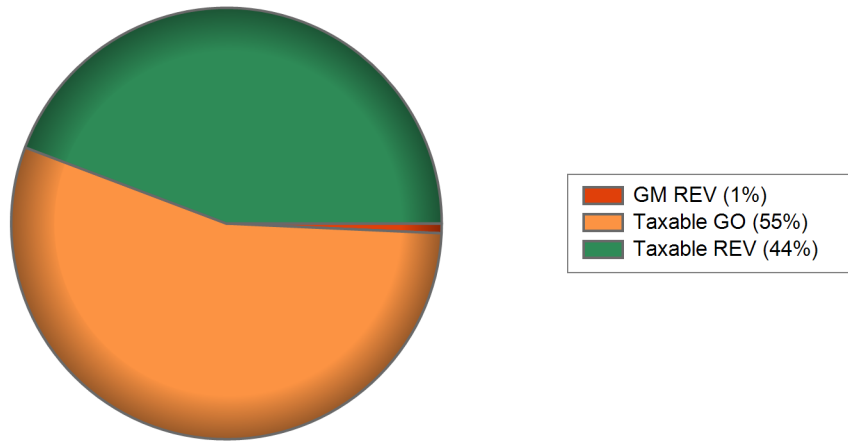
CUSIP	Security Description	Subsector	Mkt Value	Mkt Yld	Eff. Dur	% Px Δ Up 300
3130AP6D2	FHLB 1 09/30/26	Callable Agency	983	1.59%	3.59	-12.1
3133EMXQ0	FFCB 0.94 04/28/26	Callable Agency	984	1.57%	3.37	-11.2
3130AMMW	FHLB 0 5/8 06/10/26	Agency Step	1,485	1.68%	2.78	-11.1
3130AMMJ8	FHLB 0.4 06/09/26	Agency Step	990	1.13%	3.04	-11.1
3130AN5K2	FHLB 0.3 07/20/26	Agency Step	1,496	0.83%	1.83	-11.0

Next 5 Projected Redemptions

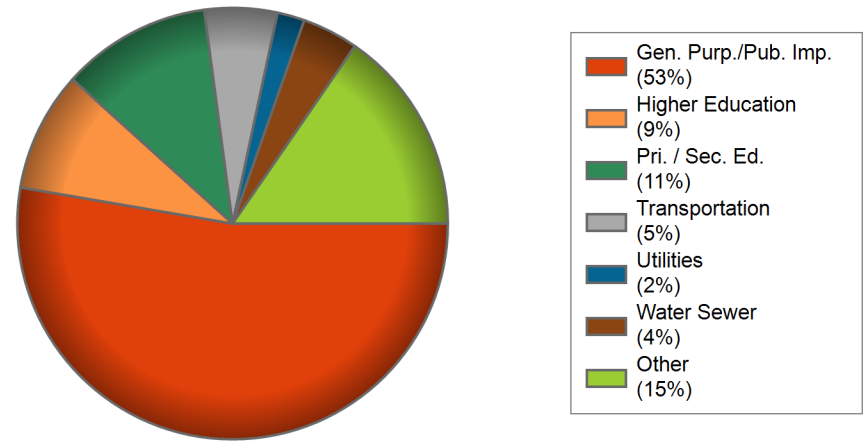
CUSIP	Security Description	Subsector	Mkt Value	Mkt Yld	Eff. Dur	Mat/Call
3130AN5K2	FHLB 0.3 07/20/26	Agency Step	1,496	0.83%	1.83	07/01/22
3130AJZJ1	FHLB 0.32 08/25/23	Callable Agency	2,651	0.92%	1.47	12/01/22
3133EFUJ4	FFCB 2.15 12/29/22	Agency Bullet	3,053	0.39%	1.00	12/01/22
3135G05R0	FNMA 0.3 08/10/23	Callable Agency	2,982	0.85%	1.48	02/01/23
3130ADRG9	FHLB 2 3/4 03/10/23	Agency Bullet	4,620	0.50%	1.18	03/01/23

Municipal - Summary

Subsector



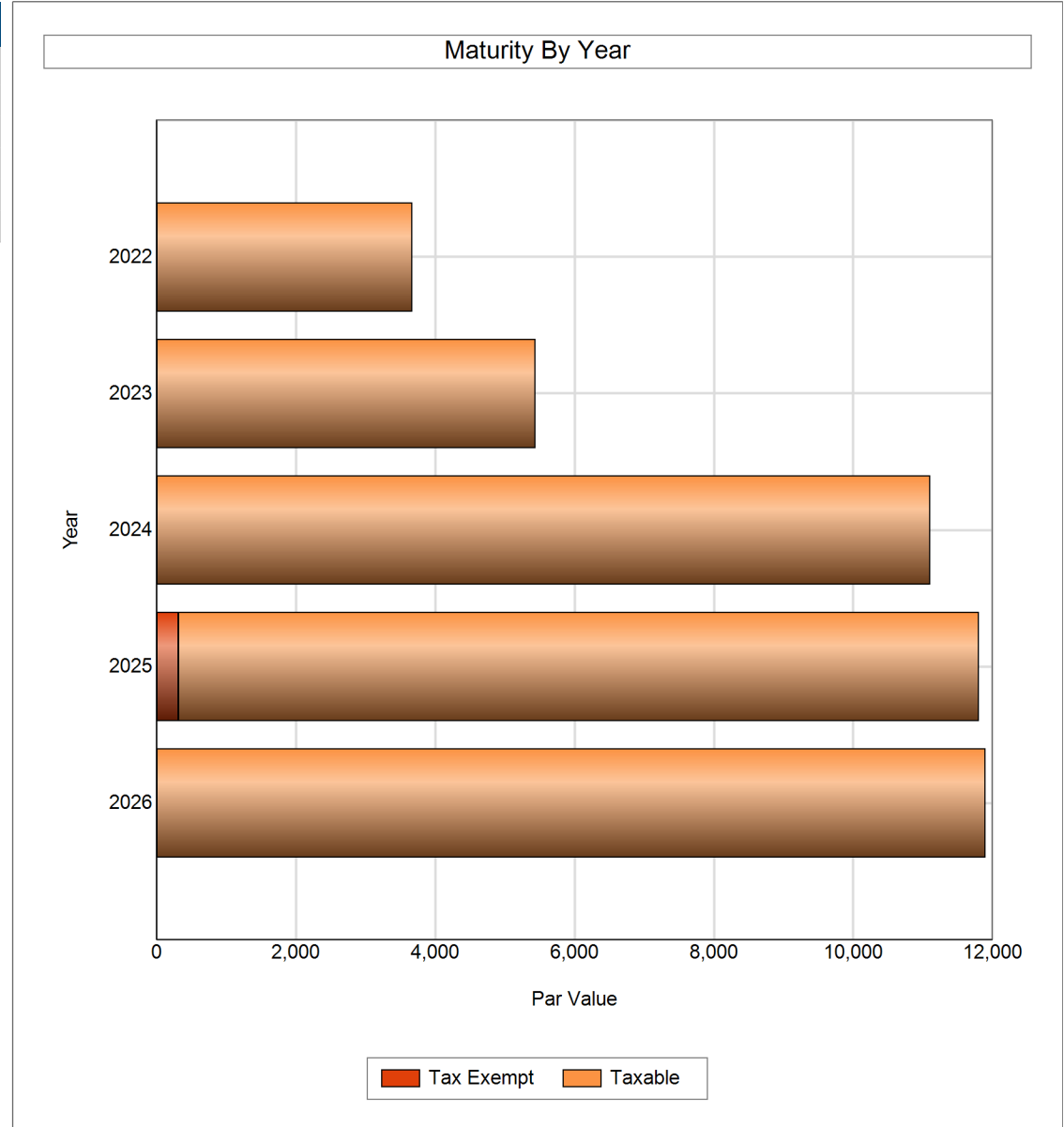
Purpose



Subsector	Sector %	Par Value	Cpn	Maturity	Rating	Book		Market		G/L \$	G/L %	WAL	% Px Δ	Dur	Cvx	AFS %
					Moody's S&P	Price Value	Yield	Price Value	Yield	Base + 300	Base + 300	Base + 300	-100 +300	Base	Base	
Taxable GO (21)	55.0%	24,153	3.72	3.0 yrs	Aa1	107.09		106.12		-235	-0.9%	2.58	2.5	2.46	0.09	100.0%
					AA+	25,866	0.66	25,631	0.97	-2,052	-7.9%	2.59	-7.1			
Taxable REV (31)	44.3%	19,424	2.07	3.0 yrs	Aa2	103.30		101.95		-261	-1.3%	2.51	2.5	2.46	0.02	100.0%
					AA+	20,064	0.62	19,803	1.17	-1,738	-8.7%	2.88	-7.5			
Taxable (52)	99.3%	43,577	2.98	3.0 yrs	Aa1	105.40		104.26		-496	-1.1%	2.55	2.5	2.46	0.06	100.0%
					AA+	45,930	0.65	45,434	1.06	-3,791	-8.3%	2.72	-7.3			
GM REV (1)	0.7%	315	4.00	3.5 yrs	Aa3	112.01		111.73		-1	-0.3%	3.50	3.4	3.24	0.13	100.0%
						353	0.68	352	0.77	-34	-9.6%	3.50	-9.4			
Tax Exempt (1)	0.7%	315	4.00	3.5 yrs	Aa3	112.01		111.73		-1	-0.3%	3.50	3.4	3.24	0.13	100.0%
						353	0.68	352	0.77	-34	-9.6%	3.50	-9.4			
Total (53)	100.0%	43,892	2.99	3.0 yrs	Aa1	105.45		104.31		-497	-1.1%	2.55	2.5	2.47	0.06	100.0%
					AA+	46,283	0.65	45,786	1.06	-3,825	-8.3%	2.73	-7.3			

Municipal - Maturity Summary

Maturity By Year					
Year	Taxable	Tax Exempt	Total	Bal %	Run Bal %
2022	3,667	-	3,667	8.4 %	8.4 %
2023	5,430	-	5,430	12.4 %	20.7 %
2024	11,100	-	11,100	25.3 %	46.0 %
2025	11,485	315	11,800	26.9 %	72.9 %
2026	11,895	-	11,895	27.1 %	100.0 %

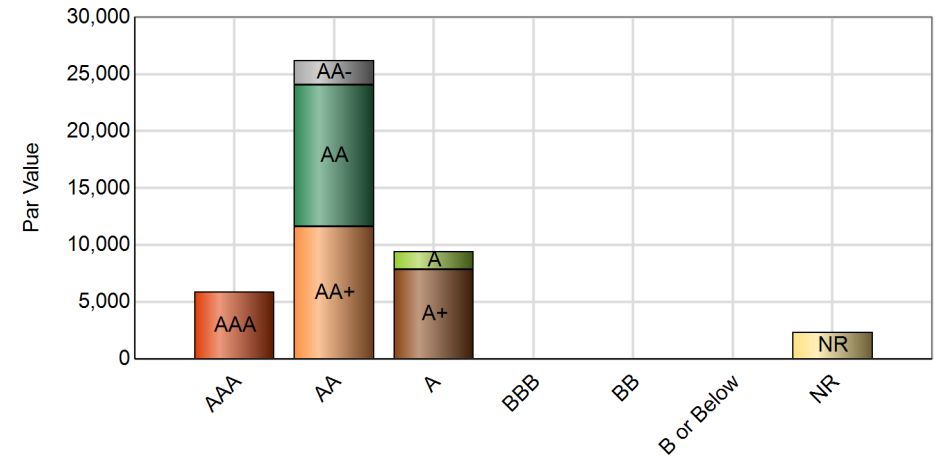


Municipal - Rating Summary

Combined Rating Summary

Combined Rating	% Balance	Par Value	Book Yield	Eff. Duration
AAA	13.4%	5,875	0.74	3.49
AA	59.7%	26,210	0.60	2.26
A	21.5%	9,449	0.71	2.53
BBB	-	-	-	-
BB	-	-	-	-
B or Below	-	-	-	-
NR	5.4%	2,358	0.74	1.98
Total	100.0%	43,892	0.65	2.47

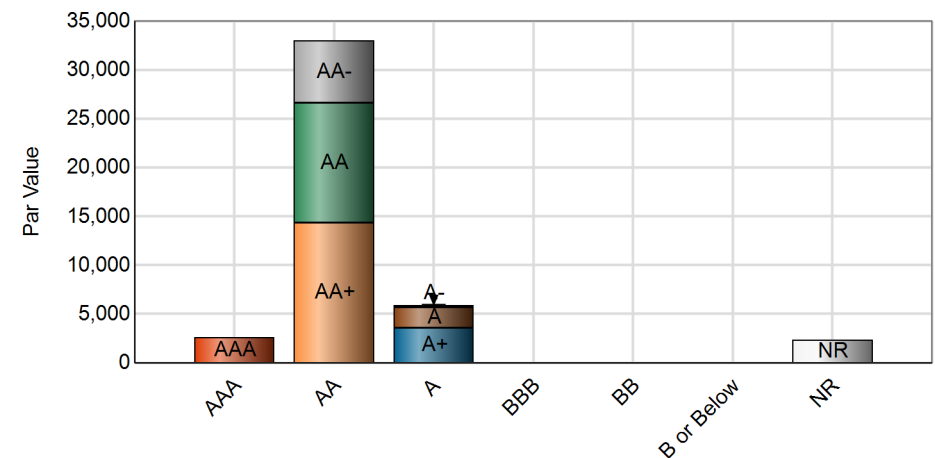
Combined Rating Distribution



Combined Underlying Rating Summary

Combined Rating	% Balance	Par Value	Book Yield	Eff. Duration
AAA	6.0%	2,625	0.74	3.57
AA	75.2%	32,995	0.61	2.35
A	13.5%	5,914	0.81	2.86
BBB	-	-	-	-
BB	-	-	-	-
B or Below	-	-	-	-
NR	5.4%	2,358	0.74	1.98
Total	100.0%	43,892	0.65	2.47

Combined Underlying Rating Distribution

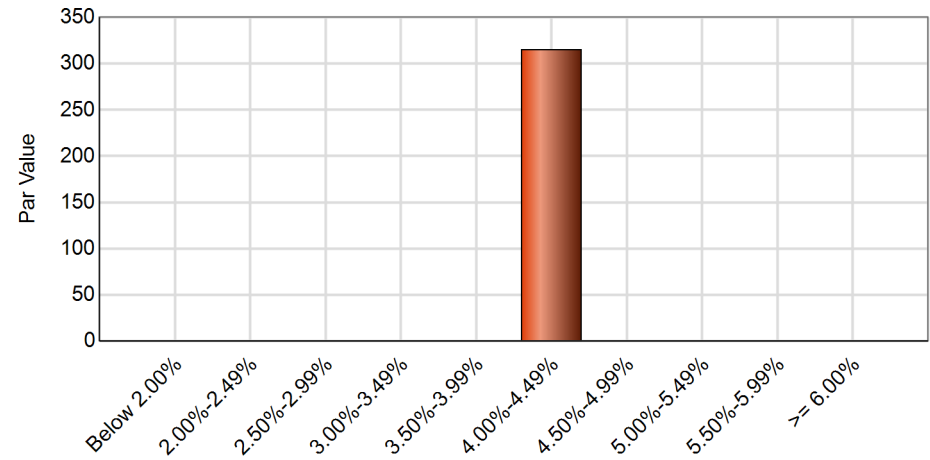


Municipal - Coupon Summary

Tax-Exempt Coupon Summary

Combined Rating	% Balance	Par Value	Book Yield	Eff. Duration
Below 1.50%	-	-	-	-
1.50%-2.49%	-	-	-	-
2.50%-3.49%	-	-	-	-
3.50%-4.49%	0.7%	315	0.68	3.24
4.50%-5.49%	-	-	-	-
>= 5.50%	-	-	-	-
Total	0.7%	315	0.68	3.24

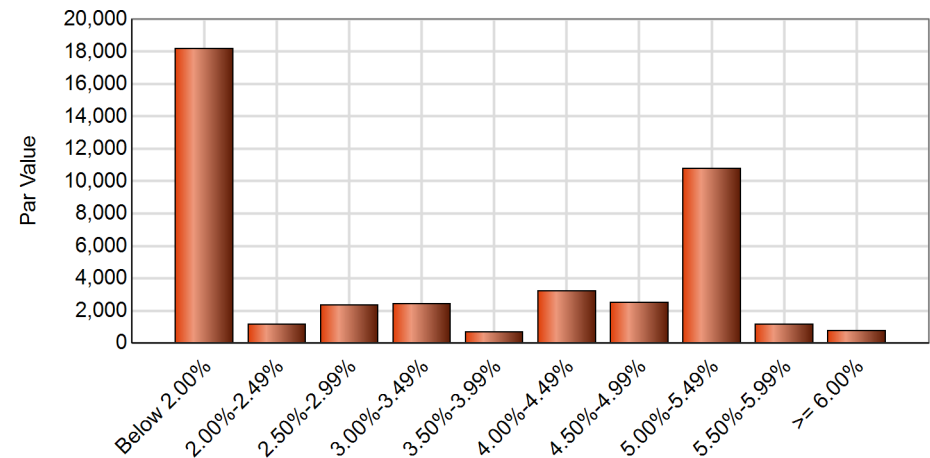
Tax-Exempt Coupon Distribution



Taxable Coupon Summary

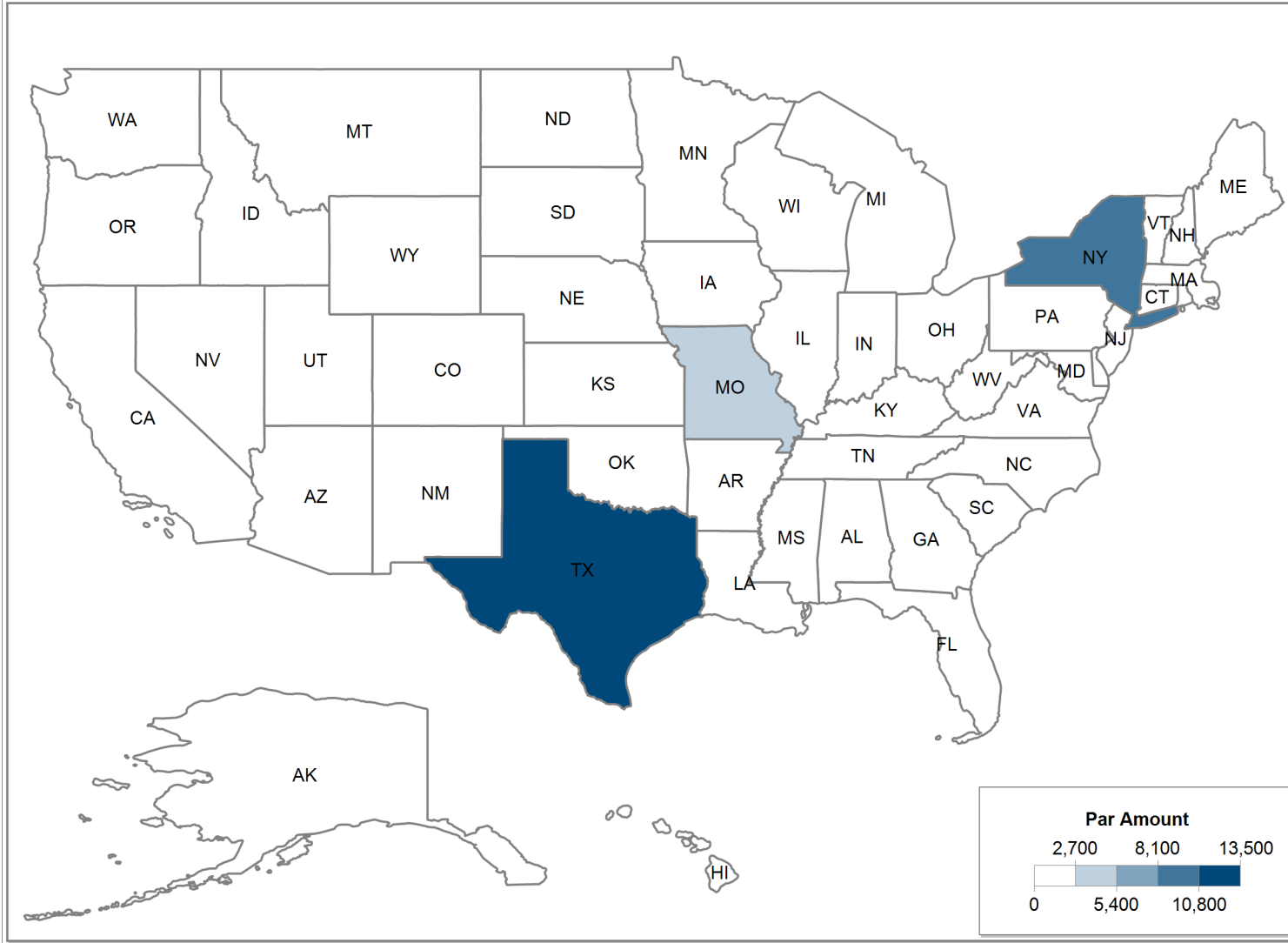
Combined Rating	% Balance	Par Value	Book Yield	Eff. Duration
Below 1.50%	39.8%	17,472	0.69	2.54
1.50%-2.49%	4.4%	1,950	1.07	3.64
2.50%-3.49%	11.0%	4,835	0.21	2.40
3.50%-4.49%	9.0%	3,950	0.63	3.21
4.50%-5.49%	30.5%	13,370	0.67	2.10
>= 5.50%	4.6%	2,000	0.76	1.91
Total	99.3%	43,577	0.65	2.46

Taxable Coupon Distribution



Municipal - Geographic Distribution

Geographic Distribution of Municipal Collateral

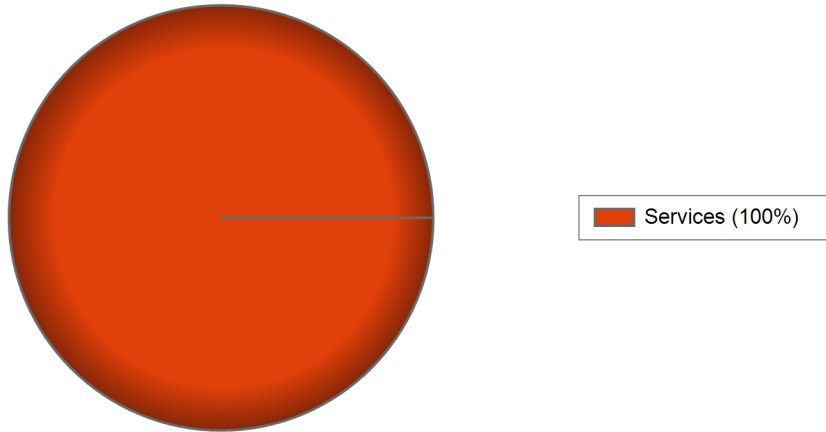


Top 25 States

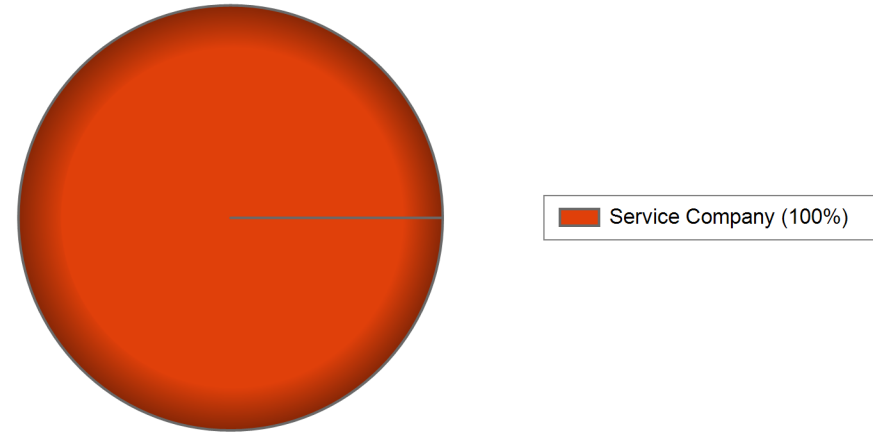
State	Par Amount	% Total
TX	12,150	27.7 %
NY	9,538	21.7 %
MO	3,675	8.4 %
CT	2,639	6.0 %
PA	2,075	4.7 %
TN	1,925	4.4 %
NE	1,545	3.5 %
HI	1,500	3.4 %
CA	1,155	2.6 %
AZ	1,100	2.5 %
WV	1,010	2.3 %
VA	1,005	2.3 %
MA	1,000	2.3 %
SD	1,000	2.3 %
ME	900	2.1 %
FL	500	1.1 %
LA	360	0.8 %
OK	300	0.7 %
MD	265	0.6 %
OH	250	0.6 %

Corporate - Summary

Sector Allocation



Industry Allocation



Subsector	Sector %	Par Value	Cpn	Maturity	Rating	Book	Market	G/L \$	G/L %	WAL	% Px Δ	Dur	Cvx	AFS %	
					Moody's S&P	Price Value	Yield	Price Value	Yield	Base + 300	Base + 300	Base + 300	-100 +300		Base
Services (1)	100.0%	1,000	4.11	1.5 yrs	Aa1 AAA	105.24 1,052	0.60	104.50 1,045	1.08	-7 -53	-0.7% -5.0%	1.50 1.50	1.5 -4.3	1.46 0.03	0.03 100.0%
Total (1)	100.0%	1,000	4.11	1.5 yrs	Aa1 AAA	105.24 1,052	0.60	104.50 1,045	1.08	-7 -53	-0.7% -5.0%	1.50 1.50	1.5 -4.3	1.46 0.03	0.03 100.0%

Corporate - Rating Summary

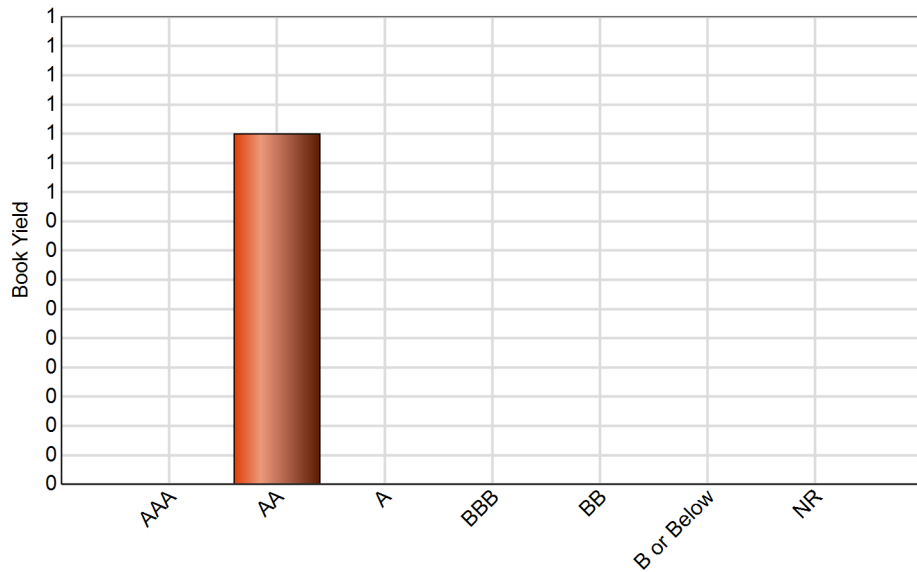
Combined Rating Summary

Combined Rating	% Balance	Par Value	Book Yield	Eff. Duration
AAA	-	-	-	-
AA	100.0%	1,000	0.60	1.46
A	-	-	-	-
BBB	-	-	-	-
BB	-	-	-	-
B or Below	-	-	-	-
NR	-	-	-	-

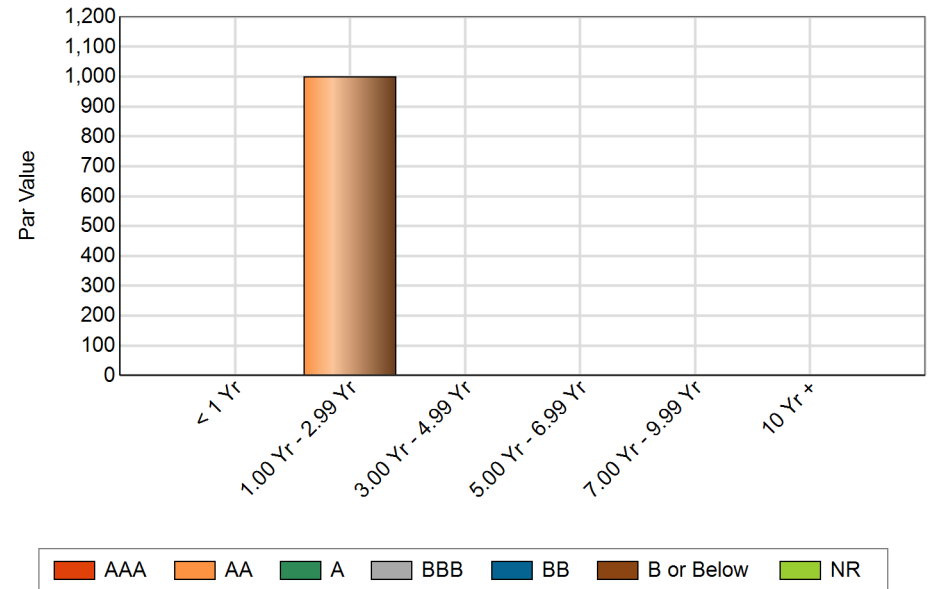
Maturity Summary

Maturity Range	% Balance	Par Value	Book Yield	Combined Rating
< 1 Yr	-	-	-	-
1.00 Yr - 2.99 Yr	100.0%	1,000	0.60	AA+
3.00 Yr - 4.99 Yr	-	-	-	-
5.00 Yr - 6.99 Yr	-	-	-	-
7.00 Yr - 9.99 Yr	-	-	-	-
10 Yr +	-	-	-	-

Book Yield by Combined Rating

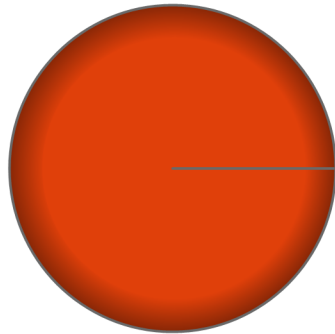


Combined Rating Distribution By Maturity



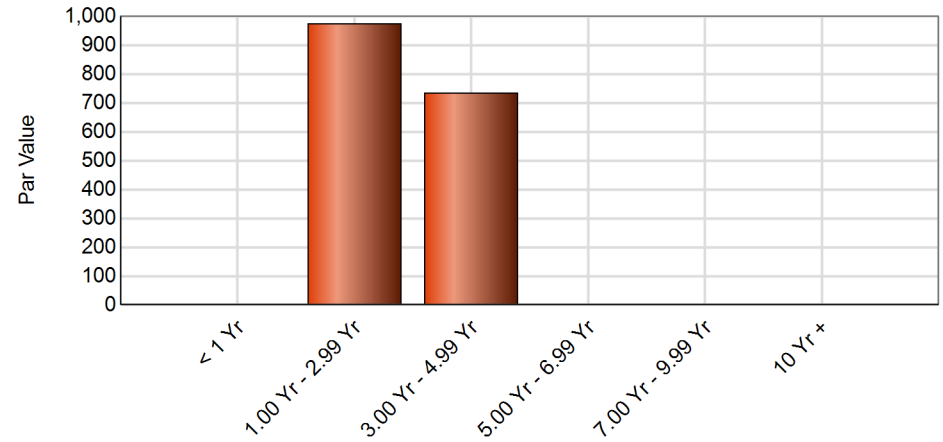
CD - Summary

Subsector



■ NCD (100.0%)

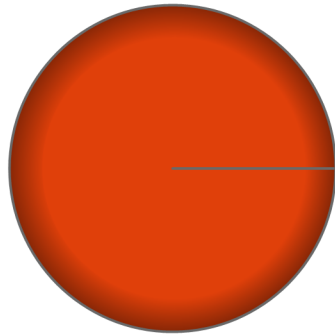
Par Value By Maturity



Subsector	Sector %	Par Value	Cpn	Maturity Next Call	Book		Market		G/L \$	G/L %	WAL	Px Chg	Dur	Cvx	AFS %
					Price Value	Yield	Price Value	Yield	Base + 300	Base + 300	Base + 300	-100 +300	Base	Base	
NCD (7)	100.0%	1,711	1.55	3.2 yrs	101.03	0.82	100.68	0.79	-6	-0.3%	3.19	3.2	3.09	0.13	100.0%
Total (7)	100.0%	1,711	1.55	3.2 yrs	101.03	0.82	100.68	0.79	-6	-0.3%	3.19	3.2	3.09	0.13	100.0%

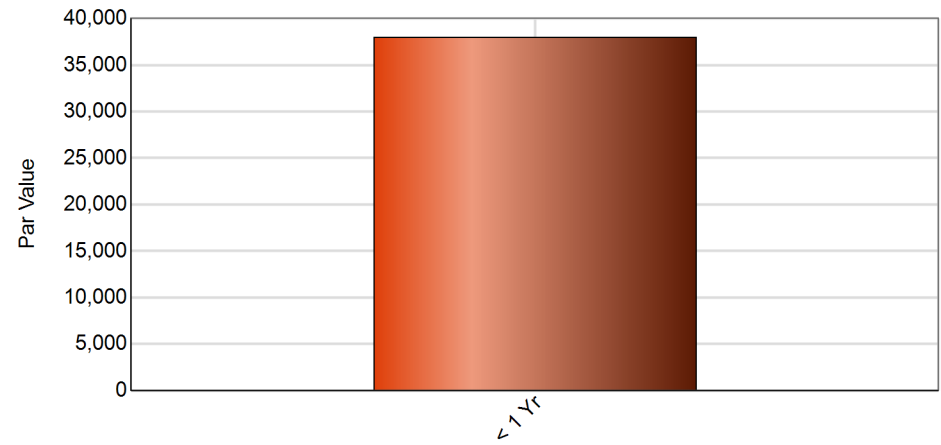
Other - Summary

Subsector



Cash & Other (100.0%)

Par Value By Maturity



Subsector	Sector %	Par Value	Cpn	Maturity Next Call	Book		Market		G/L \$	G/L %	WAL	Px Chg	Dur	Cvx	AFS %
					Price Value	Yield	Price Value	Yield	Base + 300	Base + 300	Base + 300	-100 +300	Base	Base	
Cash & Other (1)	100.0%	38,022	0.07	0.1 yrs	100.00	0.07	38,022	0.07	-98	-0.3%	0.08	0.1	0.09	0.00	100.0%
Total (1)	100.0%	38,022	0.07	0.1 yrs	100.00	0.07	38,022	0.07	-98	-0.3%	0.08	0.1	0.09	0.00	100.0%

Portfolio Considerations

Sector	Cnt	Cpn	Maturity	Par Value	Book Price	Market Price	Gain/ (Loss)	Book Yield	WAL	Eff. Dur	Historical CPR			Proj. CPR Life	Loan Count
											1 Mo.	3 Mo.	Life		
Book Yield < 1.5%	81	1.46	2.0 yrs	117,792	102.21	101.53	-794	0.45	1.5	1.64					
Agency Bullet	2	2.51	1.1 yrs	7,500	101.98	102.31	25	0.74	1.1	1.11					
Callable Agency	14	0.55	3.0 yrs	20,667	99.98	98.65	-274	0.57	2.0	2.56					
Agency Step	4	0.46	4.4 yrs	5,500	100.00	99.17	-46	0.62	1.2	2.55					
GM REV	1	4.00	3.5 yrs	315	112.01	111.73	-1	0.68	3.5	3.24					
Taxable GO	21	3.72	3.0 yrs	24,153	107.09	106.12	-235	0.66	2.6	2.46					
Taxable REV	30	2.08	3.0 yrs	18,924	103.37	102.05	-250	0.60	2.5	2.41					
Services	1	4.11	1.5 yrs	1,000	105.24	104.50	-7	0.60	1.5	1.46					
NCD	7	1.55	3.2 yrs	1,711	101.03	100.68	-6	0.82	3.2	3.09					
Cash & Other	1	0.07	0.1 yrs	38,022	100.00	100.00	0	0.07	0.1	0.09					

Key Portfolio Sorts

Top 10 Up 300 Market Value Changes (%)

CUSIP	Sector	Description	Cpn	Maturity	Par Value	Book Price	Market Price	G/L	Book Yield	WAL	% Px Δ +300	\$ Amt Δ +300	G/L +300	Eff. Dur	INT
856285XL0	NCD	SBIIN 1.15 09/28/26	1.15	09/28/26	245	100.00	98.53	-4	1.150	4.74	-12.85	-31	-35	4.61	AFS
38149MZJ5	NCD	GS 1.05 09/08/26	1.05	09/08/26	245	100.00	98.14	-5	1.050	4.69	-12.74	-31	-35	4.57	AFS
795451AK9	NCD	SALLMA 1.1 08/11/26	1.10	08/11/26	245	100.00	98.45	-4	1.100	4.61	-12.54	-30	-34	4.48	AFS
56042RJ52	Taxable REV	MAINE HEALTH &	1.30	07/01/26	200	101.11	98.97	-4	1.050	4.50	-12.21	-24	-28	4.34	AFS
86666PAE8	Taxable REV	MARICOPA COUNTY	1.71	07/01/26	500	100.72	98.41	-12	1.541	4.50	-12.12	-60	-71	4.33	AFS
3130AP6D2	Callable Agency	FHLB 1 09/30/26	1.00	09/30/26	1,000	100.00	98.28	-17	1.000	3.00	-12.09	-119	-136	3.59	AFS
83754LAX5	Taxable REV	SOUTH DAKOTA EDL	1.50	06/01/26	1,000	100.77	98.02	-27	1.315	4.42	-11.96	-117	-145	4.28	AFS
6817126Z3	Taxable GO	OMAHA NEB	0.98	04/15/26	750	100.35	98.84	-11	0.900	4.29	-11.76	-87	-98	4.20	AFS
485106UX4	Taxable REV	KANSAS CITY MO SPL	1.48	04/01/26	1,000	101.68	98.90	-28	1.070	4.25	-11.56	-114	-142	4.11	AFS
473142QY6	Taxable GO	JEFFERSON CNTY MO	1.20	03/01/26	300	100.00	98.66	-4	1.200	4.17	-11.40	-34	-38	4.05	AFS
			1.27		5,485	100.60	98.49	-116	1.132	4.14	-11.98	-647	-763	4.14	

Top 10 Up 300 Market Value Changes (\$)

CUSIP	Sector	Description	Cpn	Maturity	Par Value	Book Price	Market Price	G/L	Book Yield	WAL	% Px Δ +300	\$ Amt Δ +300	G/L +300	Eff. Dur	INT
64966H4M9	Taxable GO	NEW YORK N Y	5.05	10/01/24	5,560	107.93	107.47	-26	0.568	1.78	-5.04	-301	-327	1.72	AFS
235308D75	Taxable GO	DALLAS TEX INDPT	4.00	08/15/25	2,750	111.78	110.10	-46	0.703	3.63	-9.66	-293	-339	3.37	AFS
150461M57	Taxable GO	CEDAR PARK TEX	2.95	08/15/25	2,360	108.29	106.36	-46	0.632	3.63	-9.80	-246	-292	3.43	AFS
3134GWUG9	Callable Agency	FHLMC 0.57 09/24/25	0.57	09/24/25	2,000	100.00	97.92	-42	0.570	2.73	-10.09	-198	-239	3.28	AFS
419791YT9	Taxable GO	HAWAII ST	5.33	02/01/26	1,500	117.49	116.03	-22	0.954	4.09	-10.54	-183	-205	3.68	AFS
3130AN5K2	Agency Step	FHLB 0.3 07/20/26	0.30	07/20/26	1,500	100.00	99.71	-4	0.300	0.56	-11.02	-165	-169	1.83	AFS
3130AMMW9	Agency Step	FHLB 0 5/8 06/10/26	0.63	06/10/26	1,500	100.00	98.97	-15	0.808	1.19	-11.09	-165	-180	2.78	AFS
3130ADRG9	Agency Bullet	FHLB 2 3/4 03/10/23	2.75	03/10/23	4,500	102.26	102.68	19	0.843	1.19	-3.50	-162	-143	1.18	AFS
088632CT9	Taxable GO	BI-STATE DEV AGY MO	1.34	10/01/25	1,525	101.30	100.12	-18	0.990	3.75	-10.34	-158	-176	3.66	AFS
3130AMDE9	Agency Step	FHLB 0 1/2 05/12/26	0.50	05/12/26	1,500	100.00	98.98	-15	0.886	1.62	-10.51	-156	-171	2.72	AFS
			2.90		24,695	105.45	104.57	-216	0.707	2.28	-7.85	-2,026	-2,242	2.47	

Key Portfolio Sorts

Top 10 Marked to Market Up 300 Loss Positions

CUSIP	Sector	Description	Cpn	Maturity	Par Value	Book Price	Market Price	G/L	Book Yield	% Px Δ +300	\$ Amt Δ +300	G/L +300	Eff. Dur	INT	
235308D75	Taxable GO	DALLAS TEX INDPT	4.00	08/15/25	2,750	111.78	110.10	-46	0.703	3.63	-9.66	-293	-339	3.37	AFS
64966H4M9	Taxable GO	NEW YORK N Y	5.05	10/01/24	5,560	107.93	107.47	-26	0.568	1.78	-5.04	-301	-327	1.72	AFS
150461M57	Taxable GO	CEDAR PARK TEX	2.95	08/15/25	2,360	108.29	106.36	-46	0.632	3.63	-9.80	-246	-292	3.43	AFS
3134GWUG9	Callable Agency	FHLMC 0.57 09/24/25	0.57	09/24/25	2,000	100.00	97.92	-42	0.570	2.73	-10.09	-198	-239	3.28	AFS
419791YT9	Taxable GO	HAWAII ST	5.33	02/01/26	1,500	117.49	116.03	-22	0.954	4.09	-10.54	-183	-205	3.68	AFS
3130AMMW9	Agency Step	FHLB 0 5/8 06/10/26	0.63	06/10/26	1,500	100.00	98.97	-15	0.808	1.19	-11.09	-165	-180	2.78	AFS
3136G4N74	Callable Agency	FNMA 0.56 08/21/25	0.56	08/21/25	1,500	100.00	97.95	-31	0.560	2.64	-9.89	-145	-176	3.27	AFS
088632CT9	Taxable GO	BI-STATE DEV AGY MO	1.34	10/01/25	1,525	101.30	100.12	-18	0.990	3.75	-10.34	-158	-176	3.66	AFS
3136G4V59	Callable Agency	FNMA 0 5/8 08/27/25	0.63	08/27/25	1,500	100.00	98.12	-28	0.625	2.41	-9.87	-145	-173	3.17	AFS
3130AMDE9	Agency Step	FHLB 0 1/2 05/12/26	0.50	05/12/26	1,500	100.00	98.98	-15	0.886	1.62	-10.51	-156	-171	2.72	AFS
			2.80		21,695	105.73	104.40	-289	0.691	2.65	-8.79	-1,990	-2,279	2.88	

Top 10 Average Life Extension Up 300bps

CUSIP	Sector	Description	Cpn	Maturity	Par Value	Book Price	Market Price	G/L	Book Yield	% Px Δ +300	Eff. Dur	WAL +300	Chg in WAL	INT
3130AN5K2	Agency Step	FHLB 0.3 07/20/26	0.30	07/20/26	1,500	100.00	99.71	-4	0.300	-11.02	1.83	0.56	4.00	AFS
3130AMMW9	Agency Step	FHLB 0 5/8 06/10/26	0.63	06/10/26	1,500	100.00	98.97	-15	0.808	-11.09	2.78	1.19	3.25	AFS
3130AMMJ8	Agency Step	FHLB 0.4 06/09/26	0.40	06/09/26	1,000	100.00	98.96	-10	0.400	-11.09	3.04	1.44	3.00	AFS
91476PDR6	Taxable REV	UNIVERSITY OKLA	3.02	07/01/25	300	102.13	100.88	-4	-1.193	-7.15	0.96	0.50	3.00	AFS
3130AMDE9	Agency Step	FHLB 0 1/2 05/12/26	0.50	05/12/26	1,500	100.00	98.98	-15	0.886	-10.51	2.72	1.62	2.75	AFS
46873TAD5	Taxable REV	JACKSON TENN	3.40	04/01/26	1,925	104.40	103.45	-18	-0.109	-6.98	1.40	1.25	2.75	AFS
3133EMXQ0	Callable Agency	FFCB 0.94 04/28/26	0.94	04/28/26	1,000	100.00	98.41	-16	0.940	-11.18	3.37	2.58	1.75	AFS
3130AP6D2	Callable Agency	FHLB 1 09/30/26	1.00	09/30/26	1,000	100.00	98.28	-17	1.000	-12.09	3.59	3.00	1.75	AFS
3130AP2G9	Callable Agency	FHLB 0 3/4 12/30/25	0.75	12/30/25	1,000	100.00	98.27	-17	0.750	-10.55	3.32	2.50	1.50	AFS
365820HB8	Taxable GO	GARFIELD HEIGHTS	3.10	12/15/24	250	104.36	102.90	-4	0.105	-6.56	1.71	1.46	1.50	AFS
			1.23		10,975	100.93	99.82	-122	0.499	-10.09	2.51	1.59	2.69	

Agency - CUSIP Detail

CUSIP	Security Description	Ticker	Par	Cpn Type Coupon	Next Call Dt Call Type	Step Date Step Cpn	Maturity		Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
							Proj. Final		Price Value	Yield	Price Value	bp→ call Yield	% \$	-100 +300	Dur Cvx	
3133EFUJ4	FFCB 2.15 12/29/22	FFCB	3,000	Fixed 2.150			12/22 12/29/22	101.56 3,047	0.58	101.75 3,053	0.39	0.2% 6	1.0 -2.9	1.00 0.01	AFS	
3130ADRG9	FHLB 2 3/4 03/10/23	FHLB	4,500	Fixed 2.750			03/23 03/10/23	102.26 4,602	0.84	102.68 4,620	0.50	0.4% 19	1.2 -3.5	1.18 0.02	AFS	
Agency Bullet			7,500	2.510				101.98 7,648	0.74	102.31 7,673	0.45	0.3% 25	1.1 -3.3	1.11 0.02		
3130AJZJ1	FHLB 0.32 08/25/23	FHLB	2,667	Fixed 0.320	01/13/22 Anytime		12/22 08/25/23	99.97 2,666	0.35	99.42 2,651	-51 0.92	-0.6% -15	0.7 -4.8	1.47 -0.41	AFS	
3135G05R0	FNMA 0.3 08/10/23	FNMA	3,000	Fixed 0.300	08/10/22 Quarterly		02/23 08/10/23	99.90 2,997	0.39	99.39 2,982	-47 0.85	-0.5% -15	1.2 -4.7	1.48 -0.17	AFS	
3130AND72	FHLB 0.43 04/29/24	FHLB	1,000	Fixed 0.430	01/29/22 Quarterly		07/23 04/29/24	100.00 1,000	0.43	98.94 989	-63 1.11	-1.1% -11	1.2 -6.5	2.08 -0.05	AFS	
3130APAF2	FHLB 0.52 10/07/24	FHLB	1,000	Fixed 0.520	04/07/22 Quarterly		10/23 10/07/24	100.00 1,000	0.52	98.78 988	-68 1.22	-1.2% -12	1.3 -7.6	2.39 -0.11	AFS	
3130AMJX1	FHLB 0.65 12/09/24	FHLB	1,500	Fixed 0.650	02/09/22 Monthly		10/23 12/09/24	100.00 1,500	0.65	98.95 1,484	-62 1.25	-1.1% -16	1.1 -8.0	2.40 -0.42	AFS	
3133EMZ70	FFCB 0.48 02/10/25	FFCB	1,000	Fixed 0.480	01/13/22 Anytime		04/24 02/10/25	99.91 999	0.52	98.32 983	-86 1.23	-1.6% -16	1.8 -8.5	2.75 -0.07	AFS	
3133EM3E0	FFCB 0.61 05/23/25	FFCB	1,000	Fixed 0.610	01/13/22 Anytime		04/24 05/23/25	99.99 1,000	0.61	98.41 984	-76 1.31	-1.6% -16	1.7 -9.2	2.91 -0.06	AFS	
3136G4A45	FNMA 0.71 07/22/25	FNMA	1,500	Fixed 0.710	01/22/22 Quarterly		04/24 07/22/25	100.00 1,500	0.71	98.48 1,477	-68 1.38	-1.5% -23	1.7 -9.5	2.97 -0.01	AFS	
3136G4V59	FNMA 0 5/8 08/27/25	FNMA	1,500	Fixed 0.625	02/27/22 Quarterly		05/24 08/27/25	100.00 1,500	0.63	98.12 1,472	-80 1.42	-1.9% -28	2.1 -9.9	3.17 -0.01	AFS	
3136G4N74	FNMA 0.56 08/21/25	FNMA	1,500	Fixed 0.560	02/21/23 Quarterly		08/24 08/21/25	100.00 1,500	0.56	97.95 1,469	-74 1.35	-2.0% -31	2.8 -9.9	3.27 -0.03	AFS	
3134GWUG9	FHLMC 0.57 09/24/25	FHLMC	2,000	Fixed 0.570	03/24/22 Quarterly		09/24 09/24/25	100.00 2,000	0.57	97.92 1,958	-86 1.35	-2.1% -42	2.4 -10.1	3.28 -0.09	AFS	
3130AP2G9	FHLB 0 3/4 12/30/25	FHLB	1,000	Fixed 0.750	09/30/22 Quarterly		06/24 12/30/25	100.00 1,000	0.75	98.27 983	-65 1.46	-1.7% -17	2.6 -10.6	3.32 0.26	AFS	
3133EMXQ0	FFCB 0.94 04/28/26	FFCB	1,000	Fixed 0.940	04/28/22 Anytime		07/24 04/28/26	100.00 1,000	0.94	98.41 984	-64 1.57	-1.6% -16	2.1 -11.2	3.37 -0.12	AFS	
3130AP6D2	FHLB 1 09/30/26	FHLB	1,000	Fixed 1.000	03/30/22 Quarterly		12/24 09/30/26	100.00 1,000	1.00	98.28 983	-68 1.59	-1.7% -17	2.2 -12.1	3.59 -0.36	AFS	

Agency - CUSIP Detail

CUSIP	Security Description	Ticker	Par	Cpn Type Coupon	Next Call Dt Call Type	Step Date Step Cpn	Maturity	Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
							Proj. Final	Price Value	Yield	Price Value	bp→ call Yield	%	-100 +300	Dur Cvx	
	Callable Agency		20,667	0.554				99.98 20,662	0.57	98.65 20,388	1.22	-1.3% -274	1.7 -8.1	2.56 -0.15	
3130AMMJ8	FHLB 0.4 06/09/26	FHLB	1,000	Step 0.400	06/09/23 One time	06/09/23 1.500	06/23 06/09/26	100.00 1,000	0.40	98.96 990	1.13	-1.0% -10	2.3 -11.1	3.04 -0.49	AFS
3130AMMW9	FHLB 0 5/8 06/10/26	FHLB	1,500	Step 0.625	03/10/22 Quarterly	06/10/22 0.875	03/23 06/10/26	100.00 1,500	0.81	98.97 1,485	1.68	-1.0% -15	1.3 -11.1	2.78 -1.20	AFS
3130AMDE9	FHLB 0 1/2 05/12/26	FHLB	1,500	Step 0.500	02/12/22 Quarterly	05/12/22 1.000	08/23 05/12/26	100.00 1,500	0.89	98.98 1,485	1.53	-1.0% -15	1.2 -10.5	2.72 -0.72	AFS
3130AN5K2	FHLB 0.3 07/20/26	FHLB	1,500	Step 0.300	07/20/22 One time	07/20/22 1.500	07/22 07/20/26	100.00 1,500	0.30	99.71 1,496	0.83	-0.3% -4	1.0 -11.0	1.83 -1.75	AFS
	Agency Step		5,500	0.461				100.00 5,500	0.62	99.17 5,454	1.31	-0.8% -46	1.4 -10.9	2.55 -1.09	
	Total		33,667	0.974				100.43 33,810	0.62	99.55 33,515	1.06	-0.9% -295	1.5 -7.5	2.23 -0.26	

Municipal - CUSIP Detail

CUSIP Issuer	State BQ	Sector Use of Proceeds	Par Value	Cpn	Maturity	Sink Date Next Call	Rating	Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
							Moody's S&P	Price Value	TEY	Price Value	TEY	% \$	-100 +300	Dur Cvx	
052403GX4	TX	Taxable GO					Aa1	102.62		102.68		0.1%	0.6	0.58	
AUSTIN TEX CMNTY COLLE	No	Higher Education	1,875	5.000	08/01/22		AA+	1,924	0.52	1,925	0.41	1	-1.8	0.00	AFS
067167YR1	TX	Taxable GO					Aaa	112.51		110.87		-1.5%	3.9	3.80	
BARBERS HILL TEX INDPT S	No	Pri. / Sec. Ed.	500	4.000	02/15/26		AAA	563	0.90	554	1.29	-8	-10.8	0.17	AFS
088632CT9	MO	Taxable GO					Aa2	101.30		100.12		-1.2%	3.7	3.66	
BI-STATE DEV AGY MO ILL	No	Other	1,525	1.344	10/01/25		AA	1,545	0.99	1,527	1.31	-18	-10.3	0.15	AFS
114727VY3	NY	Taxable GO					NR	100.23		100.21		0.0%	0.3	0.33	
BROOME CNTY N Y	No	Gen. Purp./Pub. Imp.	1,358	1.000	04/29/22		NR	1,361	0.31	1,361	0.37	0	-1.0	0.00	AFS
150461M57	TX	Taxable GO					NR	108.29		106.36		-1.8%	3.5	3.43	
CEDAR PARK TEX	No	Gen. Purp./Pub. Imp.	2,360	2.950	08/15/25		AA+	2,556	0.63	2,510	1.15	-46	-9.8	0.14	AFS
20772KJX8	CT	Taxable GO					Aa3	104.40		103.24		-1.1%	3.5	3.36	
CONNECTICUT ST	No	Gen. Purp./Pub. Imp.	235	2.098	07/01/25		A+	245	0.82	243	1.15	-3	-9.6	0.13	AFS
20772GE61	CT	Taxable GO					Aa3	111.45		110.42		-0.9%	2.1	2.07	
CONNECTICUT ST	No	Other	1,205	5.730	03/15/24		A+	1,343	0.51	1,331	0.95	-12	-6.1	0.06	AFS
235308D75	TX	Taxable GO					Aaa	111.78		110.10		-1.5%	3.5	3.37	
DALLAS TEX INDPT SCH DI	No	Pri. / Sec. Ed.	2,750	4.000	08/15/25		AAA	3,074	0.70	3,028	1.15	-46	-9.7	0.14	AFS
345102PG6	CA	Taxable GO					Aaa	100.68		98.79		-1.9%	3.6	3.52	
FOOTHILL-DE ANZA CALIF C	No	Higher Education	700	0.906	08/01/25		AAA	705	0.71	692	1.25	-13	-10.0	0.14	AFS
365820HB8	OH	Taxable GO					Aa2	104.36		102.90		-1.4%	1.6	1.71	
GARFIELD HEIGHTS OHIO C	No	Pri. / Sec. Ed.	250	3.100	12/15/24	06/15/23	NR	261	0.11	257	1.09	-4	-6.6	-0.26	AFS
419791YT9	HI	Taxable GO					Aa2	117.49		116.03		-1.2%	3.8	3.68	
HAWAII ST	No	Gen. Purp./Pub. Imp.	1,500	5.330	02/01/26		AA+	1,762	0.95	1,740	1.29	-22	-10.5	0.16	AFS
473142QX8	MO	Taxable GO					NR	100.00		98.77		-1.2%	3.2	3.11	
JEFFERSON CNTY MO CON	No	Pri. / Sec. Ed.	280	0.950	03/01/25		AA+	280	0.95	277	1.35	-3	-8.9	0.11	AFS
473142QW0	MO	Taxable GO					NR	100.00		99.14		-0.9%	2.2	2.15	
JEFFERSON CNTY MO CON	No	Pri. / Sec. Ed.	200	0.650	03/01/24		AA+	200	0.65	198	1.05	-2	-6.2	0.06	AFS
473142QY6	MO	Taxable GO					NR	100.00		98.66		-1.3%	4.2	4.05	
JEFFERSON CNTY MO CON	No	Pri. / Sec. Ed.	300	1.200	03/01/26		AA+	300	1.20	296	1.53	-4	-11.4	0.19	AFS
473142QU4	MO	Taxable GO					NR	100.00		100.01		0.0%	0.2	0.17	
JEFFERSON CNTY MO CON	No	Pri. / Sec. Ed.	110	0.300	03/01/22		AA+	110	0.30	110	0.25	0	-0.5	0.00	AFS
473142QV2	MO	Taxable GO					NR	100.00		99.72		-0.3%	1.2	1.18	
JEFFERSON CNTY MO CON	No	Pri. / Sec. Ed.	260	0.450	03/01/23		AA+	260	0.45	259	0.69	-1	-3.5	0.02	AFS

Municipal - CUSIP Detail

CUSIP Issuer	State BQ	Sector Use of Proceeds	Par Value	Cpn	Maturity	Sink Date Next Call	Rating	Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
							Moody's S&P	Price Value	TEY	Price Value	TEY	% \$	-100 +300	Dur Cvx	
64763FTY5 NEW ORLEANS LA	LA	Taxable GO Gen. Purp./Pub. Imp.	360	5.000	12/01/23		A2 AA	108.33 390	0.63	107.87 388	0.86	-0.4% -2	1.9 -5.4	1.85 0.04	
64966H4M9 NEW YORK N Y	NY	Taxable GO Gen. Purp./Pub. Imp.	5,560	5.047	12/01/23	10/01/22	Aa2 AA	107.93 6,001	0.57	107.47 5,976	0.81	-0.4% -26	1.8 -5.0	1.72 0.04	AFS
6817126Z3 OMAHA NEB	NE	Taxable GO Gen. Purp./Pub. Imp.	750	0.983	04/15/26		Aa2 AA+	100.35 753	0.90	98.84 741	1.26	-1.5% -11	4.3 -11.8	4.20 0.20	AFS
70914PME9 PENNSYLVANIA (COMMON	PA	Taxable GO Gen. Purp./Pub. Imp.	1,800	4.650	02/15/26	02/15/22	Aa3 A+	108.68 1,956	0.70	108.06 1,945	0.97	-0.6% -11	2.2 -6.2	2.13 0.08	AFS
894673TA0 TREDYFFRIN-EASTTOWN P	PA	Taxable GO Pri. / Sec. Ed.	275	1.159	02/15/26		Aaa NR	100.78 277	0.97	99.25 273	1.35	-1.5% -4	4.1 -11.3	4.02 0.18	AFS
Total Taxable GO							Aa1 AA+	107.09 25,866		106.12 25,631		-0.9% -235	2.5 -7.1	2.46 0.09	
084244HX3 BERKELEY CNTY W VA BLD	WV	Taxable REV Gen. Purp./Pub. Imp.	1,010	0.886	12/01/23		Aa3 NR	100.91 1,019	0.41	100.12 1,011	0.82	-0.8% -8	1.9 -5.5	1.91 0.05	AFS
207580AP6 CONNECTICUT GREEN BK	CT	Taxable REV Utilities	300	0.550	11/15/23		NR A+	100.00 300	0.55	99.61 299	0.76	-0.4% -1	1.9 -5.4	1.87 0.04	AFS
207580AR2 CONNECTICUT GREEN BK	CT	Taxable REV Utilities	200	1.200	11/15/25		NR A+	100.00 200	1.20	99.36 199	1.37	-0.6% -1	3.9 -10.7	3.79 0.16	AFS
207580AQ4 CONNECTICUT GREEN BK	CT	Taxable REV Utilities	275	0.850	11/15/24		NR A+	100.00 275	0.85	99.29 273	1.10	-0.7% -2	2.9 -8.1	2.84 0.10	AFS
207580AN1 CONNECTICUT GREEN BK	CT	Taxable REV Utilities	124	0.350	11/15/22		NR A+	100.00 124	0.35	100.00 124	0.35	0.0% 0	0.9 -2.6	0.88 0.01	AFS
20775C6C3 CONNECTICUT ST HSG FIN	CT	Taxable REV Other	300	2.097	11/15/24		Aaa AAA	104.01 312	0.69	102.13 306	1.34	-1.8% -6	2.8 -8.0	2.79 0.09	AFS
23542JQP3 DALLAS TEX WTRWKS & S	TX	Taxable REV Water Sewer	1,350	1.007	10/01/25		NR AAA	101.06 1,364	0.72	99.03 1,337	1.27	-2.0% -27	3.8 -10.4	3.68 0.16	AFS
427908EF1 HERNANDO CNTY FLA WTR	FL	Taxable REV Water Sewer	250	0.589	06/01/24		Aa2 NR	100.00 250	0.59	99.11 248	0.96	-0.9% -2	2.4 -6.9	2.40 0.07	AFS
427908EE4 HERNANDO CNTY FLA WTR	FL	Taxable REV Water Sewer	250	0.365	06/01/23		Aa2 NR	100.00 250	0.36	99.63 249	0.63	-0.4% -1	1.4 -4.2	1.43 0.02	AFS
46873TAD5 JACKSON TENN ENERGY A	TN	Taxable REV Gen. Purp./Pub. Imp.	1,925	3.400	04/01/26	04/01/23	NR AA	104.40 2,010	-0.11	103.45 1,991	0.63	-0.9% -18	1.3 -7.0	1.40 -0.35	AFS

Municipal - CUSIP Detail

CUSIP	State	Sector	Par Value	Cpn	Maturity	Sink Date	Next Call	Rating	Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
								Moody's	Price	TEY	Price	TEY	%	-100	Dur	
Issuer	BQ	Use of Proceeds						S&P	Value	TEY	Value	TEY	\$	+300	Cvx	
485106UX4	MO	Taxable REV						A2	101.68		98.90		-2.7%	4.2	4.11	
KANSAS CITY MO SPL OBLI	No	Gen. Purp./Pub. Imp.	1,000	1.475	04/01/26			AA-	1,017	1.07	989	1.74	-28	-11.6	0.19	AFS
56042RH96	ME	Taxable REV						A1	100.00		99.95		0.0%	0.5	0.50	
MAINE HEALTH & HIGHER E	No	Other	200	0.359	07/01/22			AA	200	0.36	200	0.46	0	-1.5	0.00	AFS
56042RJ52	ME	Taxable REV						A1	101.11		98.97		-2.1%	4.5	4.34	
MAINE HEALTH & HIGHER E	No	Other	200	1.304	07/01/26			AA	202	1.05	198	1.54	-4	-12.2	0.22	AFS
56042RJ37	ME	Taxable REV						A1	100.00		98.83		-1.2%	2.5	2.46	
MAINE HEALTH & HIGHER E	No	Other	250	0.711	07/01/24			AA	250	0.71	247	1.19	-3	-7.1	0.08	AFS
56042RJ29	ME	Taxable REV						A1	100.00		99.45		-0.6%	1.5	1.51	
MAINE HEALTH & HIGHER E	No	Other	250	0.509	07/01/23			AA	250	0.51	249	0.88	-1	-4.4	0.03	AFS
86666PAC2	AZ	Taxable REV						NR	100.22		98.89		-1.3%	2.5	2.46	
MARICOPA COUNTY SUN CI	No	Other	600	1.099	07/01/24			A+	601	1.01	593	1.55	-8	-7.1	0.07	AFS
86666PAE8	AZ	Taxable REV						NR	100.72		98.41		-2.3%	4.5	4.33	
MARICOPA COUNTY SUN CI	No	Other	500	1.707	07/01/26			A+	504	1.54	492	2.08	-12	-12.1	0.21	AFS
57419TDX6	MD	Taxable REV						Aa1	104.41		102.37		-2.0%	3.1	3.05	
MARYLAND ST CMNTY DEV	No	Other	265	2.198	03/01/25			NR	277	0.79	271	1.43	-5	-8.7	0.11	AFS
57604TAB2	MA	Taxable REV						Aa1	114.44		112.83		-1.4%	3.3	3.18	
MASSACHUSETTS ST TRAN	No	Transportation	1,000	5.203	06/01/25			AA+	1,144	0.90	1,128	1.35	-16	-9.0	0.12	AFS
61945DAZ4	VA	Taxable REV						A2	102.48		99.64		-2.8%	4.1	4.01	
MOSAIC DIST CMNTY DEV A	No	Gen. Purp./Pub. Imp.	250	1.711	03/01/26			AA	256	1.10	249	1.80	-7	-11.3	0.18	AFS
64971M5K4	NY	Taxable REV						Aa1	115.37		113.76		-1.4%	3.6	3.53	
NEW YORK N Y CITY TRANS	No	Gen. Purp./Pub. Imp.	520	5.075	11/01/25			AAA	600	0.98	592	1.38	-8	-10.0	0.15	AFS
64971WDP2	NY	Taxable REV						Aa1	107.58		105.67		-1.8%	2.1	2.11	
NEW YORK N Y CITY TRANS	No	Gen. Purp./Pub. Imp.	700	3.780	02/01/26	02/01/24		AAA	753	0.14	740	1.03	-13	-7.8	-0.14	AFS
650009S20	NY	Taxable REV						A1	105.09		103.47		-1.5%	3.9	3.79	
NEW YORK ST TWY AUTH G	No	Transportation	400	2.406	01/01/26			A	420	1.10	414	1.51	-6	-10.8	0.17	AFS
68189TBA3	NE	Taxable REV						Aa3	108.90		108.01		-0.8%	1.7	1.65	
OMAHA NEB SPL OBLIG	No	Gen. Purp./Pub. Imp.	795	6.400	02/01/26			AA+	866	1.16	859	1.65	-7	-4.8	0.05	AFS
73358W4V3	NY	Taxable REV						Aa3	101.09		100.58		-0.5%	1.5	1.50	
PORT AUTH N Y & N J	No	Transportation	1,000	1.086	07/01/23			A+	1,011	0.36	1,006	0.70	-5	-4.4	0.03	AFS
79770GJA5	CA	Taxable REV						NR	100.57		99.63		-0.9%	2.6	2.54	
SAN FRANCISCO CALIF CIT	No	Other	455	1.286	08/01/24			AA	458	1.06	453	1.43	-4	-7.3	0.08	AFS

Municipal - CUSIP Detail

CUSIP	State	Sector	Par Value	Cpn	Maturity	Sink Date	Next Call	Rating	Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
								Moody's	Price	TEY	Price	TEY	%	-100	Dur	
Issuer	BQ	Use of Proceeds						S&P	Value	TEY	Value	TEY	\$	+300	Cvx	
83754LAX5	SD	Taxable REV						NR	100.77		98.02		-2.7%	4.4	4.28	
SOUTH DAKOTA EDL ENHA	No	Other	1,000	1.495	06/01/26			NR	1,008	1.32	980	1.96	-27	-12.0	0.21	AFS
882669BR4	TX	Taxable REV						Aa1	100.00		99.47		-0.5%	1.1	1.10	
TEXAS PUBLIC FINANCE AU	No	Gen. Purp./Pub. Imp.	2,000	0.286	02/01/23			AA+	2,000	0.29	1,989	0.78	-11	-3.2	0.01	AFS
882669BS2	TX	Taxable REV						Aa1	100.00		98.67		-1.3%	2.1	2.07	
TEXAS PUBLIC FINANCE AU	No	Gen. Purp./Pub. Imp.	1,000	0.503	02/01/24			AA+	1,000	0.50	987	1.15	-13	-6.0	0.05	AFS
91476PDR6	OK	Taxable REV						NR	102.13		100.88		-1.2%	0.6	0.96	
UNIVERSITY OKLA REVS	No	Higher Education	300	3.023	07/01/25	07/01/22		A+	306	-1.19	303	1.27	-4	-7.2	-1.15	AFS
927781VU5	VA	Taxable REV						Aa1	110.90		109.58		-1.2%	2.6	2.50	
VIRGINIA COLLEGE BUILDIN	No	Higher Education	755	4.750	09/01/24			AA+	837	0.63	827	1.10	-10	-7.3	0.08	AFS
Total Taxable REV								Aa2	103.30		101.95		-1.3%	2.5	2.46	
			19,424	2.073				AA+	20,064	0.62	19,803	1.17	-261	-7.5	0.02	
Total Taxable								Aa1	105.40		104.26		-1.1%	2.5	2.46	
			43,577	2.983				AA+	45,930	0.65	45,434	1.06	-496	-7.3	0.06	
882874KQ2	TX	GM REV						Aa3	112.01		111.73		-0.3%	3.4	3.24	
TEXAS WOMANS UNIV REV	No	Higher Education	315	4.000	07/01/25			NR	353	0.68	352	0.77	-1	-9.4	0.13	AFS
Total GM REV								Aa3	112.01		111.73		-0.3%	3.4	3.24	
			315	4.000					353	0.68	352	0.77	-1	-9.4	0.13	
Total Tax Exempt								Aa3	112.01		111.73		-0.3%	3.4	3.24	
			315	4.000					353	0.68	352	0.77	-1	-9.4	0.13	
Total								Aa1	105.45		104.31		-1.1%	2.5	2.47	
			43,892	2.990				AA+	46,283	0.65	45,786	1.06	-497	-7.3	0.06	

Corporate - CUSIP Detail

CUSIP	Sector	Par Value	Cpn	Maturity	Index Margin	Rating	Book		Market		Gain/(Loss)	% Px Δ	Eff.	Intent
						S&P Moody's	Price Value	Yield	Price Value	Yield	% \$	-100 +300	Dur Cvx	
742404AK2 RNCON 4.105 07/01/23	Services Service Company	1,000	4.105	07/01/23		AAA Aa1	105.24 1,052	0.60	104.50 1,045	1.08	-0.7% -7	1.5 -4.3	1.46 0.03	AFS
Services		1,000	4.105			AAA Aa1	105.24 1,052	0.60	104.50 1,045	1.08	-0.7% -7	1.5 -4.3	1.46 0.03	
Total		1,000	4.105			AAA Aa1	105.24 1,052	0.60	104.50 1,045	1.08	-0.7% -7	1.5 -4.3	1.46 0.03	

CD - CUSIP Detail

CUSIP	Security Description	Par Value	Coupon	Step	Maturity	Book		Market		Gain/(Loss)	% Px Δ	Effective	Intent
			Type Rate	Date Rate	Proj. Final	Price Value	Yield	Price Value	Yield	%	-100 +300	Dur Cvx	
91435LAG2	UNIICC 3.05 05/15/23	240	Fixed 3.050		05/23 05/15/23	103.35 248	0.60	103.85 249	0.23	0.5% 1	1.4 -4.0	1.36 0.02	AFS
034577AK2	ANEFCU 3.2 06/21/23	246	Fixed 3.200		06/23 06/21/23	103.88 256	0.55	104.29 257	0.27	0.4% 1	1.5 -4.3	1.47 0.03	AFS
05580AD68	BMW 0.65 09/17/24	245	Fixed 0.650		09/24 09/17/24	100.00 245	0.65	100.76 247	0.37	0.8% 2	2.7 -7.7	2.68 0.09	AFS
87165FA20	SYF 0.65 09/17/24	245	Fixed 0.650		09/24 09/17/24	100.00 245	0.65	100.76 247	0.37	0.8% 2	2.7 -7.7	2.68 0.09	AFS
795451AK9	SALLMA 1.1 08/11/26	245	Fixed 1.100		08/26 08/11/26	100.00 245	1.10	98.45 241	1.45	-1.6% -4	4.6 -12.5	4.48 0.23	AFS
38149MZJ5	GS 1.05 09/08/26	245	Fixed 1.050		09/26 09/08/26	100.00 245	1.05	98.14 240	1.46	-1.9% -5	4.7 -12.7	4.57 0.23	AFS
856285XL0	SBIIN 1.15 09/28/26	245	Fixed 1.150		09/26 09/28/26	100.00 245	1.15	98.53 241	1.47	-1.5% -4	4.7 -12.8	4.61 0.24	AFS
NCD		1,711	1.547			101.03 1,729	0.82	100.68 1,723	0.79	-0.3% -6	3.2 -8.8	3.09 0.13	
Total		1,711	1.547			101.03 1,729	0.82	100.68 1,723	0.79	-0.3% -6	3.2 -8.8	3.09 0.13	

Other - CUSIP Detail

CUSIP	Ticker	Par Value	Coupon	Step	Maturity	Book		Market		Gain/(Loss)	% Px Δ	Effective	Intent
			Type Rate	Date Rate	Proj. Final	Price Value	Yield	Price Value	Yield	% \$	-100 +300	Dur Cvx	
TOACASH1		38,022	Fixed 0.068		01/22 01/31/22	100.00 38,022	0.07	100.00 38,022	0.07	0.0% 0	0.1 -0.3	0.09 0.00	AFS
Cash & Other		38,022	0.068			100.00 38,022	0.07	100.00 38,022	0.07	0.0% 0	0.1 -0.3	0.09 0.00	
Total		38,022	0.068			100.00 38,022	0.07	100.00 38,022	0.07	0.0% 0	0.1 -0.3	0.09 0.00	

Shocked CUSIP Analysis

CUSIP Description	Par Value Coupon Maturity	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld Dur WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL
3133EMZ70 FFCB 0.48 02/10/25	1,000 0.48 02/10/2025	18 100.10	1.81% -4.66 0.02	18 100.07	1.79% -3.25 0.02	17 100.05	1.76% -1.84 0.02	0 98.32	0.00% 1.23 2.28	-28 95.54	-2.83% 1.97 3.11	-56 92.70	-5.71% 2.95 3.11	-84 89.93	-8.53% 3.95 3.11
3133EM3E0 FFCB 0.61 05/23/25	1,000 0.61 05/23/2025	18 100.24	1.87% -11.39 0.02	18 100.18	1.80% -8.26 0.02	17 100.11	1.73% -5.08 0.02	0 98.41	0.00% 1.31 2.31	-30 95.44	-3.02% 2.01 3.40	-60 92.37	-6.13% 2.99 3.40	-90 89.37	-9.18% 3.99 3.40
3133EMXQ0 FFCB 0.94 04/28/26	1,000 0.94 04/28/2026	29 101.28	2.91% -2.91 0.33	25 100.94	2.57% -1.91 0.33	21 100.52	2.15% -0.65 0.33	0 98.41	0.00% 1.57 2.58	-36 94.86	-3.61% 2.19 4.33	-73 91.10	-7.43% 3.16 4.33	-110 87.40	-11.18% 4.15 4.33
3133EFUJ4 FFCB 2.15 12/29/22	3,000 2.15 12/29/2022	93 104.87	3.06% -2.64 1.00	62 103.82	2.03% -1.63 1.00	31 102.78	1.01% -0.62 1.00	0 101.75	0.00% 0.39 1.00	-30 100.74	-1.00% 1.40 1.00	-60 99.74	-1.98% 2.42 1.00	-90 98.75	-2.95% 3.43 1.00
3130AMDE9 FHLB 0 1/2 05/12/26	1,500 0.50 05/12/2026	22 100.42	1.46% -3.09 0.12	20 100.30	1.34% -2.08 0.12	18 100.18	1.22% -1.06 0.12	0 98.98	0.00% 1.53 1.62	-47 95.86	-3.15% 2.44 2.87	-101 92.26	-6.79% 3.20 4.37	-156 88.58	-10.50% 4.17 4.37
3130AP2G9 FHLB 0 3/4 12/30/25	1,000 0.75 12/30/2025	45 102.74	4.55% -2.84 0.75	37 101.95	3.74% -1.82 0.75	25 100.80	2.57% -0.31 0.75	0 98.27	0.00% 1.46 2.50	-34 94.88	-3.45% 2.09 4.00	-69 91.36	-7.04% 3.06 4.00	-104 87.90	-10.55% 4.06 4.00
3130AMMW9 FHLB 0 5/8 06/10/26	1,500 0.63 06/10/2026	26 100.68	1.73% -2.83 0.19	23 100.48	1.53% -1.85 0.19	20 100.28	1.33% -0.84 0.19	0 98.97	0.00% 1.68 1.19	-50 95.64	-3.37% 2.32 4.44	-107 91.81	-7.24% 3.27 4.44	-165 87.99	-11.09% 4.27 4.44
3130AN5K2 FHLB 0.3 07/20/26	1,500 0.30 07/20/2026	32 101.82	2.12% -2.92 0.56	23 101.25	1.55% -1.92 0.56	15 100.68	0.98% -0.91 0.56	0 99.71	0.00% 0.83 0.56	-45 96.71	-3.01% 2.11 4.56	-105 92.68	-7.04% 3.08 4.56	-165 88.72	-11.02% 4.08 4.56
3130AJZJ1 FHLB 0.32 08/25/23	2,667 0.32 08/25/2023	22 100.24	0.82% -11.49 0.02	20 100.17	0.75% -8.09 0.02	18 100.10	0.68% -4.63 0.02	0 99.42	0.00% 0.92 0.98	-41 97.86	-1.56% 1.64 1.65	-84 96.26	-3.17% 2.64 1.65	-126 94.69	-4.76% 3.66 1.65
3130AMMJ8 FHLB 0.4 06/09/26	1,000 0.40 06/09/2026	54 104.34	5.44% -2.53 1.44	39 102.82	3.90% -1.53 1.44	23 101.23	2.30% -0.45 1.44	0 98.96	0.00% 1.13 1.44	-33 95.62	-3.37% 2.17 4.44	-72 91.80	-7.23% 3.12 4.44	-110 87.98	-11.09% 4.11 4.44

Shocked CUSIP Analysis

CUSIP Description	Par Value Coupon Maturity	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld Dur WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL
3130AND72 FHLB 0.43 04/29/24	1,000 0.43 04/29/2024	13 100.29 0.08	1.36% -3.08 0.08	13 100.20 0.08	1.28% -2.09 0.08	12 100.12 0.08	1.19% -1.09 0.08	0 98.94 2.08	0.00% 1.11 1.58	-21 96.81 2.33	-2.15% 1.83 2.33	-43 94.64 2.33	-4.35% 2.82 2.33	-64 92.51 2.33	-6.50% 3.82 2.33
3130APAF2 FHLB 0.52 10/07/24	1,000 0.52 10/07/2024	13 100.07 0.02	1.31% -3.15 0.02	13 100.05 0.02	1.29% -2.16 0.02	13 100.03 0.02	1.27% -1.17 0.02	0 98.78 2.39	0.00% 1.22 1.77	-25 96.31 2.77	-2.50% 1.89 2.77	-50 93.76 2.77	-5.08% 2.88 2.77	-75 91.27 2.77	-7.60% 3.88 2.77
3130AMJX1 FHLB 0.65 12/09/24	1,500 0.65 12/09/2024	17 100.10 0.03	1.16% -3.16 0.03	17 100.07 0.03	1.14% -2.17 0.03	16 100.05 0.03	1.11% -1.18 0.03	0 98.95 2.40	0.00% 1.25 1.78	-38 96.39 2.94	-2.58% 1.92 2.94	-78 93.72 2.94	-5.29% 2.89 2.94	-118 91.08 2.94	-7.96% 3.89 2.94
3130AP6D2 FHLB 1 09/30/26	1,000 1.00 09/30/2026	27 101.00 0.25	2.76% -2.93 0.25	25 100.74 0.25	2.50% -1.94 0.25	21 100.42 0.25	2.18% -0.69 0.25	0 98.28 3.59	0.00% 1.59 3.00	-38 94.46 4.75	-3.89% 2.24 4.75	-79 90.40 4.75	-8.02% 3.20 4.75	-119 86.40 4.75	-12.09% 4.19 4.75
3130ADRG9 FHLB 2 3/4 03/10/23	4,500 2.75 03/10/2023	169 106.43 1.19	3.66% -2.52 1.19	112 105.16 1.19	2.42% -1.52 1.19	56 103.91 1.19	1.20% -0.51 1.19	0 102.68 1.18	0.00% 0.50 1.19	-55 101.46 1.19	-1.18% 1.51 1.19	-109 100.26 1.19	-2.35% 2.52 1.19	-162 99.08 1.19	-3.50% 3.54 1.19
3134GWUG9 FHLMC 0.57 09/24/25	2,000 0.57 09/24/2025	58 100.83 0.23	2.97% -2.95 0.23	54 100.60 0.23	2.73% -1.97 0.23	46 100.24 0.23	2.37% -0.45 0.23	0 97.92 3.28	0.00% 1.35 2.73	-66 94.64 3.73	-3.35% 2.07 3.73	-132 91.30 3.73	-6.76% 3.05 3.73	-198 88.04 3.73	-10.09% 4.05 3.73
3136G4V59 FNMA 0 5/8 08/27/25	1,500 0.63 08/27/2025	37 100.59 0.16	2.52% -3.08 0.16	35 100.43 0.16	2.35% -2.08 0.16	32 100.22 0.16	2.14% -0.79 0.16	0 98.12 3.17	0.00% 1.42 2.41	-48 94.92 3.66	-3.26% 2.07 3.66	-97 91.64 3.66	-6.61% 3.06 3.66	-145 88.44 3.66	-9.87% 4.06 3.66
3135G05R0 FNMA 0.3 08/10/23	3,000 0.30 08/10/2023	76 101.93 0.61	2.55% -2.81 0.61	57 101.30 0.61	1.92% -1.80 0.61	36 100.58 0.61	1.20% -0.65 0.61	0 99.39 1.48	0.00% 0.85 1.11	-46 97.86 1.61	-1.54% 1.65 1.61	-93 96.30 1.61	-3.11% 2.66 1.61	-139 94.76 1.61	-4.66% 3.68 1.61
3136G4N74 FNMA 0.56 08/21/25	1,500 0.56 08/21/2025	87 103.74 1.14	5.91% -2.64 1.14	68 102.46 1.14	4.61% -1.57 1.14	41 100.70 1.14	2.81% -0.06 1.14	0 97.95 3.27	0.00% 1.35 2.64	-49 94.71 3.64	-3.30% 2.07 3.64	-98 91.45 3.64	-6.64% 3.06 3.64	-145 88.26 3.64	-9.89% 4.06 3.64
3136G4A45 FNMA 0.71 07/22/25	1,500 0.71 07/22/2025	26 100.23 0.06	1.78% -3.10 0.06	25 100.17 0.06	1.72% -2.11 0.06	24 100.11 0.06	1.66% -1.11 0.06	0 98.48 2.97	0.00% 1.38 2.31	-46 95.40 3.56	-3.13% 2.06 3.56	-94 92.21 3.56	-6.37% 3.03 3.56	-141 89.08 3.56	-9.54% 4.03 3.56

Shocked CUSIP Analysis

CUSIP	Par Value	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ
Description	Coupon	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld
	Maturity		WAL		WAL		WAL	Dur	WAL		WAL		WAL		WAL
Treasury/Agency	33,667	882	2.63%	701	2.09%	502	1.50%	0	0.00%	-805	-2.40%	-1,661	-4.96%	-2,506	-7.48%
	0.97	102.17	-3.81	101.63	-2.55	101.04	-1.17	99.55	1.06	97.16	1.87	94.62	2.85	92.11	3.85
			0.51		0.51		0.51	2.23	1.66		2.75		2.82		2.82
052403GX4	1,875	35	1.79%	23	1.19%	11	0.59%	0	0.00%	-11	-0.59%	-23	-1.17%	-34	-1.75%
AUSTIN TEX CMNTY	5.00	104.53	-2.61	103.91	-1.61	103.29	-0.60	102.68	0.41	102.08	1.42	101.48	2.44	100.89	3.45
	08/01/2022		0.59		0.59		0.59	0.58	0.59		0.59		0.59		0.59
067167YR1	500	69	12.39%	45	8.07%	22	3.94%	0	0.00%	-21	-3.77%	-41	-7.38%	-60	-10.82%
BARBERS HILL TEX INDPT	4.00	124.61	-1.73	119.82	-0.72	115.24	0.28	110.87	1.29	106.69	2.29	102.69	3.30	98.87	4.30
	02/15/2026		4.13		4.13		4.13	3.80	4.13		4.13		4.13		4.13
084244HX3	1,010	60	5.93%	40	3.91%	20	1.93%	0	0.00%	-19	-1.89%	-38	-3.73%	-56	-5.53%
BERKELEY CNTY W VA	0.89	106.06	-2.19	104.04	-1.19	102.05	-0.18	100.12	0.82	98.23	1.83	96.39	2.83	94.59	3.84
	12/01/2023		1.92		1.92		1.92	1.91	1.92		1.92		1.92		1.92
088632CT9	1,525	179	11.73%	117	7.65%	57	3.75%	0	0.00%	-55	-3.59%	-107	-7.04%	-158	-10.34%
BI-STATE DEV AGY MO ILL	1.34	111.86	-1.70	107.78	-0.70	103.87	0.31	100.12	1.31	96.52	2.32	93.07	3.32	89.77	4.33
	10/01/2025		3.75		3.75		3.75	3.66	3.75		3.75		3.75		3.75
114727VY3	1,358	14	1.01%	9	0.67%	5	0.33%	0	0.00%	-5	-0.33%	-9	-0.66%	-13	-0.99%
BROOME CNTY N Y	1.00	101.22	-2.62	100.88	-1.63	100.54	-0.63	100.21	0.37	99.87	1.37	99.54	2.38	99.22	3.39
	04/29/2022		0.33		0.33		0.33	0.33	0.33		0.33		0.33		0.33
150461M57	2,360	278	11.06%	181	7.22%	89	3.54%	0	0.00%	-85	-3.40%	-167	-6.66%	-246	-9.79%
CEDAR PARK TEX	2.95	118.12	-1.86	114.04	-0.85	110.12	0.15	106.36	1.15	102.74	2.16	99.27	3.16	95.94	4.17
	08/15/2025		3.63		3.63		3.63	3.43	3.63		3.63		3.63		3.63
207580AN1	124	3	2.70%	2	1.79%	1	0.89%	0	0.00%	-1	-0.88%	-2	-1.75%	-3	-2.61%
CONNECTICUT GREEN BK	0.35	102.70	-2.67	101.79	-1.67	100.89	-0.66	100.00	0.35	99.12	1.37	98.25	2.39	97.39	3.41
	11/15/2022		0.88		0.88		0.88	0.88	0.88		0.88		0.88		0.88
207580AP6	300	17	5.82%	11	3.83%	6	1.90%	0	0.00%	-6	-1.85%	-11	-3.66%	-16	-5.43%
CONNECTICUT GREEN BK	0.55	105.40	-2.25	103.42	-1.25	101.49	-0.24	99.61	0.76	97.76	1.77	95.96	2.78	94.19	3.79
	11/15/2023		1.88		1.88		1.88	1.87	1.88		1.88		1.88		1.88
207580AQ4	275	24	8.97%	16	5.88%	8	2.89%	0	0.00%	-8	-2.79%	-15	-5.49%	-22	-8.10%
CONNECTICUT GREEN BK	0.85	108.19	-1.91	105.13	-0.91	102.16	0.10	99.29	1.10	96.52	2.10	93.84	3.11	91.24	4.11
	11/15/2024		2.88		2.88		2.88	2.84	2.88		2.88		2.88		2.88

Shocked CUSIP Analysis

CUSIP Description	Par Value Coupon Maturity	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld Dur WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL
207580AR2 CONNECTICUT GREEN BK	200 1.20 11/15/2025	24 111.44	12.15% -1.65 3.88	16 107.24	7.92% -0.64 3.88	8 103.21	3.88% 0.36 3.88	0 99.36	0.00% 1.37 3.79	-7 95.67	-3.71% 2.37 3.88	-14 92.14	-7.27% 3.38 3.88	-21 88.76	-10.67% 4.39 3.88
20772KJX8 CONNECTICUT ST	235 2.10 07/01/2025	26 114.40	10.81% -1.86 3.50	17 110.53	7.06% -0.86 3.50	8 106.82	3.46% 0.15 3.50	0 103.24	0.00% 1.15 3.36	-8 99.81	-3.33% 2.16 3.50	-16 96.50	-6.53% 3.16 3.50	-23 93.33	-9.60% 4.16 3.50
20772GE61 CONNECTICUT ST	1,205 5.73 03/15/2024	88 117.69	6.58% -2.06 2.21	58 115.20	4.32% -1.05 2.21	28 112.78	2.13% -0.05 2.21	0 110.42	0.00% 0.95 2.07	-28 108.13	-2.08% 1.95 2.21	-55 105.90	-4.10% 2.95 2.21	-81 103.72	-6.07% 3.95 2.21
20775C6C3 CONNECTICUT ST HSG	300 2.10 11/15/2024	27 111.16	8.84% -1.68 2.88	18 108.05	5.79% -0.67 2.88	9 105.04	2.85% 0.33 2.88	0 102.13	0.00% 1.34 2.79	-8 99.32	-2.75% 2.34 2.88	-17 96.60	-5.42% 3.35 2.88	-24 93.97	-7.99% 4.35 2.88
235308D75 DALLAS TEX INDPT SCH	2,750 4.00 08/15/2025	330 122.10	10.90% -1.86 3.63	216 117.94	7.12% -0.86 3.63	106 113.94	3.49% 0.14 3.63	0 110.10	0.00% 1.15 3.37	-101 106.41	-3.35% 2.15 3.63	-199 102.86	-6.57% 3.16 3.63	-293 99.46	-9.66% 4.16 3.63
23542JQP3 DALLAS TEX WTRWKS &	1,350 1.01 10/01/2025	158 110.71	11.79% -1.74 3.75	103 106.65	7.69% -0.74 3.75	50 102.76	3.77% 0.27 3.75	0 99.03	0.00% 1.27 3.68	-48 95.46	-3.61% 2.28 3.75	-95 92.03	-7.07% 3.28 3.75	-139 88.74	-10.39% 4.29 3.75
345102PG6 FOOTHILL-DE ANZA CALIF	700 0.91 08/01/2025	78 109.93	11.27% -1.76 3.59	51 106.06	7.36% -0.76 3.59	25 102.35	3.61% 0.25 3.59	0 98.79	0.00% 1.25 3.52	-24 95.37	-3.46% 2.26 3.59	-47 92.08	-6.79% 3.26 3.59	-69 88.93	-9.98% 4.27 3.59
365820HB8 GARFIELD HEIGHTS OHIO	250 3.10 12/15/2024	12 107.60	4.57% -2.01 1.46	8 106.04	3.05% -1.00 1.46	4 104.50	1.56% 0.01 1.46	0 102.90	0.00% 1.09 1.71	-5 100.96	-1.88% 2.42 1.46	-11 98.67	-4.11% 3.79 2.04	-17 96.15	-6.56% 4.50 2.96
419791YT9 HAWAII ST	1,500 5.33 02/01/2026	210 130.01	12.04% -1.73 4.09	137 125.14	7.85% -0.72 4.09	67 120.48	3.84% 0.28 4.09	0 116.03	0.00% 1.29 3.68	-64 111.77	-3.67% 2.29 4.09	-125 107.70	-7.18% 3.30 4.09	-183 103.80	-10.54% 4.31 4.09
427908EF1 HERNANDO CNTY FLA	250 0.59 06/01/2024	19 106.56	7.52% -2.04 2.42	12 104.00	4.94% -1.04 2.42	6 101.52	2.43% -0.04 2.42	0 99.11	0.00% 0.96 2.40	-6 96.77	-2.36% 1.96 2.42	-12 94.49	-4.66% 2.96 2.42	-17 92.29	-6.88% 3.96 2.42

Shocked CUSIP Analysis

CUSIP	Par Value	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ
Description	Coupon	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld
	Maturity		WAL		WAL		WAL	Dur	WAL		WAL		WAL		WAL
427908EE4	250	11	4.40%	7	2.91%	4	1.44%	0	0.00%	-4	-1.42%	-7	-2.81%	-10	-4.19%
HERNANDO CNTY FLA	0.37	104.01	-2.40	102.53	-1.39	101.06	-0.38	99.63	0.63	98.21	1.64	96.82	2.66	95.45	3.68
	06/01/2023		1.42		1.42		1.42	1.43	1.42		1.42		1.42		1.42
46873TAD5	1,925	77	3.88%	51	2.58%	26	1.30%	0	0.00%	-33	-1.65%	-79	-3.99%	-139	-6.98%
JACKSON TENN ENERGY	3.40	107.46	-2.43	106.12	-1.42	104.79	-0.41	103.45	0.63	101.74	1.98	99.32	3.72	96.23	4.44
	04/01/2026		1.25		1.25		1.25	1.40	1.25		1.25		2.25		4.00
473142QV2	260	9	3.61%	6	2.39%	3	1.19%	0	0.00%	-3	-1.17%	-6	-2.32%	-9	-3.46%
JEFFERSON CNTY MO	0.45	103.32	-2.33	102.10	-1.33	100.90	-0.32	99.72	0.69	98.55	1.71	97.40	2.72	96.27	3.74
	03/01/2023		1.17		1.17		1.17	1.18	1.17		1.17		1.17		1.17
473142QU4	110	1	0.50%	0	0.33%	0	0.17%	0	0.00%	0	-0.17%	0	-0.33%	-1	-0.50%
JEFFERSON CNTY MO	0.30	100.51	-2.69	100.34	-1.71	100.18	-0.73	100.01	0.25	99.84	1.24	99.68	2.23	99.51	3.22
	03/01/2022		0.17		0.17		0.17	0.17	0.17		0.17		0.17		0.17
473142QY6	300	39	13.10%	25	8.53%	12	4.16%	0	0.00%	-12	-3.98%	-23	-7.77%	-34	-11.40%
JEFFERSON CNTY MO	1.20	111.58	-1.48	107.07	-0.48	102.77	0.53	98.66	1.53	94.74	2.54	90.99	3.54	87.41	4.55
	03/01/2026		4.17		4.17		4.17	4.05	4.17		4.17		4.17		4.17
473142QX8	280	27	9.90%	18	6.48%	9	3.18%	0	0.00%	-8	-3.07%	-17	-6.02%	-25	-8.87%
JEFFERSON CNTY MO	0.95	108.55	-1.66	105.17	-0.66	101.91	0.34	98.77	1.35	95.74	2.35	92.82	3.36	90.01	4.36
	03/01/2025		3.17		3.17		3.17	3.11	3.17		3.17		3.17		3.17
473142QW0	200	13	6.72%	9	4.42%	4	2.18%	0	0.00%	-4	-2.12%	-8	-4.19%	-12	-6.20%
JEFFERSON CNTY MO	0.65	105.80	-1.96	103.52	-0.95	101.30	0.05	99.14	1.05	97.04	2.05	94.99	3.05	93.00	4.05
	03/01/2024		2.17		2.17		2.17	2.15	2.17		2.17		2.17		2.17
485106UX4	1,000	132	13.31%	86	8.66%	42	4.23%	0	0.00%	-40	-4.03%	-78	-7.88%	-114	-11.56%
KANSAS CITY MO SPL	1.48	112.07	-1.28	107.47	-0.27	103.09	0.74	98.90	1.74	94.91	2.75	91.11	3.76	87.47	4.76
	04/01/2026		4.25		4.25		4.25	4.11	4.25		4.25		4.25		4.25
56042RJ29	250	12	4.66%	8	3.08%	4	1.53%	0	0.00%	-4	-1.50%	-7	-2.97%	-11	-4.42%
MAINE HEALTH & HIGHER	0.51	104.08	-2.15	102.51	-1.14	100.96	-0.13	99.45	0.88	97.96	1.90	96.49	2.91	95.05	3.93
	07/01/2023		1.50		1.50		1.50	1.51	1.50		1.50		1.50		1.50
56042RH96	200	3	1.53%	2	1.02%	1	0.51%	0	0.00%	-1	-0.50%	-2	-1.00%	-3	-1.50%
MAINE HEALTH & HIGHER	0.36	101.48	-2.55	100.97	-1.55	100.46	-0.55	99.95	0.46	99.45	1.47	98.95	2.48	98.45	3.49
	07/01/2022		0.50		0.50		0.50	0.50	0.50		0.50		0.50		0.50

Shocked CUSIP Analysis

CUSIP	Par Value	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ
Description	Coupon	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld
	Maturity		WAL		WAL		WAL	Dur	WAL		WAL		WAL		WAL
56042RJ37	250	19	7.77%	13	5.10%	6	2.51%	0	0.00%	-6	-2.44%	-12	-4.80%	-18	-7.09%
MAINE HEALTH & HIGHER	0.71	106.51	-1.82	103.87	-0.82	101.31	0.19	98.83	1.19	96.42	2.19	94.08	3.19	91.81	4.19
	07/01/2024		2.50		2.50		2.50	2.46	2.50		2.50		2.50		2.50
56042RJ52	200	28	14.17%	18	9.21%	9	4.49%	0	0.00%	-8	-4.27%	-17	-8.34%	-24	-12.21%
MAINE HEALTH & HIGHER	1.30	113.00	-1.48	108.09	-0.47	103.41	0.54	98.97	1.54	94.74	2.55	90.72	3.55	86.88	4.56
	07/01/2026		4.50		4.50		4.50	4.34	4.50		4.50		4.50		4.50
86666PAE8	500	69	14.06%	45	9.14%	22	4.45%	0	0.00%	-21	-4.24%	-41	-8.28%	-60	-12.12%
MARICOPA COUNTY SUN	1.71	112.25	-0.95	107.40	0.06	102.80	1.07	98.41	2.08	94.24	3.09	90.27	4.09	86.48	5.10
	07/01/2026		4.50		4.50		4.50	4.33	4.50		4.50		4.50		4.50
86666PAC2	600	46	7.75%	30	5.09%	15	2.50%	0	0.00%	-14	-2.43%	-28	-4.78%	-42	-7.07%
MARICOPA COUNTY SUN	1.10	106.55	-1.46	103.91	-0.45	101.36	0.55	98.89	1.55	96.48	2.56	94.15	3.56	91.89	4.56
	07/01/2024		2.50		2.50		2.50	2.46	2.50		2.50		2.50		2.50
57419TDX6	265	26	9.74%	17	6.37%	8	3.13%	0	0.00%	-8	-3.02%	-16	-5.93%	-24	-8.74%
MARYLAND ST CMNTY	2.20	112.34	-1.58	108.90	-0.58	105.58	0.43	102.37	1.43	99.28	2.43	96.30	3.44	93.43	4.44
	03/01/2025		3.17		3.17		3.17	3.05	3.17		3.17		3.17		3.17
57604TAB2	1,000	114	10.14%	75	6.63%	37	3.25%	0	0.00%	-35	-3.13%	-69	-6.14%	-102	-9.05%
MASSACHUSETTS ST	5.20	124.28	-1.67	120.32	-0.66	116.50	0.34	112.83	1.35	109.30	2.35	105.90	3.36	102.63	4.37
	06/01/2025		3.42		3.42		3.42	3.18	3.42		3.42		3.42		3.42
61945DAZ4	250	32	12.98%	21	8.45%	10	4.13%	0	0.00%	-10	-3.94%	-19	-7.70%	-28	-11.30%
MOSAIC DIST CMNTY DEV	1.71	112.57	-1.22	108.06	-0.21	103.75	0.79	99.64	1.80	95.71	2.81	91.96	3.81	88.38	4.82
	03/01/2026		4.17		4.17		4.17	4.01	4.17		4.17		4.17		4.17
64763FTY5	360	22	5.78%	15	3.81%	7	1.88%	0	0.00%	-7	-1.84%	-14	-3.63%	-21	-5.39%
NEW ORLEANS LA	5.00	114.10	-2.15	111.97	-1.15	109.90	-0.15	107.87	0.86	105.89	1.86	103.95	2.87	102.06	3.88
	12/01/2023		1.92		1.92		1.92	1.85	1.92		1.92		1.92		1.92
64966H4M9	5,560	325	5.44%	214	3.58%	106	1.77%	0	0.00%	-103	-1.72%	-203	-3.40%	-301	-5.04%
NEW YORK N Y	5.05	113.32	-2.20	111.32	-1.19	109.37	-0.19	107.47	0.81	105.62	1.82	103.82	2.83	102.05	3.83
	10/01/2024		1.78		1.78		1.78	1.72	1.78		1.78		1.78		1.78
64971M5K4	520	67	11.36%	44	7.41%	21	3.63%	0	0.00%	-21	-3.48%	-40	-6.81%	-59	-10.01%
NEW YORK N Y CITY	5.08	126.68	-1.64	122.19	-0.63	117.89	0.37	113.76	1.38	109.80	2.39	106.01	3.39	102.37	4.40
	11/01/2025		3.84		3.84		3.84	3.53	3.84		3.84		3.84		3.84

Shocked CUSIP Analysis

CUSIP Description	Par Value Coupon Maturity	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld Dur WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL
64971WDP2 NEW YORK N Y CITY	700 3.78 02/01/2026	47 112.38	6.35% -2.00 2.09	31 110.10	4.19% -1.00 2.09	15 107.87	2.09% 0.01 2.09	0 105.67	0.00% 1.03 2.11 2.09	-17 103.29	-2.25% 2.16 2.09	-36 100.56	-4.83% 3.50 2.09	-58 97.45	-7.78% 4.58 3.50
650009S20 NEW YORK ST TWY AUTH	400 2.41 01/01/2026	51 116.21	12.31% -1.51 4.00	33 111.77	8.03% -0.50 4.00	16 107.53	3.92% 0.50 4.00	0 103.47	0.00% 1.51 3.79 4.00	-16 99.59	-3.75% 2.52 4.00	-30 95.87	-7.34% 3.52 4.00	-45 92.32	-10.78% 4.53 4.00
6817126Z3 OMAHA NEB	750 0.98 04/15/2026	101 112.24	13.56% -1.75 4.29	65 107.56	8.82% -0.75 4.29	32 103.09	4.31% 0.26 4.29	0 98.84	0.00% 1.26 4.20 4.29	-30 94.78	-4.11% 2.27 4.29	-59 90.91	-8.02% 3.27 4.29	-87 87.22	-11.76% 4.27 4.29
68189TBA3 OMAHA NEB SPL OBLIG	795 6.40 02/01/2026	45 113.73	5.30% -1.37 1.73	30 111.77	3.48% -0.36 1.73	15 109.86	1.71% 0.64 1.73	0 108.01	0.00% 1.65 1.65 1.73	-14 106.22	-1.66% 2.66 1.73	-28 104.47	-3.28% 3.67 1.73	-42 102.78	-4.85% 4.68 1.73
70914PME9 PENNSYLVANIA	1,800 4.65 02/15/2026	133 115.47	6.86% -2.04 2.23	87 112.91	4.49% -1.04 2.23	43 110.44	2.20% -0.03 2.23	0 108.06	0.00% 0.97 2.13 2.23	-41 105.76	-2.13% 1.97 2.23	-81 103.53	-4.18% 2.97 2.23	-120 101.39	-6.17% 3.98 2.23
73358W4V3 PORT AUTH N Y & N J	1,000 1.09 07/01/2023	47 105.25	4.65% -2.33 1.50	31 103.66	3.07% -1.32 1.50	15 102.11	1.52% -0.31 1.50	0 100.58	0.00% 0.70 1.50 1.50	-15 99.07	-1.50% 1.71 1.50	-30 97.59	-2.96% 2.73 1.50	-44 96.14	-4.41% 3.75 1.50
79770GJA5 SAN FRANCISCO CALIF	455 1.29 08/01/2024	36 107.60	8.00% -1.58 2.59	24 104.86	5.25% -0.58 2.59	12 102.21	2.58% 0.43 2.59	0 99.63	0.00% 1.43 2.54 2.59	-11 97.14	-2.50% 2.43 2.59	-22 94.72	-4.93% 3.44 2.59	-33 92.37	-7.29% 4.44 2.59
83754LAX5 SOUTH DAKOTA EDL	1,000 1.50 06/01/2026	136 111.59	13.84% -1.06 4.42	88 106.84	9.00% -0.05 4.42	43 102.32	4.39% 0.96 4.42	0 98.02	0.00% 1.96 4.28 4.42	-41 93.92	-4.18% 2.97 4.42	-80 90.01	-8.17% 3.98 4.42	-117 86.29	-11.96% 4.99 4.42
882669BS2 TEXAS PUBLIC FINANCE	1,000 0.50 02/01/2024	64 105.06	6.47% -1.86 2.09	42 102.87	4.26% -0.86 2.09	21 100.74	2.10% 0.15 2.09	0 98.67	0.00% 1.15 2.07 2.09	-20 96.65	-2.05% 2.15 2.09	-40 94.68	-4.04% 3.16 2.09	-59 92.76	-5.99% 4.16 2.09
882669BR4 TEXAS PUBLIC FINANCE	2,000 0.29 02/01/2023	67 102.82	3.37% -2.26 1.09	44 101.69	2.23% -1.25 1.09	22 100.57	1.11% -0.24 1.09	0 99.47	0.00% 0.78 1.10 1.09	-22 98.38	-1.09% 1.80 1.09	-43 97.31	-2.17% 2.82 1.09	-64 96.25	-3.24% 3.84 1.09

Shocked CUSIP Analysis

CUSIP Description	Par Value	-300		-200		-100		Base		+100		+200		+300		
		Coupon	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ	\$ Amt Δ	% Px Δ
Maturity	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld	Mkt Px	Mkt Yld
		WAL	WAL	WAL	WAL	WAL	WAL	Dur	WAL	WAL	WAL	WAL	WAL	WAL	WAL	WAL
882874KQ2	315	37	10.54%	24	6.89%	12	3.38%	0	0.00%	-11	-3.25%	-22	-6.37%	-33	-9.38%	
TEXAS WOMANS UNIV	4.00	123.52	-3.03	119.43	-1.76	115.51	-0.50	111.73	0.77	108.11	2.04	104.61	3.31	101.26	4.58	
	07/01/2025		3.50		3.50		3.50		3.24		3.50		3.50		3.50	
894673TA0	275	35	12.98%	23	8.45%	11	4.13%	0	0.00%	-11	-3.94%	-21	-7.71%	-31	-11.31%	
TREDYFFRIN-EASTTOWN	1.16	112.14	-1.67	107.64	-0.66	103.35	0.34	99.25	1.35	95.34	2.35	91.60	3.36	88.02	4.36	
	02/15/2026		4.13		4.13		4.13		4.02		4.13		4.13		4.13	
91476PDR6	300	5	1.64%	3	1.13%	2	0.62%	0	0.00%	-5	-1.61%	-13	-4.21%	-22	-7.15%	
UNIVERSITY OKLA REVS	3.02	102.54	-1.97	102.02	-0.97	101.50	0.04	100.88	1.27	99.25	3.47	96.63	4.07	93.66	5.02	
	07/01/2025		0.50		0.50		0.50		0.96		1.75		3.50		3.50	
927781VU5	755	66	7.99%	43	5.24%	21	2.58%	0	0.00%	-21	-2.50%	-41	-4.92%	-60	-7.27%	
VIRGINIA COLLEGE	4.75	118.34	-1.91	115.32	-0.91	112.40	0.10	109.58	1.10	106.84	2.10	104.18	3.10	101.61	4.11	
	09/01/2024		2.67		2.67		2.67		2.50		2.67		2.67		2.67	
Municipal	43,892	3,594	7.85%	2,353	5.14%	1,156	2.52%	0	0.00%	-1,128	-2.46%	-2,237	-4.89%	-3,327	-7.27%	
	2.99	112.50	-1.96	109.67	-0.95	106.95	0.05	104.31	1.06	101.75	2.09	99.22	3.13	96.73	4.12	
			2.55		2.55		2.55		2.47		2.56		2.62		2.73	
742404AK2	1,000	48	4.58%	32	3.03%	16	1.50%	0	0.00%	-15	-1.47%	-31	-2.92%	-45	-4.35%	
RNCON 4.105 07/01/23	4.11	109.29	-1.95	107.66	-0.95	106.07	0.07	104.50	1.08	102.96	2.09	101.45	3.11	99.96	4.13	
	07/01/2023		1.50		1.50		1.50		1.46		1.50		1.50		1.50	
Corporate	1,000	48	4.58%	32	3.03%	16	1.50%	0	0.00%	-15	-1.47%	-31	-2.92%	-45	-4.35%	
	4.11	109.29	-1.95	107.66	-0.95	106.07	0.07	104.50	1.08	102.96	2.09	101.45	3.11	99.96	4.13	
			1.50		1.50		1.50		1.46		1.50		1.50		1.50	
034577AK2	246	12	4.52%	8	2.99%	4	1.48%	0	0.00%	-4	-1.45%	-7	-2.88%	-11	-4.29%	
ANFCU 3.2 06/21/23	3.20	109.00	-2.75	107.41	-1.74	105.84	-0.74	104.29	0.27	102.78	1.29	101.29	2.30	99.82	3.32	
	06/21/2023		1.48		1.48		1.48		1.47		1.48		1.48		1.48	
05580AD68	245	21	8.47%	14	5.55%	7	2.73%	0	0.00%	-7	-2.64%	-13	-5.20%	-19	-7.68%	
BMW 0.65 09/17/24	0.65	109.29	-2.63	106.35	-1.63	103.51	-0.63	100.76	0.37	98.09	1.37	95.51	2.37	93.01	3.36	
	09/17/2024		2.71		2.71		2.71		2.68		2.71		2.71		2.71	
38149MZJ5	245	36	14.86%	23	9.65%	11	4.70%	0	0.00%	-11	-4.47%	-21	-8.71%	-31	-12.74%	
GS 1.05 09/08/26	1.05	112.73	-1.56	107.62	-0.55	102.76	0.46	98.14	1.46	93.76	2.47	89.60	3.47	85.64	4.48	
	09/08/2026		4.69		4.69		4.69		4.57		4.69		4.69		4.69	

Shocked CUSIP Analysis

CUSIP Description	Par Value Coupon Maturity	-300		-200		-100		Base		+100		+200		+300	
		\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld Dur WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL	\$ Amt Δ Mkt Px	% Px Δ Mkt Yld WAL
795451AK9 SALLMA 1.1 08/11/26	245 1.10 08/11/2026	35 112.82	14.60% -1.57 4.61	23 107.78	9.48% -0.56 4.61	11 102.99	4.62% 0.44 4.61	0 98.45	0.00% 1.45 4.61	-11 94.12	-4.39% 2.45 4.61	-21 90.01	-8.57% 3.46 4.61	-30 86.10	-12.54% 4.47 4.61
856285XL0 SBIIN 1.15 09/28/26	245 1.15 09/28/2026	36 113.32	15.01% -1.54 4.74	24 108.13	9.75% -0.54 4.74	11 103.20	4.75% 0.47 4.74	0 98.53	0.00% 1.47 4.61	-11 94.09	-4.51% 2.48 4.74	-21 89.87	-8.78% 3.48 4.74	-31 85.87	-12.85% 4.49 4.74
87165FA20 SYF 0.65 09/17/24	245 0.65 09/17/2024	21 109.29	8.47% -2.63 2.71	14 106.35	5.55% -1.63 2.71	7 103.51	2.73% -0.63 2.71	0 100.76	0.00% 0.37 2.68	-7 98.09	-2.64% 1.37 2.71	-13 95.51	-5.20% 2.37 2.71	-19 93.01	-7.68% 3.36 2.71
91435LAG2 UNIICC 3.05 05/15/23	240 3.05 05/15/2023	10 108.19	4.18% -2.78 1.38	7 106.72	2.77% -1.78 1.38	3 105.27	1.37% -0.77 1.38	0 103.85	0.00% 0.23 1.36	-3 102.45	-1.35% 1.24 1.38	-7 101.07	-2.68% 2.26 1.38	-10 99.71	-3.98% 3.27 1.38
CD	1,711 1.55	171 110.67	9.93% -2.20 3.19	112 107.20	6.48% -1.20 3.19	55 103.87	3.17% -0.20 3.19	0 100.68	0.00% 0.79 3.09	-52 97.62	-3.04% 1.79 3.19	-103 94.68	-5.95% 2.79 3.19	-151 91.86	-8.75% 3.79 3.19
TOACASH1 CASH	38,022 0.07 01/31/2022	98 100.26	0.26% -3.01 0.08	66 100.17	0.17% -1.99 0.08	33 100.09	0.09% -0.96 0.08	0 100.00	0.00% 0.07 0.09	-33 99.91	-0.09% 1.10 0.08	-65 99.83	-0.17% 2.14 0.08	-98 99.74	-0.26% 3.19 0.08
Other	38,022 0.07	98 100.26	0.26% -3.01 0.08	66 100.17	0.17% -1.99 0.08	33 100.09	0.09% -0.96 0.08	0 100.00	0.00% 0.07 0.09	-33 99.91	-0.09% 1.10 0.08	-65 99.83	-0.17% 2.14 0.08	-98 99.74	-0.26% 3.19 0.08
Total	118,292 1.47 2	4,794 105.57	3.99% -2.79 1.18	3,262 104.28	2.72% -1.72 1.18	1,761 103.01	1.47% -0.61 1.18	0 101.52	0.00% 0.74 1.65	-2,034 99.80	-1.69% 1.71 1.82	-4,097 98.06	-3.41% 2.73 1.86	-6,127 96.34	-5.10% 3.73 1.90

Portfolio Changes

New Securities 11/2021-12/2021

CUSIP	Sector	Security Description	Maturity	Coupon	Original Face	Book Price	Intent
79770GJA5	Municipal	SAN FRANCISCO CALIF CITY & CNTY REDE	08/01/2024	1.29	455,000	100.57	AFS

Partial Buy 11/2021-12/2021

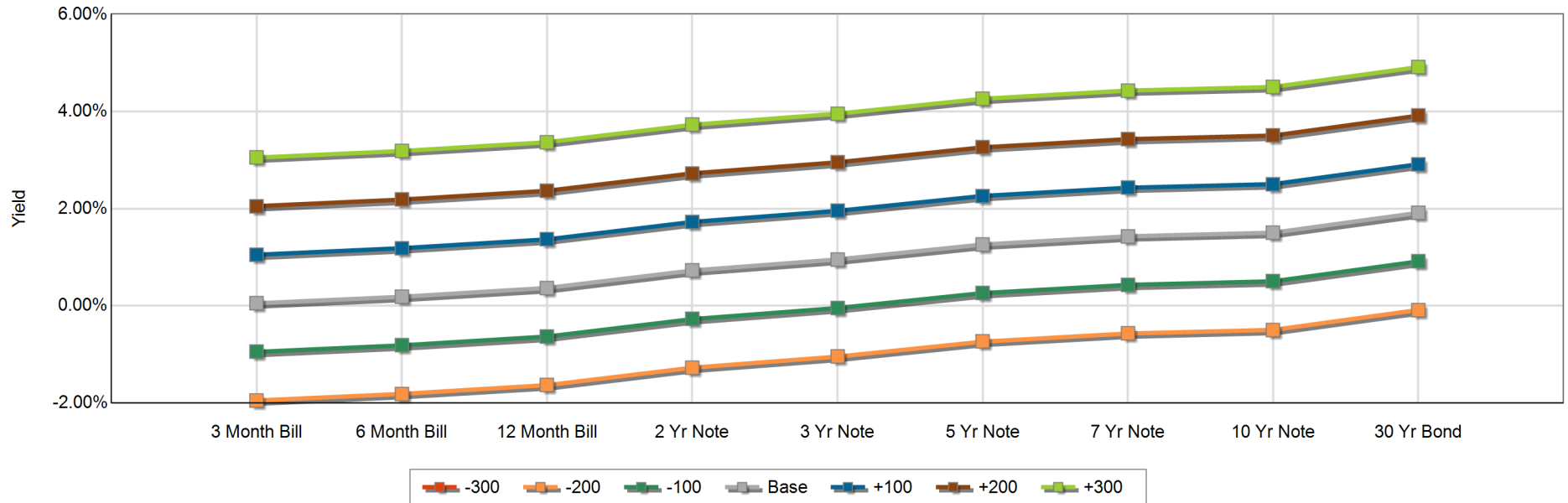
CUSIP	Sector	Security Description	Maturity	Coupon	Original Face	Book Price	Intent	Redemption Type
TOACASH1	Other	CASH	01/31/2022	0.07	38,022,479	100.00	AFS	Same CUSIP buys

Shocked Assumptions

Scenarios

	Down 300	Down 200	Down 100	Base	Up 100	Up 200	Up 300
Shift Amount	-300	-200	-100	0	100	200	300
Curve Slope Change	0	0	0	0	0	0	0
Shock Horizon	Immediate	Immediate	Immediate	Immediate	Immediate	Immediate	Immediate
Curve Type	Static	Static	Static	Static	Static	Static	Static
Valuation Date	2021-12-31	2021-12-31	2021-12-31	2021-12-31	2021-12-31	2021-12-31	2021-12-31

Yield Curve Scenarios



Assumptions

- Calculation Engine The Yield Book © and ZM Financial
- Cash Flow Model The Yield Book ©
- Curve Date Same as Portfolio As Of Date
- Curve Type Used Swap Curve
- Curve Shape Immediate Sustained Parallel Shocks
- Price Shock Methodology Constant OAS for fixed rate securities; immediate market shift for floating rate securities
- Horizon Period Immediate Time Horizon
- Reinvestment Rate 3 Month T-Bill
- Cost of Funds 1.00%
- Tax Rate 21.0%

Additional Information

Rolloff Yield- Rolloff Yield is the weighted average yield of the cashflows (maturities, calls and paydowns) that roll off during the noted time period. Ending Book Yield is the weighted average yield of the remaining securities at the noted time period.

Shocked Scenarios - All shocked scenarios are instant and parallel and assume a sustained rate cycle from that point on. LIBOR OAS is held constant in order to generate shocked market scenarios and The Yieldbook was used to generate the projected cash flows. In shocked scenarios, 0.01% is considered the floor.

Bonds Likely to be Called - The page identifies all callable agencies which will either be called or mature in the next 12 months in any of the shocked scenarios. The methodology used to determine the call assumes a small internal margin to account for the "cost to call."

Municipals - For taxable institutions, the above tax rate detailed - along with TEFRA adjustments for BQs - is used to calculate TEY unless otherwise input. Ratings are a blend of Moody's and Standard & Poors.

Duration - Duration in the shocked detail section is generated by Yield Book.

Original Face - If original face was not supplied, original face was estimated by dividing current face by current factor.

Yields- All yields are Yield to Projected Scenario. (Assumes 100% of The Yield Book prepayment model).

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